Gradings of positive rank on simple Lie algebras

Mark Reeder*
reederma@bc.edu

Paul Levy
p.d.levy@lancaster.ac.uk

Jiu-Kang Yu†
jyu@math.purdue.edu

Benedict H. Gross‡
gross@math.harvard.edu

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1 Introduction

Let $\mathfrak{g}$ be the Lie algebra of a connected simple algebraic group G of adjoint type over an algebraically closed field k. A *grading* on $\mathfrak{g}$ is a decomposition

$$\mathfrak{g} = \bigoplus_{i \in \mathbb{Z}/m} \mathfrak{g}_i$$

where m is an integer ≥ 0 and $[\mathfrak{g}_i, \mathfrak{g}_j] \subset \mathfrak{g}_{i+j}$ for all i, j. The summand $\mathfrak{g}_0$ is a Lie subalgebra of $\mathfrak{g}$ and we let G_0 denote the corresponding connected subgroup of G. The adjoint action of G on $\mathfrak{g}$ restricts to an action of G_0 on each summand $\mathfrak{g}_i$. We are interested in the invariant theory of this action, for which there is no loss of generality if we assume that $i = 1$. This study was initiated by Vinberg [29], who showed (for k of characteristic zero) that the invariant theory of the G_0-action on $\mathfrak{g}_1$ has remarkable parallels with the adjoint representation of G on $\mathfrak{g}$ (which is the special case where $m = 1$). In [18], Vinberg's theory was extended to fields of good odd positive characteristic not dividing m.

Some highlights of Vinberg theory are as follows. A *Cartan subspace* is a linear subspace $\mathfrak{c} \subset \mathfrak{g}_1$ which is abelian as a Lie algebra, consists of semisimple elements, and is maximal with these two properties. All Cartan subspaces are conjugate under G_0. Hence the dimension of $\mathfrak{c}$ is an invariant of the grading, called the *rank*, which we denote in this introduction by r. The *little Weyl group* is the subgroup $W_\mathfrak{c}$ of $\mathrm{GL}(\mathfrak{c})$ arising from the action of the normalizer of $\mathfrak{c}$ in G_0. The group $W_\mathfrak{c}$ is finite and is generated by semisimple transformations of $\mathfrak{c}$ fixing a hyperplane and we have an isomorphism of invariant polynomial rings

$$k[\mathfrak{g}_1]^{G_0} \xrightarrow{\sim} k[\mathfrak{c}]^{W_\mathfrak{c}},$$

given by restriction. Finally $k[\mathfrak{g}_1]^{G_0} \simeq k[f_1, \dots, f_r]$ is a polynomial algebra generated by r algebraically independent polynomials $f_1, \dots, f_r$ whose degrees $d_1, \dots, d_r$ are determined by the grading. In particular the product of these degrees is the order of $W_\mathfrak{c}$.

We have a dichotomy: either the rank $r = 0$, in which case $\mathfrak{g}_1$ consists entirely of nilpotent elements of $\mathfrak{g}$, or $r > 0$, in which case $m > 0$ and $\mathfrak{g}_1$ contains semisimple elements of $\mathfrak{g}$. A basic problem is to classify all gradings of rank $r > 0$ and to compute the little Weyl groups $W_\mathfrak{c}$ in each case. Another open question is *Popov's conjecture:* $\mathfrak{g}_1$ should contain a *Kostant section*: an affine subspace $\mathfrak{v}$ of $\mathfrak{g}_1$ with $\dim \mathfrak{v} = r$, such that the restriction map $k[\mathfrak{g}_1]^{G_0} \longrightarrow k[\mathfrak{v}]$ is an isomorphism.

The classification of positive-rank gradings and their little Weyl groups, along with verification of Popov's conjecture was given in [18] and [19] for gradings of Lie algebras of classical type and those of types G_2 and F_4. In this paper we complete this work by proving analogous results for types E_6, E_7 and E_8, using new methods which apply to the Lie algebras of general simple algebraic groups G.

The main idea is to compute Kac coordinates of lifts of automorphisms of the root system R of $\mathfrak{g}$, as we shall now explain. Choosing a base in R and a pinning in $\mathfrak{g}$, we may write the automorphism groups $\mathrm{Aut}(R)$ and $\mathrm{Aut}(\mathfrak{g})$ as semidirect products:

$$\mathrm{Aut}(R) = W \rtimes \Theta, \qquad \mathrm{Aut}(\mathfrak{g}) = G \rtimes \Theta,$$

where W is the Weyl group of R and Θ, the symmetry group of the Dynkin graph $D(R)$ of R, is identified with the group of automorphisms of $\mathfrak{g}$ fixing the chosen pinning. To each $\vartheta \in \Theta$ one can associate an affine root system $\Psi = \Psi(R, \vartheta)$ consisting of affine functions on an affine space $\mathcal{A}$ of dimension equal to the number of ϑ-orbits on the nodes of the diagram $D(R)$. Kac' original construction of Ψ uses infinite dimensional Lie algebras and works over $\mathbb{C}$; our approach constructs Ψ directly from the pair (R, ϑ) and works over any algebraically closed field in which the order e of ϑ is nonzero. The choice of pinning on $\mathfrak{g}$ determines a rational structure on $\mathcal{A}$ and a basepoint $x_0 \in \mathcal{A}$. Following an idea of Serre [24], we associate to each rational point $x \in \mathcal{A}_{\mathbb{Q}}$ an embedding $\varrho_x : \boldsymbol{\mu}_m \hookrightarrow G$ of group schemes over k, where m is the denominator of x. If m is nonzero in k and we choose a root of unity $\zeta \in k^\times$ of order m, then x determines an actual automorphism $\theta_x \in G\vartheta$ of order m. If x lies in the closure $\overline{C}$ of the fundamental alcove of $\mathcal{A}$ then the affine coordinates of x are those defined by Kac (when $k = \mathbb{C}$ and $\zeta = e^{2\pi i/m}$); we call these *normalized Kac coordinates*, since we also consider points x outside $\overline{C}$ having some affine coordinates negative. Any $x \in \mathcal{A}_{\mathbb{Q}}$ can be moved into $\overline{C}$ via operations of the affine Weyl group $W(\Psi)$, and this can be done effectively, using a simple algorithm. See also [19], which gives a different way of extending Kac coordinates to positive characteristic.

The half-sum of the positive co-roots is a vector $\check{\rho}$ belonging to the translation subgroup of $\mathcal{A}$. In the *principal segment* $[x_0, x_0 + \check{\rho}] \subset \mathcal{A}$ we are especially interested in the points

$$x_m := x_0 + \tfrac{1}{m}\check{\rho} \in \mathcal{A}_{\mathbb{Q}},$$

where m is the order of an elliptic $\mathbb{Z}$-regular automorphism $\sigma \in \mathrm{Aut}(R)$. Here σ is *elliptic* if σ has no nonzero fixed-points in the reflection representation, and we say σ is $\mathbb{Z}$*-regular* if the group generated by σ acts freely on R. (This is almost equivalent to Springer's notion of regularity, and for our purposes it is the correct one. See section 3.)

Now assume that the characteristic of k is not a bad prime for $\mathfrak{g}$. Choose a Cartan subalgebra $\mathfrak{t}$ of $\mathfrak{g}$, let T be the maximal torus of G centralizing $\mathfrak{t}$ with normalizer N in G and let $\mathrm{Aut}(\mathfrak{g}, \mathfrak{t})$ be the subgroup of $\mathrm{Aut}(\mathfrak{g})$ preserving $\mathfrak{t}$. The groups $\mathrm{Aut}(R)$ and $\mathrm{Aut}(\mathfrak{g}, \mathfrak{t})/T$ are isomorphic and we may canonically identify W-conjugacy classes in $\mathrm{Aut}(R)$ with N/T-conjugacy classes in $\mathrm{Aut}(\mathfrak{g}, \mathfrak{t})/T$. Let $\sigma \in \mathrm{Aut}(R)$ be an elliptic $\mathbb{Z}$-regular automorphism whose order m is nonzero in k. Write $\sigma = w \cdot \vartheta$ with $w \in W$ and $\vartheta \in \Theta$. Then there is a unique G-conjugacy class $C_\sigma \subset G\vartheta$ such that $C_\sigma \cap \mathrm{Aut}(\mathfrak{g}, \mathfrak{t})$ projects to the class of σ in $\mathrm{Aut}(R)$. Using results of Panyushev in [21], we show that C_σ contains the automorphism θ_{x_m}, where x_m is the point on the principal segment defined above. The (un-normalized) Kac coordinates of x_m are all $= 1$ except one coordinate is $1 + (m - h_\vartheta)/e$, where h_ϑ is the twisted Coxeter number of (R, ϑ). Translating by the affine Weyl group we obtain the normalized Kac coordinates of the class $C_\sigma \subset G\vartheta$. The automorphisms in C_σ have positive rank equal to the multiplicity of the cyclotomic polynomial Φ_m in the characteristic polynomial of σ. They are exactly the semisimple automorphisms of $\mathfrak{g}$ for which G_0 has stable orbits in $\mathfrak{g}_1$, in the sense of Geometric Invariant Theory.

Every G-conjugacy class of positive-rank automorphisms $\theta \in \mathrm{Aut}(\mathfrak{g})$ whose order is nonzero in k contains a lift of a W-conjugacy class in $\mathrm{Aut}(R)$. For any particular group G we can tabulate the Kac coordinates of such lifts; these are exactly the Kac coordinates of positive rank gradings. For this purpose it is enough to consider only the lifts of certain classes in $\mathrm{Aut}(R)$, almost all of which are elliptic and $\mathbb{Z}$-regular in $\mathrm{Aut}(R')$ for some root subsystem of R, whose Kac coordinates are easily found, as above.

These tables are only preliminary because they contain some Kac diagrams more than once, reflecting the fact that a given class in $\mathrm{Aut}(\mathfrak{g})$ may contain lifts of several classes of $\sigma \in \mathrm{Aut}(R)$. However, each class in $\mathrm{Aut}(\mathfrak{g})$ has a "best" σ whose properties tell us about other aspects of the grading, for example the little Weyl group $W(\mathfrak{c})$. Our final tables for E_6, E_7 and E_8 list each positive rank Kac diagram once and contain this additional data.

Besides its contributions to Vinberg theory *per se*, this paper was motivated by connections between Vinberg theory and the structure and representation theory of a reductive group $\mathbf{G}$ over a p-adic field F. The base field k above is then the residue field of a maximal unramified extension L of F. We assume $\mathbf{G}$ splits over a tame extension E of L. Then the Galois group $\mathrm{Gal}(E/L)$ is cyclic and acts on the root datum of $\mathbf{G}$ via a pinned automorphism ϑ. The grading corresponds to a point x in the Bruhat-Tits building of $\mathbf{G}(L)$, the group G_0 turns out to be the reductive quotient of the parahoric subgroup $\mathbf{G}(L)_x$ fixing x, and the summands $\mathfrak{g}_i$ are quotients in the Moy-Prasad filtration of $\mathbf{G}(L)_x$. As we will show elsewhere, the classification of positive rank gradings leads to a classification of non-degenerate K-types, a long outstanding problem in the representation theory of $\mathbf{G}(F)$, and stable G_0-orbits in the dual of $\mathfrak{g}_1$ give rise to supercuspidal representations of $\mathbf{G}(F)$ attached to elliptic $\mathbb{Z}$-regular elements of the Weyl group. These generalize the "simple supercuspidal representations" constructed in [10], which correspond to the Coxeter element.

After the first version of this paper was written, we learned from A. Elashvili that 25 years ago he, D. Panyushev and E. Vinberg had also calculated, by completely different methods, all the positive rank gradings and little Weyl groups in types $E_{6,7,8}$ (for $k = \mathbb{C}$) but they had never published their results. We thank them for comparing their tables with ours. For other aspects of positive-rank gradings on exceptional Lie algebras, see [8].

2 Kac coordinates

Kac [11, chap. 8] showed how conjugacy classes of torsion automorphisms of simple Lie algebras $\mathfrak{g}$ (over $\mathbb{C}$) can be parametrized by certain labelled affine Dynkin diagrams, called **Kac coordinates**. If we choose a root of unity $\zeta \in \mathbb{C}^\times$ of order m, then any automorphism $\theta \in \mathfrak{g}$ of order m gives a grading $\mathfrak{g} = \oplus_{i \in \mathbb{Z}/m}\, \mathfrak{g}_i$, where $\mathfrak{g}_i$ is the ζ^i-eigenspace of θ. This grading depends on the choice of ζ and if we replace $\mathbb{C}$ by another ground field k, we are forced to assume that m is invertible in k. As in [18], this assumption will be required for our classification of positive-rank automorphisms.

However, at the level of classifying *all* torsion automorphisms, Serre has remarked (see [24]) that, at least in the inner case, one can avoid the choice of ζ and restrictions on k by replacing an automorphism θ of order m with an embedding $\boldsymbol{\mu}_m \hookrightarrow \mathrm{Aut}(\mathfrak{g})^\circ$ of group schemes over k, where $\boldsymbol{\mu}_m$ is the group scheme of m^{th} roots of unity.

In this section we give an elementary treatment of Kac coordinates in Serre's more general setting, and we extend his approach to embeddings $\boldsymbol{\mu}_m \hookrightarrow \mathrm{Aut}(\mathfrak{g})$. In the outer case, where the image of $\boldsymbol{\mu}_m$ does not lie in $\mathrm{Aut}(\mathfrak{g})^\circ$, we still find it necessary to assume the characteristic p of k does not divide the order of the projection of $\boldsymbol{\mu}_m$ to the component group of $\mathrm{Aut}(\mathfrak{g})$. Our approach differs from [11] in that we avoid infinite dimensional Lie algebras (cf. [22]).

We then discuss a family of examples, the principal embeddings of $\boldsymbol{\mu}_m$, which play an important role in gradings of positive rank.

2.1 Based automorphisms and affine root systems

For background on finite and affine root systems see [5] and [20]. Let R be an irreducible reduced finite root system spanning a real vector space V. The automorphism group of R is the subgroup of $\mathrm{GL}(V)$ preserving R:

$$\mathrm{Aut}(R) = \{\sigma \in \mathrm{GL}(V) : \ \sigma(R) = R\}.$$

We say an automorphism $\sigma \in \mathrm{Aut}(R)$ is **based** if σ preserves a base of R. If we choose a base Δ of R then we have a splitting

$$\mathrm{Aut}(R) = W \rtimes \Theta,$$

where W is the Weyl group of R and $\Theta = \{\sigma \in \mathrm{Aut}(R) : \ \sigma(\Delta) = (\Delta)\}$. Since R is irreducible, the group Θ is isomorphic to a symmetric group S_n for $n = 1, 2$ or 3.

In this section we will associate to any based automorphism $\vartheta \in \mathrm{Aut}(R)$ an affine root system $\Psi(R, \vartheta)$ whose isomorphism class will depend only on the order e of ϑ.

We first establish more notation to be used throughout the paper. Let $X = \mathbb{Z}R$ be the lattice in V spanned by R and let $\check{X} = \mathrm{Hom}(X, \mathbb{Z})$ be the dual lattice. We denote the canonical pairing between X and $\check{X}$ by $\langle \lambda, \check{\omega} \rangle$, for $\lambda \in X$ and $\check{\omega} \in \check{X}$.

Fix a base $\Delta = \{\alpha_1, \ldots, \alpha_\ell\}$ of R, where ℓ is the rank of R, and let $\check{R} \subset \check{X}$ be the co-root system with base $\check{\Delta} = \{\check{\alpha}_1, \ldots, \check{\alpha}_\ell\}$, where $\check{\alpha}_i$ is the co-root corresponding to α_i. The pairing $\langle \ , \ \rangle$ extends linearly to the real vector spaces $V = \mathbb{R} \otimes X$ and $\check{V} := \mathbb{R} \otimes \check{X}$. Thus, a root $\alpha \in R$ can be regarded as the linear functional $\check{v} \mapsto \langle \alpha, \check{v} \rangle$ on $\check{V}$, and by duality $\mathrm{Aut}(R)$ can be regarded as a subgroup of $\mathrm{GL}(\check{V})$. In this viewpoint the Weyl group W is the subgroup of $\mathrm{GL}(\check{V})$ generated by the reflections $s_\alpha : \check{v} \mapsto \check{v} - \langle \alpha, \check{v} \rangle \check{\alpha}$ for $\alpha \in R$.

Let $\check{\rho}$ be one-half the sum of those co-roots $\check{\alpha} \in \check{R}$ which are non-negative integral combinations of elements of $\check{\Delta}$. We also have

$$\check{\rho} = \check{\omega}_1 + \check{\omega}_2 + \cdots + \check{\omega}_\ell,$$

where $\{\check{\omega}_i\}$ are the fundamental co-weights dual to Δ, that is, $\langle \alpha_i, \check{\omega}_i \rangle = 1$ and $\langle \alpha_i, \check{\omega}_j \rangle = 0$ if $i \neq j$.

Let $\check{V}^\vartheta = \{\check{v} \in \check{V} :\ \vartheta(\check{v}) = \check{v}\}$ be the subspace of ϑ-fixed vectors in $\check{V}$ and let $R_\vartheta = \{\alpha|_{\check{V}^\vartheta} :\ \alpha \in R\}$ be the set of restrictions to $\check{V}^\vartheta$ of roots in R. By duality Θ permutes the fundamental co-weights $\{\check{\omega}_i\}$, so the vector $\check{\rho}$ lies in $\check{V}^\vartheta$. And since $\langle \alpha, \check{\rho} \rangle = 1$ for all $\alpha \in \Delta$, it follows that no root vanishes on $\check{V}^\vartheta$. Moreover two roots $\alpha, \alpha' \in R$ have the same restriction to $\check{V}^\vartheta$ if and only if they lie in the same $\langle \vartheta \rangle$-orbit in R. Hence we have

$$R_\vartheta = \{\beta_a :\ a \in R/\vartheta\},$$

where R/ϑ is the set of $\langle \vartheta \rangle$-orbits in R and $\beta_a = \alpha|_{\check{V}^\vartheta}$ for any $\alpha \in a$.

For $a \in R/\vartheta$, we define $\check{\beta}_a \in \check{V}^\vartheta$ by

$$\check{\beta}_a = \begin{cases} \sum_{\alpha \in a} \check{\alpha} & \text{if} \quad 2\beta_a \notin R_\vartheta \\ 2\sum_{\alpha \in a} \check{\alpha} & \text{if} \quad 2\beta_a \in R_\vartheta, \end{cases} \tag{1}$$

and we set $\check{R}_\vartheta = \{\check{\beta}_a :\ a \in R/\vartheta\}$. Then $\langle \beta_a, \check{\beta}_a \rangle = 2$ and $\langle \beta_a, \check{\beta}_b \rangle \in \mathbb{Z}$ for all $a, b \in R/\vartheta$.

Note that $2\beta_a \notin R_\vartheta$ precisely when a consists of "orthogonal" roots; that is, when $a = \{\gamma_1, \ldots, \gamma_k\}$ with $\langle \gamma_i, \check{\gamma}_j \rangle = 0$ for $i \neq j$. In this case, the element

$$s_a := s_{\gamma_1} s_{\gamma_2} \cdots s_{\gamma_k} \in W$$

has order two, is independent of the order of the product and is centralized by ϑ. If $2\beta_a \in R_\vartheta$ we have $a = \{\gamma_1, \gamma_2\}$ where $\gamma_1 + \gamma_2 \in R$. In this case we define $s_a = s_{\gamma_1 + \gamma_2}$, noting this s_a is also centralized by ϑ. A short calculation shows that

$$s_a(\beta_b) = \beta_b - \langle \beta_b, \check{\beta}_a \rangle \beta_a,$$

in all cases. On the other hand, if $\beta \in b$, then $s_a(\beta_b) = s_a(\beta)|_{\check{V}^\vartheta}$, since s_a is centralized by ϑ. It follows that $\beta_b - \langle \beta_b, \check{\beta}_a \rangle \beta_a \in R_\vartheta$. These involutions s_a, for $a \in R/\vartheta$, generate the centralizer $W^\vartheta = \{w \in W :\ \vartheta w = w\vartheta\}$ of ϑ in W. Thus, R_ϑ is a root system (possibly non-reduced) whose Weyl group is W^ϑ. The rank ℓ_ϑ of R_ϑ equals the number of ϑ-orbits in Δ.

Let $\mathcal{A}^\vartheta$ be an affine space for the vector space $\check{V}^\vartheta$. We denote the action by $(v, x) \mapsto v + x$ for $v \in \check{V}^\vartheta$ and $x \in \mathcal{A}^\vartheta$ and for $x, y \in \mathcal{A}^\vartheta$ we let $y - x \in \check{V}^\vartheta$ be the unique vector such that $(y - x) + x = y$. For any affine function $\psi : \mathcal{A}^\vartheta \to \mathbb{R}$ we let $\dot{\psi} : \check{V}^\vartheta \to \mathbb{R}$ be the unique linear functional such that $\psi(x + v) = \psi(x) + \langle \dot{\psi}, v \rangle$ for all $v \in \check{V}^\vartheta$.

Choose a basepoint $x_0 \in \mathcal{A}^\vartheta$. For each linear functional $\lambda : \check{V}^\vartheta \to \mathbb{R}$ define an affine function $\widetilde{\lambda} : \mathcal{A}^\vartheta \to \mathbb{R}$ by $\widetilde{\lambda}(x) = \langle \lambda, x - x_0 \rangle$. In particular, each root $\beta_a \in R_\vartheta$ gives an affine function $\widetilde{\beta}_a$ on $\mathcal{A}^\vartheta$.

For each orbit $a \in R/\vartheta$, set $u_a = 1/|a|$. If $\beta_a \notin 2R_\vartheta$, define

$$\Psi_a = \{\widetilde{\beta}_a + n u_a :\ n \in \mathbb{Z}\}.$$

If $\beta_a \in 2R_\vartheta$, define

$$\Psi_a = \{\widetilde{\beta}_a + (n + \tfrac{1}{2}) u_a :\ n \in \mathbb{Z}\}.$$

The resulting collection

$$\Psi(R, \vartheta) := \bigcup_{a \in R/\vartheta} \Psi_a$$

of affine functions on $\mathcal{A}^\vartheta$ is a reduced, irreducible affine root system (in the sense of [20, 1.2]) and $x_0 \in \mathcal{A}^\vartheta$ is a special point for $\Psi(R,\vartheta)$.

An **alcove** in $\mathcal{A}^\vartheta$ is a connected component of the open subset of points in $\mathcal{A}$ on which no affine function in $\Psi(R,\vartheta)$ vanishes. There is a unique alcove $C \subset \mathcal{A}^\vartheta$ containing x_0 in its closure and on which $\tilde{\beta}_a > 0$ for every ϑ-orbit $a \subset \Delta$. The walls of C are hyperplanes $\psi_i = 0$, $i = 0, 1, \dots, \ell_\vartheta = \dim \mathcal{A}^\vartheta$, and $\{\psi_0, \psi_1, \dots, \psi_{\ell_\vartheta}\}$ is a base of the affine root system $\Psi(R,\vartheta)$. The point x_0 lies in all but one of these walls; we choose the numbering so that $\langle \psi_0, x_0 \rangle \neq 0$. There are unique relatively prime positive integers b_i such that $\sum b_i \dot{\psi}_i = 0$. We have $b_0 = 1$ and the affine function $\sum_{i=0}^{\ell_\vartheta} b_i \psi_i$ is constant, equal to $1/e$, where $e = |\vartheta|$. The reflections r_i about the hyperplanes $\psi_i = 0$ for $i = 0, 1, \dots, \ell_\vartheta$ generate an irreducible affine Coxeter group $W_{\mathsf{aff}}(R,\vartheta)$ which acts simply-transitively on alcoves in $\mathcal{A}^\vartheta$.

If $\vartheta = 1$ we recover the affine root system attached to R as in [5] and $W_{\mathsf{aff}}(R) := W_{\mathsf{aff}}(R,1)$ is the affine Weyl group of R.

For an example with nontrivial ϑ, take R of type A_2 and ϑ of order two. We have $\check{V} = \{(x,y,z) \in \mathbb{R}^3 :\ x + y + z = 0\}$, and

$$\alpha_1 = x - y, \quad \alpha_2 = y - z, \quad \check{\alpha}_1 = (1,-1,0), \quad \check{\alpha}_2 = (0,1,-1), \quad \check{\rho} = (1,0,-1).$$

The nontrivial automorphism $\vartheta \in \operatorname{Aut}(R)$ permuting $\{\alpha_1, \alpha_2\}$ acts on $\check{V}$ by $\vartheta(x,y,z) = (-z,-y,-x)$. We identify $\check{V}^\vartheta = \{(x,0,-x) :\ x \in \mathbb{R}\}$ with $\mathbb{R}$ via projection onto the first component. The $\langle\vartheta\rangle$-orbits in the positive roots are $a = \{\alpha_1, \alpha_2\}$ and $b = \{\alpha_1 + \alpha_2\}$, so $\beta_a = x$ and $\beta_b = 2x$. If we identify $\mathcal{A}^\vartheta = \mathbb{R}$ and take $x_0 = 0$, then

$$\Psi_a = \{x + \tfrac{n}{2} :\ n \in \mathbb{Z}\}, \qquad \Psi_b = \{2x + n + \tfrac{1}{2} :\ n \in \mathbb{Z}\}.$$

The alcove C is the open interval $(0, \frac{1}{4})$ in $\mathbb{R}$. The walls of C are defined by the vanishing of the affine roots

$$\psi_0 = \tfrac{1}{2} - 2x, \qquad \psi_1 = x$$

which satisfy the relation $\psi_0 + 2\psi_1 = \frac{1}{2}$, so $b_0 = 1$ and $b_1 = 2$. The group $W_{\mathsf{aff}}(R,\vartheta)$ is infinite dihedral, generated by the reflections of $\mathbb{R}$ about 0 and $\frac{1}{4}$.

We tabulate all the affine root systems for nontrivial ϑ below. As the structure of $\Psi(R,\vartheta)$ depends only on R and the order e of ϑ, the pair (R,ϑ) is indicated by the symbol ${}^eR$, called the *type* of (R,ϑ). Information about $\Psi(R,\vartheta)$ is encoded in a *twisted affine diagram* $D({}^eR)$ which is a graph with vertices indexed by $i \in \{0, 1, \dots, \ell_\vartheta\}$, labelled by the integers b_i. The number m_{ij} of bonds between vertices i and j is determined as follows. Choose a W^ϑ-invariant inner product $(\ ,\ )$ on V^ϑ and suppose that $(\dot{\psi}_j, \dot{\psi}_j) \geq (\dot{\psi}_i, \dot{\psi}_i)$. Then

$$m_{ij} = \frac{(\dot{\psi}_j, \dot{\psi}_j)}{(\dot{\psi}_i, \dot{\psi}_i)}.$$

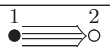
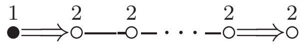
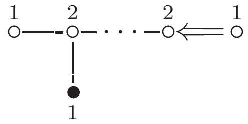
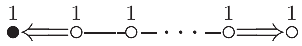
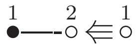
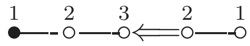
If $m_{ij} > 1$ we put an arrow pointing from vertex j to vertex i.

Removing the labels and arrows from the twisted affine diagram $D({}^eR)$ gives the Coxeter diagram $D({}^eR)_{\mathsf{cox}}$ of $W_{\mathsf{aff}}(R,\vartheta)$ (except in type ${}^2A_2$ the four bonds should be interpreted as r_0r_1 having infinite order). We tabulate the twisted affine diagrams for $e > 1$ below (their analogues for $e = 1$ being well-known). For each type we also give the *twisted Coxeter number*, which is the sum

$$h_\vartheta = e \cdot (b_0 + b_1 + \cdots + b_{\ell_\vartheta}), \tag{2}$$

whose importance will be seen later. The node $i = 0$ is indicated by $\bullet$.

Table 1: Twisted Affine diagrams and twisted Coxeter numbers

${}^eR$	$D({}^eR)$	ℓ_ϑ	h_ϑ
${}^2A_2$		1	6
${}^2A_{2n}$		n	$4n+2$
${}^2A_{2n-1}$		n	$4n-2$
${}^2D_n$		$n-1$	$2n$
${}^3D_4$		2	12
${}^2E_6$		4	18

(3)

Remark: Let $\mathcal{R}$ be the set of pairs (R, e), where R is an irreducible reduced finite root system and e is a divisor of $|\Theta|$. Let $\mathcal{R}_{\mathsf{aff}}$ be the set of irreducible reduced affine root systems, as in [20], up to isomorphism. Let $\mathcal{D}$ be the set of pairs (D, o), where D is the Coxeter diagram of an irreducible affine Coxeter group and o is a choice of orientation of each multiple edge of D. The classification of reduced irreducible affine root systems [20, 1.3] shows that the assignments $(R, e) \mapsto {}^eR \mapsto D({}^eR)$ give bijections

$$\mathcal{R} \xrightarrow{\sim} \mathcal{R}_{\mathsf{aff}} \xrightarrow{\sim} \mathcal{D}.$$

2.2 Torsion points, Kac coordinates and the normalization algorithm

Retain the notation of the previous section. Let $\mathcal{A}^\vartheta_\mathbb{Q}$ be the orbit of x_0 under the rational vector space $\mathbb{Q}\otimes\check{X}^\vartheta \subset \check{V}^\vartheta$. The *order* of a point $x \in \mathcal{A}^\vartheta_\mathbb{Q}$ is the smallest positive integer m such that $x \in \frac{1}{m}\check{X}^\vartheta + x_0$.

If $x \in \mathcal{A}^\vartheta_\mathbb{Q}$ has order m then for each $\psi \in \Psi(R, \vartheta)$ we have $\langle \psi, x\rangle \in \frac{1}{m}\mathbb{Z}$, so there are integers s_i such that $\langle \psi_i, x\rangle = s_i/m$, and $\gcd(s_0, \dots, s_{\ell_\vartheta}) = 1$. Moreover, since $b_0\psi_0 + \cdots + b_{\ell_\vartheta}\psi_{\ell_\vartheta}$ is constant, equal to $1/e$, (recall that e is the order of ϑ) it follows that

$$e \cdot \sum_{i=0}^{\ell_\vartheta} b_i s_i = m.$$

In particular, the order m is divisible by e. We call integer vector $(s_0, s_1, \dots, s_{\ell_\vartheta})$ the (un-normalized) **Kac coordinates** of x.

The point x lies in $\overline{C}$ precisely when all s_i are non-negative; in this case we refer to the vector (s_i) as **normalized Kac coordinates**. The action of the affine Weyl group $W_{\mathsf{aff}}(R, \vartheta)$ on $\mathcal{A}^\vartheta_\mathbb{Q}$ can be visualized as an action on Kac coordinates, as follows. The reflection r_j about the wall $\psi_j = 0$ sends the Kac coordinates (s_i) to (s_i'), where

$$s_i' = s_i - \langle \beta_i, \check{\beta}_j\rangle s_j.$$

Un-normalized Kac coordinates may have some $s_j < 0$. If we apply r_j and repeat this process by selecting negative nodes and applying the corresponding reflections, we will eventually obtain normalized Kac coordinates (s_i'). Geometrically, this **normalization algorithm** amounts to moving a given point $x \in \mathcal{A}_{\mathbb{Q}}^{\Theta}$ into the fundamental alcove $\overline{C}$ by a sequence of reflections about walls, see [22, Sec. 3.2]. We have implemented the normalization algorithm on a computer and used it extensively to construct the tables in sections 9 and 11.

The image of the projection $e^{-1}\sum_{i=0}^{e-1}\vartheta^i : \check{X} \to V^\vartheta$ is a lattice Y_ϑ in V^ϑ which is preserved by W^ϑ. The extended affine Weyl group

$$\widetilde{W}_{\mathsf{aff}}(R,\vartheta) := W^\vartheta \ltimes Y_\vartheta$$

contains $W_{\mathsf{aff}}(R,\vartheta)$ as a normal subgroup of finite index and the quotient may be identified with a group of symmetries of the oriented diagram $D({}^eR)$. We regard two normalized Kac diagrams as equivalent if one is obtained from the other by a symmetry of the oriented diagram $D({}^eR)$ coming from $\widetilde{W}_{\mathsf{aff}}(R,\vartheta)$. For $R = E_6, E_7, E_8$ and $e = 1$ these diagram symmetries are: rotation of order three, reflection of order two and trivial, respectively. In type ${}^2E_6$ these diagram symmetries are trivial (see table (3)).

2.3 μ_m-actions on Lie algebras

Let k be an algebraically closed field. All k-algebras are commutative with 1, and in this section all group schemes are affine over k, and are regarded as representable functors from the category of k-algebras to the category of groups.

Every k-algebra A is a direct product of k-algebras $A = \prod_{\iota\in I(A)} A_\iota$, where $I(A)$ indexes the connected components $\mathrm{Spec}(A_\iota)$ of $\mathrm{Spec}(A)$ and each A_ι is a k-algebra with no non-trivial idempotents. This decomposition is to be understood when we describe the A-valued points in various group schemes below. Each finite (abstract) group Γ is regarded a constant group scheme, given by $\Gamma(A) = \prod_{\iota\in I(A)} \Gamma(A_\iota)$, where $\Gamma(A_\iota) = \Gamma$. In other words, an element $\gamma \in \Gamma(A)$ is a function $(\iota \mapsto \gamma_\iota)$ from $I(A)$ to Γ.

Let $\boldsymbol{\mu}_m$ denote the group scheme of m^{th} roots of unity, whose A-valued points are given by

$$\boldsymbol{\mu}_m(A) = \{a \in A :\ a^m = 1\} = \prod_{\iota\in I(A)} \boldsymbol{\mu}_m(A_\iota).$$

If m is nonzero in k then $\boldsymbol{\mu}_m(A_\iota) = \boldsymbol{\mu}_m(k)$ for every $\iota \in I(A)$, so $\boldsymbol{\mu}_m$ is a constant group scheme and we have

$$\boldsymbol{\mu}_m(A) = \prod_{\iota\in I(A)} \boldsymbol{\mu}_m(k).$$

If m is zero in k then $\boldsymbol{\mu}_m$ is not a constant group scheme.

A k-vector space V can be regarded as a k-scheme such that $V(A) = A \otimes_k V$. To give a grading $V = \sum_{i\in\mathbb{Z}/m\mathbb{Z}} V_i$ as k-schemes is to give a morphism $\varrho : \boldsymbol{\mu}_m \to \mathrm{GL}(V)$, where $\mathrm{GL}(V)(A)$ is the automorphism group of the free A-module $V(A)$. Indeed, $\mathbb{Z}/m$ is canonically isomorphic to the Cartier dual $\mathrm{Hom}(\boldsymbol{\mu}_m, \mathbf{G}_m)$, so a morphism $\varrho : \boldsymbol{\mu}_m \to \mathrm{GL}(V)$ gives a grading $V(A) = \oplus_{i\in\mathbb{Z}/m} V_i(A)$ where $V_i(A) = \{v \in V(A) :\ \varrho(\zeta)v = \zeta^i v \quad \forall\zeta \in \boldsymbol{\mu}_m(A)\}$.

Now let R be an irreducible root system as before, with base Δ and group of based automorphisms Θ. Set $X = \mathbb{Z}R$ and $\check{X} = \mathrm{Hom}(X, \mathbb{Z})$. Then $(X, R, \check{X}, \check{R})$ is the root datum of a connected simple algebraic group scheme G over k of adjoint type. Let $\mathfrak{g}$ be the Lie algebra of G and let $T \subset B$ be a maximal torus contained in a Borel subgroup of G. We identify R with the set of roots of T in $\mathfrak{g}$, and Δ with the set of simple roots of T in the Lie algebra of B. Choose a root vector E_i for each simple root $\alpha_i \in \Delta$. The data $(X, R, \check{X}, \check{R}, \{E_i\})$ is called a **pinning** of G.

Fix an element $\vartheta \in \Theta$. Assume the order e of ϑ is nonzero in k, so that $\boldsymbol{\mu}_e$ and $\langle\vartheta\rangle$ are isomorphic constant group schemes over k, and choose an isomorphism $\tau : \boldsymbol{\mu}_e \to \langle\vartheta\rangle$.

By our choice of pinning $(X, R, \check{X}, \check{R}, \{E_i\})$, the group $\langle\vartheta\rangle$ may also be regarded as a subgroup of $\mathrm{Aut}(\mathfrak{g})$ permuting the root vectors E_i in the same way ϑ permutes the roots α_i, and we have a semidirect product

$$G \rtimes \langle\vartheta\rangle \subset \mathrm{Aut}(\mathfrak{g}),$$

where the cyclic group $\langle\vartheta\rangle$ is now viewed as a constant subgroup scheme of automorphisms of $\mathfrak{g}$, whose points in each k-algebra A consist of vectors (ϑ^{n_ι}) acting on $\mathfrak{g}(A) = \prod_\iota \mathfrak{g}(A_\iota)$, with ϑ^{n_ι} acting on the factor $\mathfrak{g}(A_\iota)$.

Now let m be a positive integer divisible by e (but m could be zero in k). Let $m/e : \boldsymbol{\mu}_m \to \boldsymbol{\mu}_e$ be the morphism sending $\zeta \in \boldsymbol{\mu}_m(A)$ to $\zeta^{m/e} \in \boldsymbol{\mu}_e(A)$ for every k-algebra A.

Finally, for each rational point $x \in \mathcal{A}^\vartheta_\mathbb{Q}$ of order m we shall now define a morphism

$$\varrho_x : \boldsymbol{\mu}_m \to T^\vartheta \times \langle\vartheta\rangle,$$

where T^ϑ is the subscheme of ϑ-fixed points in T. We have $x = \frac{1}{m}\check{\lambda} + x_0$, for some $\check{\lambda} \in \check{X}^\vartheta$. The co-character $\check{\lambda}$ restricts to a morphism $\check{\lambda}_m : \boldsymbol{\mu}_m \to T^\vartheta$ and we define ϱ_x on A-valued points by

$$\varrho_x(\zeta) = \check{\lambda}_m(\zeta) \times \tau(\zeta^{m/e}), \qquad \text{for} \qquad \zeta \in \boldsymbol{\mu}_m(A).$$

Since

$$\mathrm{Hom}(\boldsymbol{\mu}_m, T^\vartheta) = \check{X}^\vartheta / m\check{X}^\vartheta \simeq \tfrac{1}{m}\check{X}^\vartheta / \check{X}^\vartheta,$$

we see that $\check{\lambda}_m$ corresponds precisely to an orbit of x under translation by $\check{X}^\vartheta$ on $\mathcal{A}^\vartheta_\mathbb{Q}$. The condition that x has order m means that $\check{\lambda}_m$ does not factor through $\boldsymbol{\mu}_d$ for any proper divisor $d \mid m$.

Let $\widetilde{w} \in \widetilde{W}_{\mathsf{aff}}(R, \vartheta)$ have projection $w \in W^\vartheta$ and denote the canonical action of W^ϑ on T^ϑ by $w \cdot t$, for $t \in T^\vartheta(A)$. Then we have

$$\varrho_{\widetilde{w}\cdot x}(\zeta) = w \cdot \varrho_x(\zeta)$$

for all $\zeta \in \boldsymbol{\mu}_m(A)$. One can check (cf. [22, section 3]) that two points $x, y \in \mathcal{A}^\vartheta_\mathbb{Q}$ of order m give G-conjugate embeddings $\varrho_x, \varrho_y : \boldsymbol{\mu}_m \hookrightarrow T^\vartheta \times \vartheta$ if and only if x and y are conjugate under $\widetilde{W}_{\mathsf{aff}}(R, \vartheta)$. The morphism ϱ_x is thus determined by the Kac coordinates $(s_0, s_1, \dots, s_{\ell_\vartheta})$ of x and the G-conjugacy class of ϱ_x is determined by the normalized Kac coordinates of the $\widetilde{W}_{\mathsf{aff}}(R, \vartheta)$-orbit of x.

2.4 Principal μ_m-actions

We continue with the notation of section 2.3. Recall that $\check{\rho} \in \check{X}^\vartheta$ is the sum of the fundamental co-weights $\check{\omega}_i$. For every positive integer m divisible by e, we have a **principal** point

$$x_m := x_0 + \tfrac{1}{m}\check{\rho} \in \mathcal{A}_{\mathbb{Q}}^\vartheta$$

of order m. It corresponds to the **principal embedding**

$$\varrho_m = \varrho_{x_m} : \boldsymbol{\mu}_m \longrightarrow T^\vartheta \times \langle\vartheta\rangle, \qquad \text{given by} \qquad \varrho_m(\zeta) = \check{\rho}(\zeta) \times \tau(\zeta^{m/e}).$$

The Kac coordinates of x_m and ϱ_m are given as follows. If $1 \leq i \leq \ell_\vartheta$ we have $\psi_i = \tilde{\beta}_i$ for some $\beta_i \in R_\vartheta$ which is the restriction to $\check{V}^\Theta$ of a simple root $\alpha_i \in \Delta$. Since $\langle \alpha_i, \check{\rho}\rangle = 1$, it follows that $\langle \psi, x_m\rangle = 1/m$ so $s_i = 1$, and we have

$$m = e \cdot \sum_{i=0}^{\ell_\vartheta} b_i s_i = es_0 + e \cdot \sum_{i=1}^{\ell_\vartheta} b_i = es_0 + h_\vartheta - e,$$

where $h_\vartheta = e \cdot \sum_{i=0}^{\ell_\vartheta} b_i$ is the twisted Coxeter number of R_ϑ (see (2)). Hence the remaining Kac-coordinate of the principal point x_m is

$$s_0 = 1 + \frac{m - h_\vartheta}{e}.$$

This is negative if $m < h_\vartheta - e$, in which case we can apply the normalization algorithm of section 2.2 to obtain the normalized Kac coordinates of x_m. Examples are found in the tables of section 8.1.

We will be especially interested in the points x_m where m is the order of an elliptic $\mathbb{Z}$-regular automorphism in $W\vartheta$ (defined in the next section). The twisted Coxeter number h_ϑ is one of these special values of m, corresponding to $s_0 = 1$ (cf. section 8 below).

3 $\mathbb{Z}$-regular automorphisms of root systems

We continue with the notation of section 2.1: R is an irreducible finite reduced root system with a chosen base Δ and automorphism group $\mathrm{Aut}(R) = W \rtimes \Theta$, where W is the Weyl group of R and Θ is the subgroup of $\mathrm{Aut}(R)$ preserving Δ.

Definition 3.1 *An automorphism $\sigma \in \mathrm{Aut}(R)$ is $\mathbb{Z}$-**regular** if the group generated by σ acts freely on R.*

This is nearly equivalent to Springer's notion of a regularity (over $\mathbb{C}$) [27]. In this section we will reconcile our definition with that of Springer.

Let $X = \mathbb{Z}R$ be the root lattice of R and let $\check{X} = \mathrm{Hom}(X, \mathbb{Z})$ be the co-weight lattice. We say that a vector $\check{v} \in k \otimes \check{X}$ is ***k*-regular** if $\langle \alpha, \check{v}\rangle \neq 0$ for every $\alpha \in R$. We say also that an automorphism

$\sigma \in \mathrm{Aut}(R)$ is ***k*-regular** if σ has a k-regular eigenvector in $k \otimes \check{X}$. Taking $k = \mathbb{C}$ we recover Springer's definition of regularity [27].

At first glance it appears that σ could be k-regular for some fields k but not others. This is why we have defined regularity over $\mathbb{Z}$, as in Def. 3.1. Of course the definition of $\mathbb{Z}$-regularity seems quite different from that of k-regularity. An argument due to Kostant for the Coxeter element (cf. [13, Cor. 8.2]) shows that a k-regular automorphism is $\mathbb{Z}$-regular (see [27, Prop. 4.10]). The converse is almost true but requires an additional condition. We will prove:

Proposition 3.2 *An automorphism $\sigma \in \mathrm{Aut}(R)$ is $\mathbb{Z}$-regular if and only if for every algebraically closed field k in which the order m of σ is nonzero there is k-regular eigenvector for σ in $k \otimes \check{X}$ whose eigenvalue has order m.*

Suppose $\sigma = w\vartheta$ where $w \in W$ and $\vartheta \in \Theta$ is a based automorphism of order e. If σ has order m and has a k-regular eigenvalue λ of order d, then $m = \mathrm{lcm}(d, e)$. Indeed, it is clear that m is divisible by $n := \mathrm{lcm}(d, e)$. Conversely, we have $\lambda^n = 1$ so σ^n fixes a regular vector, but $\sigma^n \in W$, so in fact $\sigma^n = 1$ and $m \mid n$. Hence the notions of $\mathbb{Z}$-regularity and k-regularity coincide precisely when $e \mid d$. In particular they coincide if $\vartheta = 1$, that is, if $\sigma \in W$. However, if ϑ has order $e > 1$ and we take $\sigma = \vartheta$, then σ fixes the k-regular vector $\check{\rho}$ so σ is k-regular (if $e \neq 0$ in k). However σ fixes the highest root, so σ is not $\mathbb{Z}$-regular. And if $\zeta \in k^\times$ has order e there are no k-regular vectors in the ζ-eigenspace of σ.

The proof of Prop. 3.2 will be given after some preliminary lemmas.

Lemma 3.3 *An automorphism $\sigma \in \mathrm{Aut}(R)$ is based if and only if no root of R vanishes on $\check{X}^\sigma$.*

Proof: Assume that $\sigma \in \mathrm{Aut}(R)$ preserves a base $\Delta' \subset R$. Then σ preserves the set R^+ of roots in R which are non-negative integral linear combinations of roots in Δ'. The vector $\sum_{\beta \in R^+} \check{\beta}$ belongs to $\check{X}^\sigma$ and no root vanishes on it.

Conversely, let $\check{v} \in \check{X}^\sigma$ be a vector on which no root in R vanishes. Then v defines a chamber $\mathcal{C}$ in the real vector space $\mathbb{R} \otimes X$, namely,

$$\mathcal{C} = \{\lambda \in \mathbb{R} \otimes X : \langle \lambda, \check{v} \rangle > 0\}.$$

As σ fixes $\check{v}$, the chamber $\mathcal{C}$ is stable under σ, so σ permutes the walls of $\mathcal{C}$. The set of roots α for which $\ker \check{\alpha}$ is a wall of $\mathcal{C}$ is therefore a base of R preserved by σ. ∎

Next, we say that $\sigma \in \mathrm{Aut}(R)$ is **primitive** if σ preserves no proper root subsystem of R.

Lemma 3.4 *If $\sigma \in \mathrm{Aut}(R)$ is primitive, then its characteristic polynomial on V is irreducible over $\mathbb{Q}$. That is, we have $\det(tI_V - \sigma|_V) = \Phi_m(t)$, where m is the order of σ and $\Phi_m(t) \in \mathbb{Z}[t]$ is the cyclotomic polynomial whose roots are the primitive m^{th} roots of unity.*

Proof: In this proof we change notation slightly and let $V = \mathbb{Q} \otimes X$ denote the *rational* span of X and let $\overline{\mathbb{Q}}$ be an algebraic closure of $\mathbb{Q}$.

For $\alpha \in R$, let $V_\alpha \subset V$ be the rational span of the σ-orbit of α. Since V_α is spanned by roots, it follows from [5, VI.1] that $R \cap V_\alpha$ is a root subsystem of R. As it is preserved by the primitive automorphism σ, we must have $R \subset V_\alpha$, so $V_\alpha = V$. Hence the map $\mathbb{Q}[t] \to V$ given by sending $f(t) \mapsto f(\sigma)\alpha$ is surjective, and its kernel is the ideal in $\mathbb{Q}[t]$ generated by the minimal polynomial $M(t)$ of σ on V. Hence $\deg M(t) = \dim V$ so we have $M(t) = \det(tI_V - \sigma|_V)$.

We must show that $M(t)$ is irreducible over $\mathbb{Q}$. If not, then $M(t)$ is divisible by $\Phi_d(t)$ for some proper divisor $d \mid m$. This means σ has an eigenvalue of order d on $\overline{\mathbb{Q}} \otimes V$, implying that σ^d has nonzero fixed-point space $\check{X}^{\sigma^d}$. The set of roots vanishing on $\check{X}^{\sigma^d}$ is a root subsystem not equal to the whole of R, and therefore is empty, again using the primitivity of σ.

By Lemma 3.3, σ^d is a nontrivial automorphism preserving a base Δ' of R. As in the proof of that lemma, the sum of the positive roots for Δ' is a nonzero $\overline{\mathbb{Q}}$-regular vector in V fixed by σ^d. Hence the nontrivial subgroup $\langle \sigma^d \rangle$ has trivial intersection with W. If $\sigma \in W$ this is a contradiction and the lemma is proved in this case.

Assume that $\sigma \notin W$. Since R is irreducible and we have shown that the projection $\mathrm{Aut}(R) \to \Theta$ is injective on $\langle \sigma^d \rangle$, it follows that σ^d has order $e \in \{2, 3\}$. We must also have $(e, d) = 1$ and $m = ed$. As e is determined by the projection of σ to Θ, it follows that d is the *unique* proper divisor of m such that $\Phi_d(t)$ divides $M(t)$. Since the roots of $M(t)$ are m^{th} roots of unity (because $\sigma^m = 1$) and are distinct (since σ is diagonalizable on $\overline{\mathbb{Q}} \otimes V$) and $M(t) \neq \Phi_d(t)$ by assumption, it follows that $M(t) = \Phi_m(t) \cdot \Phi_d(t)$.

If $e = 2$ then $-\sigma \in W$ is also primitive, with reducible minimal polynomial $M(-t) = \Phi_m(-t) \cdot \Phi_d(-t)$, contradicting the case of the lemma previously proved. If $e = 3$, then Φ has type D_4, so $m = 3d$ and

$$4 = \deg M = \phi(3d) + \phi(d) = \phi(d)[\phi(3) + 1] = 3\phi(d),$$

which is also impossible. The lemma is now proved in all cases. ■

Now let $\sigma \in \mathrm{Aut}(R)$ be a $\mathbb{Z}$-regular automorphism of order m. Recall from Def. 3.1 that this means the group $\langle \sigma \rangle$ generated by σ acts freely on R. For each $\alpha \in R$, let $V_\alpha \subset \mathbb{Q} \otimes X$ denote the $\mathbb{Q}$-span of the $\langle \sigma \rangle$-orbit of α and let $M_\alpha(t)$ be the minimal polynomial of σ on V_α.

Lemma 3.5 *If σ is $\mathbb{Z}$-regular of order m then $\Phi_m(t)$ divides $M_\alpha(t)$ in $\mathbb{Z}[t]$, for all $\alpha \in R$.*

Proof: Let $\zeta \in \overline{\mathbb{Q}}^\times$ be a root of unity of order m and let $\alpha \in R$. It suffices to show that ζ is an eigenvalue of σ in $\overline{\mathbb{Q}} \otimes V_\alpha$. Let R' be a minimal (nonempty) σ-stable root subsystem of $R \cap V_\alpha$, and let

$$R' = R'_0 \cup R'_1 \cup \cdots \cup R'_{k-1}$$

be the decomposition of R' into irreducible components. These are permuted transitively by σ; we index them so that $\sigma^i R'_0 = R'_i$ for $i \in \mathbb{Z}/k$. The stabilizer of R'_0 in $\langle \sigma \rangle$ is generated by σ^k. Correspondingly, the rational span V' of R' is a direct sum

$$V' = V'_0 \oplus V'_1 \oplus \cdots \oplus V'_{k-1} \subset V_\alpha$$

where V'_i is the rational span of R'_i.

Suppose that $\eta := \zeta^k$ is an eigenvalue of $\tau := \sigma^k$ in $\overline{\mathbb{Q}} \otimes V_0'$, afforded by the vector $v \in \overline{\mathbb{Q}} \otimes V_0'$. Let S and T denote the group algebras over $\overline{\mathbb{Q}}$ of $\langle\sigma\rangle$ and $\langle\tau\rangle$, respectively, and let $\overline{\mathbb{Q}}_\eta$ be the T-module with underlying vector space $\overline{\mathbb{Q}}$ on which τ acts as multiplication by η. There is a unique map of S-modules

$$f : S \otimes_T \overline{\mathbb{Q}}_\eta \longrightarrow V'$$

such that $f(1 \otimes 1) = v \in V_0'$. As $f(\sigma^i \otimes 1) = \sigma^i v \in \overline{\mathbb{Q}} \otimes V_i'$, and the spaces $V_0', V_1', \ldots, V_{k-1}'$ are linearly independent, it follows that f is injective. Frobenius reciprocity implies that ζ appears as an eigenvalue of σ in $\overline{\mathbb{Q}} \otimes V'$, hence also in $\overline{\mathbb{Q}} \otimes V_\alpha$.

It therefore suffices to prove that η appears as an eigenvalue of τ on $\overline{\mathbb{Q}} \otimes V_0'$. Since σ acts freely on R, it follows that τ acts freely on R_0' and has order $n := m/k$ on R_0'. We claim that τ is primitive on R_0'. For if $R'' \subset R_0'$ is a root subsystem preserved by τ then $R'' \cup \sigma R'' \cup \cdots \cup \sigma^{k-1} R''$ is a root subsystem preserved by σ which must equal R' (by minimality), so that $R'' = R_0'$. Hence τ is indeed primitive on R_0'. By Lemma 3.4 the characteristic polynomial of τ on V_0' is the cyclotomic polynomial $\Phi_n(t)$, which has the root $\zeta^{m/n} = \zeta^k = \eta$. Therefore η appears as an eigenvalue of τ on $\overline{\mathbb{Q}} \otimes V_0'$, as desired. ■

We are now ready to prove Prop. 3.2. Let k be an algebraically closed field and set $V_k := k \otimes X$, $\check{V}_k := k \otimes \check{X}$. Recall that a k-regular vector $\check{v} \in \check{V}_k$ is one for which $\langle \alpha, \check{v}\rangle \neq 0$ for all $\alpha \in R$.

For completeness we recall the proof of the easy direction of Prop. 3.2 (cf. [27, 4.10]). Assume that $\sigma \in \mathrm{Aut}(R)$ is k-regular, and let $\check{v} \in \check{V}_k$ be a k-regular eigenvector of σ with eigenvalue $\zeta \in k^\times$ of order m equal to the order of σ. Suppose $\sigma^d \alpha = \alpha$ for some $\alpha \in R$. Then

$$0 \neq \langle \alpha, \check{v}\rangle = \langle \sigma^d \alpha, \check{v}\rangle = \langle \alpha, \sigma^{-d}\check{v}\rangle = \zeta^{-d}\langle \alpha, \check{v}\rangle.$$

It follows that $\zeta^d = 1$. Since σ and ζ have the same order, it follows that $\sigma^d = 1$. Hence $\langle\sigma\rangle$ acts freely on R, so σ is $\mathbb{Z}$-regular.

Assume now that σ is $\mathbb{Z}$-regular, so that $\langle\sigma\rangle$ acts freely on R. Let $\bar{\Phi}_m(t)$ denote the image, under the map $\mathbb{Z}[t] \to k[t]$ induced by the canonical map $\mathbb{Z} \to k$, of the cyclotomic polynomial $\Phi_m(t)$. Since m is nonzero in k, it follows that all roots of $\bar{\Phi}_m(t)$ in k have order m. Let $\zeta \in k^\times$ be one of them.

Let $\alpha \in R$ and let X_α be the subgroup of X generated by the $\langle\sigma\rangle$-orbit of α. Then X_α is a lattice in $V_\alpha = \mathbb{Q} \otimes X_\alpha$ and $\Phi_m(t)$ divides the characteristic polynomial $\det(tI - \sigma|_{X_\alpha})$ in $\mathbb{Z}[t]$, by Lemma 3.5. Hence $\bar{\Phi}_m(t)$ divides $\det(tI - \sigma|_{k\otimes X_\alpha})$ in $k[t]$. In particular ζ^{-1} is an eigenvalue of σ on $k \otimes X_\alpha$.

The operator $P_\zeta \in \mathrm{End}(V_k)$ given by

$$P_\zeta = 1 + \zeta\sigma + \zeta^2\sigma^2 + \cdots + \zeta^{m-1}\sigma^{m-1}$$

preserves $k \otimes X_\alpha$ and $P_\zeta(k \otimes X_\alpha)$ is the ζ^{-1}-eigenspace of σ in $k \otimes X_\alpha$. As X_α is spanned by roots $\sigma^i\alpha$ and $P_\zeta(\sigma^i\alpha) = \sigma^{-i}P_\zeta(\alpha)$, it follows that $P_\zeta(\alpha) \neq 0$.

As $\alpha \in R$ was arbitrary, we have that $P_\zeta(\alpha) \neq 0$ for all $\alpha \in R$. Since k is infinite, there exists $\check{v} \in \check{V}_k$ such that $\langle P_\zeta(\alpha), \check{v}\rangle \neq 0$ for all $\alpha \in R$.

The dual projection

$$\check{P}_\zeta = 1 + \zeta^{-1}\sigma + \zeta^{-2}\sigma^2 + \cdots + \zeta^{1-m}\sigma^{m-1} \in \mathrm{End}(\check{V}_k)$$

satisfies

$$\langle \alpha, \check{P}_\zeta(\check{v}) \rangle = \langle P_\zeta(\alpha), \check{v} \rangle \neq 0,$$

for all $\alpha \in R$. Therefore $\check{P}_\zeta(\check{v})$ is a k-regular eigenvector of σ in $\check{V}_k$ whose eigenvalue ζ has order m. This completes the proof of Prop. 3.2. ∎

4 Positive rank gradings

Let $\mathfrak{g}$ be the Lie algebra of a connected simple algebraic group G of adjoint type over an algebraically closed field k whose characteristic is not a bad prime for G. Then $G = \mathrm{Aut}(\mathfrak{g})^\circ$ is the identity component of $\mathrm{Aut}(\mathfrak{g})$. We fix a Cartan subalgebra $\mathfrak{t}$ of $\mathfrak{g}$ with corresponding maximal torus $T = C_G(\mathfrak{t})$ and let R be the set of roots of $\mathfrak{t}$ of $\mathfrak{g}$. Let $N = N_G(T)$ be the normalizer of T, so that $W = N/T$ is the Weyl group of R.

From now on we only consider gradings $\mathfrak{g} = \mathfrak{g}_{i \in \mathbb{Z}/m}\, \mathfrak{g}_i$ whose period m is nonzero in k. By choosing an m^{th} root of unity in $k^\times$, we get an automorphism $\theta \in \mathrm{Aut}(\mathfrak{g})$ of order m, such that θ acts on $\mathfrak{g}_i$ by the scalar ζ^i.

In this section we show how all such gradings of positive rank may be effectively found by computing lifts to $\mathrm{Aut}(\mathfrak{g})$ of automorphisms $\sigma \in \mathrm{Aut}(R)$.

4.1 A canonical Cartan subalgebra

Given any Cartan subalgebra $\mathfrak{s}$ of $\mathfrak{g}$ with centralizer $S = C_G(\mathfrak{s})$, let

$$\mathrm{Aut}(\mathfrak{g}, \mathfrak{s}) = \{\theta \in \mathrm{Aut}(\mathfrak{g}) :\ \theta(\mathfrak{s}) = \mathfrak{s}\}.$$

We have an isomorphism (obtained by conjugating $\mathfrak{s}$ to our fixed Cartan subalgebra $\mathfrak{t}$)

$$\mathrm{Aut}(\mathfrak{g}, \mathfrak{s})/S \simeq \mathrm{Aut}(R)$$

which is unique up to conjugacy in $\mathrm{Aut}(R)$. Thus any element of $\mathrm{Aut}(\mathfrak{g}, \mathfrak{s})$ gives a well-defined conjugacy class in $\mathrm{Aut}(R)$. However, an automorphism $\theta \in \mathrm{Aut}(\mathfrak{g})$ may normalize various Cartan subalgebras $\mathfrak{s}$, giving rise to various classes in $\mathrm{Aut}(R)$. We will define a canonical θ-stable Cartan subalgebra, which will allow us associate to θ a well-defined conjugacy class in $\mathrm{Aut}(R)$.

For each $\theta \in \mathrm{Aut}(\mathfrak{g})$ whose order is nonzero in k we define a canonical θ-stable Cartan subalgebra $\mathfrak{s}$ of $\mathfrak{g}$ as follows. Let $\mathfrak{c} \subset \mathfrak{g}_1$ be a Cartan subspace. The centralizer $\mathfrak{m} = \mathfrak{z}_{\mathfrak{g}}(\mathfrak{c})$ is a θ-stable Levi subalgebra of $\mathfrak{g}$ and we have $\mathfrak{m} = \oplus \mathfrak{m}_i$ where $\mathfrak{m}_i = \mathfrak{m} \cap \mathfrak{g}_i$. Choose a Cartan subalgebra $\mathfrak{s}_0$ of $\mathfrak{m}_0$. Then $\mathfrak{s}_0$ contains regular elements of $\mathfrak{m}$, so the centralizer

$$\mathfrak{s} := \mathfrak{z}_{\mathfrak{m}}(\mathfrak{s}_0)$$

is a θ-stable Cartan subalgebra of $\mathfrak{m}$, and $\mathfrak{s}$ is also a Cartan subalgebra of $\mathfrak{g}$. We have $\mathfrak{s} \cap \mathfrak{g}_0 = \mathfrak{s}_0$ (so our notation is consistent) and $\mathfrak{s} \cap \mathfrak{g}_1 = \mathfrak{c}$. Since G_0 is transitive on Cartan subspaces in $\mathfrak{g}_1$ and $C_{G_0}(\mathfrak{c})^\circ$ is transitive on Cartan subalgebras of its Lie algebra $\mathfrak{m}_0$, the Cartan subalgebra $\mathfrak{s}$ is unique up to G_0-conjugacy.

4.2 A relation between $\mathrm{Aut}(\mathfrak{g})$ and $\mathrm{Aut}(R)$

For $\theta \in \mathrm{Aut}(\mathfrak{g})$ and $\sigma \in \mathrm{Aut}(R)$ we write

$$\theta \vdash \sigma$$

if the following two conditions are fulfilled:

- θ and σ have the same order;
- θ is G-conjugate to an automorphism $\theta' \in \mathrm{Aut}(\mathfrak{g}, \mathfrak{t})$ such that $\theta'|_{\mathfrak{t}} = \sigma$.

Assume that $\theta \vdash \sigma$ and that the common order m of θ and σ is nonzero in k. Choose a root of unity $\zeta \in k^\times$ of order m, giving a grading $\mathfrak{g} = \oplus_{i \in \mathbb{Z}/m}\, \mathfrak{g}_i$. Recall that $\mathrm{rank}(\theta)$ is the dimension of a Cartan subspace $\mathfrak{c} \subset \mathfrak{g}_1$ for θ. Likewise, for $\sigma \in \mathrm{Aut}(R)$, let $\mathrm{rank}(\sigma)$ be the multiplicity of ζ as a root of the characteristic polynomial of σ on V. Since $\mathfrak{t}$ consists of semisimple elements, it follows that $\mathrm{rank}(\theta) \geq \mathrm{rank}(\sigma)$.

Proposition 4.1 *Let $\theta \in \mathrm{Aut}(\mathfrak{g})$ be an automorphism of positive rank whose order m is nonzero in k. Then*

$$\mathrm{rank}(\theta) = \max\{\mathrm{rank}(\sigma) :\ \theta \vdash \sigma\}.$$

Proof: It suffices to show that there exists $\sigma \in \mathrm{Aut}(\mathfrak{g}, \mathfrak{t})$ such that $\theta \vdash \sigma$ and $\mathrm{rank}(\theta) = \mathrm{rank}(\sigma)$.

Replacing θ by a G-conjugate, we may assume that $\mathfrak{t}$ is the canonical Cartan subalgebra for θ (section 4.1) so that $\theta \in \mathrm{Aut}(\mathfrak{g}, \mathfrak{t})$, and $\mathfrak{c} = \mathfrak{t}_1$ is a Cartan subspace contained in $\mathfrak{t}$. Then $\mathfrak{c}$ is the ζ-eigenspace of $\sigma := \theta|_{\mathfrak{t}} \in \mathrm{Aut}(R)$. Since θ has order m, it follows that the order of σ divides m. But σ has an eigenvalue of order m, so the order of σ is exactly m. We therefore have $\theta \vdash \sigma$ and $\mathrm{rank}(\theta) = \dim \mathfrak{c} = \mathrm{rank}(\sigma)$. ■

Given $\sigma \in \mathrm{Aut}(R)$ let $\mathrm{Kac}(\sigma)$ denote the set of normalized Kac diagrams of automorphisms $\theta \in \mathrm{Aut}(\mathfrak{g}, \mathfrak{t})$ for which $\theta \vdash \sigma$. Since there are only finitely many Kac diagrams of a given order, each set $\mathrm{Kac}(\sigma)$ is finite. From Prop. 4.1 it follows that the Kac coordinates of all positive rank automorphisms of $\mathfrak{g}$ are contained in the union

$$\bigcup_{\sigma \in \mathrm{Aut}(R)/\sim} \mathrm{Kac}(\sigma), \tag{4}$$

taken over representatives of the W-conjugacy classes in $\mathrm{Aut}(R)$. Moreover $\mathrm{rank}(\theta)$ is the maximal $\mathrm{rank}(\sigma)$ for which the Kac coordinates of θ appear in $\mathrm{Kac}(\sigma)$.

4.3 Inner automorphisms

If $\theta \in G = \mathrm{Aut}(\mathfrak{g})^\circ$ is inner then its Kac diagram will belong to $\mathrm{Kac}(w)$ for some $w \in W$. In this section we refine the union (4) to reduce the number of classes of w to consider, and we show how to compute $\mathrm{Kac}(w)$ directly from w, for these classes.

A subset $J \subset \{1, \ldots, \ell\}$ is **irreducible** if the root system R_J spanned by $\{\alpha_j : j \in J\}$ is irreducible. Two subsets J, J' are **orthogonal** if R_J and $R_{J'}$ are orthogonal.

An element $w \in W$ is m**-admissible** if w has order m and w can be expressed as a product

$$w = w_1 w_2 \cdots w_d, \tag{5}$$

where each w_i is contained in W_{J_i} for irreducible mutually orthogonal subsets $J_1, \ldots, J_d$ of $\{1, 2, \ldots, \ell\}$ and on the reflection representation of W_{J_i} each w_i has an eigenvalue of order m but no eigenvalue equal to 1 (so w_i is elliptic in W_{J_i}). We call (5) an **admissible factorization** of w. Note that each w_i also has order m, that $\operatorname{rank}(w) = \sum_i \operatorname{rank}(w_i)$, and $\operatorname{rank}(w_i) > 0$ for $1 \le i \le d$.

Let G_i be the Levi subgroup of G containing T and the roots from J_i, and let G_i' be the derived group of G_i. Each $w_i \in W_{J_i}$ has a lift $\dot{w}_i \in G_i' \cap N$ and all such lifts are conjugate by $T \cap G_i$, hence the normalized Kac-coordinates of $\operatorname{Ad}(\dot{w}_i)$ in $\operatorname{Ad}(G_i')$ are well-defined.

Given an m-admissible element $w = w_1 \cdots w_d$ as in (5), let $\operatorname{Kac}(w)_{\mathrm{un}}$ be the set of un-normalized Kac coordinates $(s_0, s_1, \ldots, s_\ell)$ such that

- For $j \in J_i$ the coordinate s_j is the corresponding normalized Kac coordinate of w_i in G_i'.
- For $i \in \{0, 1, \ldots, \ell\} - J$, the coordinate s_i ranges over a set of representatives for $\mathbb{Z}/m$.
- $\sum_{i=0}^{\ell} a_i s_i = m$.

Lemma 4.2 *If w is m-admissible, then $\operatorname{Kac}(w)$ is the set of Kac diagrams obtained by applying the normalization algorithm of section 2.2 to the elements of $\operatorname{Kac}(w)_{\mathrm{un}}$.*

Proof: Each Kac diagram in $\operatorname{Kac}(w)_{\mathrm{un}}$ is that of a lift of w in N of order m. Hence the normalization of this diagram lies in $\operatorname{Kac}(w)$. Conversely, suppose (s_i) are normalized Kac coordinates lying in $\operatorname{Kac}(w)$. By definition, there is an inner automorphism $\theta \vdash w$ (notation of section 4.2) of order m with these normalized Kac-coordinates, and we may assume that $\theta = \operatorname{Ad}(n)$ for some $n \in N$, a lift of w. Then

$$n = \dot{w}_1 \dot{w}_2 \cdots \dot{w}_d \cdot t$$

where each $\dot{w}_i$ is a lift of w_i and $t \in T$. Let Z be the maximal torus in the center of $G_1' \cdot G_2' \cdots G_d' \cdot T$. Then $T = Z \cdot (1 - w)T$, so we may conjugate n by T to arrange that $t \in Z$. Next, we conjugate each $\dot{w}_i$ in G_i' to an element $t_i \in T \cap G_i'$, thus conjugating n to

$$n' = t_1 \cdot t_2 \cdots t_d \cdot t \in T.$$

Since n' has order m there exists $\check{\lambda} \in \check{X}$ such that $n' = \check{\lambda}(\zeta)$. As in section 2.2, the point $x = x_0 + \frac{1}{m}\check{\lambda} \in \mathcal{A}_{\mathbb{Q}}$ has order m and the simple affine roots ψ_i take values $\psi_i(x) = s_i'/m$, where s_i' are the Kac coordinates of n' and $\sum_{i=0}^{\ell} a_i s_i' = m$. If $j \in J_i$ then s_j' is a Kac coordinate of the G_i'-conjugate $\dot{w}_i$ of t_i, and if $i \in \{0, 1, \ldots, \ell\} - J$ we have $\alpha_i(n') = \zeta^{s_i'}$, so the class of s_i' in $\mathbb{Z}/m$ is determined. Hence the Kac coordinates (s_i') lie in $\operatorname{Kac}(w)_{\mathrm{un}}$ and their normalization is (s_i). ■

Proposition 4.3 *Let $\theta \in \mathrm{Aut}(\mathfrak{g})^\circ$ be an inner automorphism of order m nonzero in k with $\mathrm{rank}(\theta) > 0$. Then there exists an m-admissible element $w \in W$ such that $\theta \vdash w$, and the rank of θ is given by*

$$\mathrm{rank}(\theta) = \max\{\mathrm{rank}(w) : \ \theta \vdash w\},$$

where the maximum is taken over all W-conjugacy classes of m-admissible elements $w \in W$ such that $\theta \vdash w$.

Proof: We may assume that $\mathfrak{t}$ is the canonical Cartan subalgebra for θ, so that $\theta = \mathrm{Ad}(n)$ for some $n \in N$. The element $w = nT \in N/T = W$ has order m and $\theta \vdash w$. Recall that the canonical Cartan subalgebra has the property that $\mathfrak{t}_1$ is a Cartan subspace for θ. Hence $\mathrm{rank}(\theta) = \mathrm{rank}(w) > 0$.

Assume first that $\mathfrak{t}_0 = 0$, that is, w is elliptic. Then w is m-admissible and its admissible factorization (5) is $w = w_1$, with $d = 1$, so the proposition is proved in this case.

Assume now that $\mathfrak{t}_0 \neq 0$. Let R_0 be the set of roots in R vanishing on $\mathfrak{t}_0$. Since R_0 is the root system of a Levi subgroup of G, there is a basis $\Delta = \{\alpha_1, \alpha_2, \ldots, \alpha_\ell\}$ of R such that $\Delta_0 := \Delta \cap R_0$ is a basis of R_0. We have $\Delta_0 = \{\alpha_j : \ j \in J\}$ for some subset $J \subset \{1, 2, \cdots, \ell\}$. Decomposing R_0 into irreducible root systems R_0^i, we have corresponding decompositions

$$\begin{aligned}
R_0 &= R_0^1 \cup R_0^2 \cup \cdots \cup R_0^n, \\
\Delta_0 &= \Delta_0^1 \cup \Delta_0^2 \cup \cdots \cup \Delta_0^n, \\
J &= J_1 \cup J_2 \cup \cdots \cup J_n, \\
W_J &= W_{J_1} \times W_{J_2} \times \cdots \times W_{J_n}, \\
w &= w_1 \cdot w_2 \cdot \cdots \cdot w_n.
\end{aligned}$$

By construction, w is elliptic in W_J and has an eigenvalue of order m on the reflection representation of W_J. Therefore, each w_i is elliptic in W_{J_i} and has eigenvalues of order dividing m. And since $\mathrm{rank}(w) > 0$ there is some number $d \geq 1$ of w_i's having an eigenvalue of order exactly m. Let the factors be numbered so that w_i has an eigenvalue of order m for $i \leq d$, and w_i has no eigenvalue of order m for $i > d$. The element

$$w' = w_1 w_2 \cdots w_d$$

is m-admissible.

As before, let G_i be the Levi subgroup of G containing T and the root subgroups from J_i, and let G_i' be the derived subgroup of G_i. The derived group of $C_G(\mathfrak{t}_0)$ is a commuting product $G_1' \cdot G_2' \cdots G_n'$.

Each w_i has a lift $\dot{w}_i \in N \cap G_i'$; such a lift is unique up to conjugacy by $T \cap G_i'$ and we have

$$\theta = \dot{w}_1 \dot{w}_2 \cdots \dot{w}_n \cdot t$$

for some $t \in T$. For $i > d$ we conjugate $\dot{w}_i$ in G_i' to an element $t_i \in T$, obtaining a conjugate θ' of θ having the form

$$\theta' = \dot{w}_1 \dot{w}_2 \cdots \dot{w}_d \cdot t'.$$

Therefore $\theta \vdash w'$ and w' is m-admissible of the same rank as θ. The proposition is proved. ■

5 Principal and stable gradings

Retain the set-up of section 4. Let B be a Borel subgroup of $G = \mathrm{Aut}(\mathfrak{g})^\circ$ containing our fixed maximal torus T. The algebraic group G has root datum $(X, R, \check{X}, \check{R})$, where $X = X^*(T)$ (resp. $\check{X} = X_*(T)$) are the lattices of weights (resp. co-weights) of T, and R (resp. $\check{R}$) are the sets of roots (resp. co-roots) of T in G. The base Δ of R is the set of simple roots of T in B. As before, we choose a pinning $(X, R, \check{X}, \check{R}, \{E_i\})$, where $E_i \in \mathfrak{g}$ is a root vector for the simple root $\alpha_i \in \Delta$. This choice gives an isomorphism from $\mathrm{Aut}(R, \Delta)$ to the group $\Theta = \{\vartheta \in \mathrm{Aut}(\mathfrak{g}, \mathfrak{t}) : \vartheta\{E_i\} = \{E_i\}\}$ of pinned automorphisms, and we have a splitting

$$\mathrm{Aut}(\mathfrak{g}) = G \rtimes \Theta.$$

5.1 Principal gradings

We say the grading $\mathfrak{g} = \mathfrak{g}_{i\in\mathbb{Z}/m}\, \mathfrak{g}_i$ (or the associated automorphism $\theta \in \mathrm{Aut}(\mathfrak{g})$) is **principal** if θ is G-conjugate to the automorphism

$$\theta_m = \check{\rho}(\zeta)\vartheta$$

for some pinned automorphism $\vartheta \in \Theta$ and some root of unity $\zeta \in k^\times$ of order m. (Recall that $\check{\rho}$ is the sum of the fundamental co-weights dual to the simple roots $\alpha_i \in \Delta$.) We will see that the G-conjugacy class of θ_m does not depend on the choice of ζ. Thus, for each positive integer m which is nonzero in k there is a unique G-conjugacy class of principal gradings on $\mathfrak{g}$ of order m, whose normalized Kac diagrams may be found as described in section 2.4. These Kac diagrams may also be found in [7].

Note that ζ-eigenspace $\mathfrak{g}_1$ for θ_m contains the regular nilpotent element $E := E_1 + E_2 + \cdots + E_\ell$ associated to our pinning. Conversely if $\mathfrak{g}_1$ contains a regular nilpotent element then θ is principal. If the characteristic p of k is zero or sufficiently large, the element $\check{\rho}(\zeta)$ is the image of $\begin{bmatrix}\zeta & 0\\ 0 & 1\end{bmatrix}$ under the principal embedding $\mathrm{PGL}_2 \hookrightarrow G$ associated by the Jacobson-Morozov theorem to E. Elsewhere in the literature a principal automorphism is called "N-regular".

The first aim of this section is to show that lifts to $\mathrm{Aut}(\mathfrak{g})$ of $\mathbb{Z}$-regular elliptic automorphisms $\sigma \in \mathrm{Aut}(R)$ are principal. (Recall that an automorphism $\sigma \in \mathrm{Aut}(R)$ is called **elliptic** if $X^\sigma = 0$.)

More precisely, let $\sigma = w\vartheta \in W\vartheta$ be an elliptic $\mathbb{Z}$-regular automorphism of R (Def. 3.1). Let $n \in N$ be a lift of w. Since σ is elliptic the fixed-point group T^σ is finite, so the coset $nT\vartheta \subset G\vartheta$ consists of a single T-orbit under conjugation. It follows that the G-conjugacy class C_σ of $n\vartheta$ in $G\vartheta$ depends only on σ. In this section we will prove the following.

Proposition 5.1 *Assume $\sigma \in W\vartheta$ is elliptic and $\mathbb{Z}$-regular and that the order m of σ is nonzero in k. Then the conjugacy class C_σ contains $\check{\rho}(\zeta)\vartheta$ for every $\zeta \in k^\times$ of order m.*

The second aim of this section is to characterize the principal gradings which arise from elliptic $\mathbb{Z}$-regular automorphisms of R in terms of stability (see section 5.3).

5.2 Conjugacy results

Let N^ϑ, W^ϑ denote the fixed-point subgroups of ϑ in N, W respectively, and let $N_\vartheta = \{n \in N : \vartheta(n) \equiv n \mod T\}$. It is known (see [28]) that $N_\vartheta = N^\vartheta \cdot T$. This group acts on the coset $T\vartheta$ by conjugation. Meanwhile the fixed-point group W^ϑ acts on the quotient torus

$$T_\vartheta = T/(1-\vartheta)T$$

whose character and cocharacter groups $X^*(T_\vartheta) = X^\vartheta$ and $X_*(T_\vartheta) = \check{X}/(1-\vartheta)\check{X}$ are the invariants and coinvariants of ϑ in X and $\check{X}$, respectively.

We now recall some conjugacy results from [4] and [22] which are stated over $\mathbb{C}$ but whose proofs are unchanged if $\mathbb{C}$ is replaced by any algebraically closed field k. First, we have [4, 6.4]:

Lemma 5.2 *The natural projection $\nu : T \to T_\vartheta$ induces a bijection*

$$T\vartheta/N_\vartheta \longrightarrow T_\vartheta/W^\vartheta,$$

sending $t\vartheta \mod N_\vartheta \mapsto \nu(t) \mod W^\vartheta$.

From [22, Lemma 3.2] each semisimple element $g\vartheta \in G\vartheta$ is G-conjugate to an element of $t\vartheta$ with $t \in T^\vartheta$. Now [4, 6.5] shows that sending $g\vartheta$ to the class of $\nu(t)$ modulo W^ϑ gives a bijection between the set of semisimple G-conjugacy classes in $G\vartheta$ and the orbit space T_ϑ/W^ϑ.

The torus T_ϑ is a maximal torus in a connected reductive group G_ϑ with Weyl group W^ϑ. Hence the affine variety T_ϑ/W^ϑ has a canonical $\mathbb{Z}$-form, namely the ring $\mathbb{Z}[X^\vartheta]^{W^\vartheta}$ of W^ϑ-invariants in the integral group algebra of the character group X^ϑ of T_ϑ. This ring has a $\mathbb{Z}$-basis $\{\chi_\lambda : \lambda \in X^\vartheta_+\}$ indexed by the set X^ϑ_+ of dominant weights, where

$$\chi_\lambda = \sum_{\mu \in X^\vartheta} m^\mu_\lambda \mu,$$

and m^μ_λ is the multiplicity of the weight μ in the irreducible representation of highest weight λ of the complex group with the same root datum as G_ϑ.

We now regard G as a Chevalley group scheme over $\mathbb{Z}$, writing $G(A)$ for the group of A-valued points in a commutative ring A. The group heretofore denoted by G is now $G(k)$. Likewise T and N are now group schemes over $\mathbb{Z}$.

Let $\lambda \in X^\vartheta_+$ and let V be the irreducible representation of $G(\mathbb{C})$ of highest weight λ. Since $\vartheta\lambda = \lambda$ it follows that V extends uniquely to a representation of $G(\mathbb{C}) \cdot \langle\vartheta\rangle$ such that ϑ acts trivially on $V(\lambda)$.

Choose a $G(\mathbb{Z})$-stable lattice M in V such that $M \cap V(\mu)$ spans each weight space $V(\mu)$ in V and $\vartheta M = M$. For example, we could take M to be the Kostant $\mathbb{Z}$-form of V [14]. We get a representation of $G(k) \cdot \langle\vartheta\rangle$ on $V_k := k \otimes M$ which may be reducible and which depends on M. However the traces on V_k of elements of $G(k) \cdot \langle\vartheta\rangle$ are independent of the choice of M.

Let $A = \mathbb{Z}[\zeta] \subset \mathbb{C}$ be the cyclotomic ring generated by a root of unity $\zeta \in \mathbb{C}^\times$ of order m. Assume that k is algebraically closed and m is nonzero in k. Choose $\zeta_k \in k^\times$ a root of unity of order m. We have ring homomorphisms

$$\mathbb{C} \overset{\iota}{\hookleftarrow} A \xrightarrow{\pi} k,$$

where ι is the inclusion and $\pi(\zeta) = \zeta_k$. We use the same letters to denote maps on groups of points, e.g.,

$$G(\mathbb{C}) \xhookleftarrow{\iota} G(A) \xrightarrow{\pi} G(k),$$

and similarly for T and N.

Lemma 5.3 *Let $s, t \in T(k)^\vartheta$ be elements of order m such that $\operatorname{tr}(s\vartheta, V_k) = \operatorname{tr}(t\vartheta, V_k)$ for all irreducible representations V of $G(\mathbb{C})$ whose highest weight belongs to X_+^ϑ. Then $s\vartheta$ and $t\vartheta$ are $G(k)$-conjugate.*

Proof: Let V' be the representation of $G_\vartheta(\mathbb{C})$ with the same highest weight as V. And choose a lattice $M' \subset V'$ analogous to M above. Since s has order m there is a co-weight $\check{\omega} \in \check{X}$ such that

$$s = \check{\omega}(\zeta_k) = \pi\check{\omega}(\zeta).$$

For each $\mu \in X^\vartheta$ let $M(\mu) = M \cap V(\mu)$ and likewise set $M'(\mu) = M' \cap V'(\mu)$. We have

$$\begin{aligned} \operatorname{tr}(s\vartheta, V_k) &= \sum_{\mu \in X^\vartheta} \mu(s) \cdot \operatorname{tr}(\vartheta, k \otimes M(\mu)) = \sum_{\mu \in X^\vartheta} \zeta_k^{\langle \mu, \check{\omega} \rangle} \cdot \pi\left(\operatorname{tr}(\vartheta, M(\mu))\right) \\ &= \pi\left(\sum_{\mu \in X^\vartheta} \zeta^{\langle \mu, \check{\omega} \rangle} \cdot \operatorname{tr}(\vartheta, M(\mu))\right). \end{aligned}$$

By a result of Jantzen (see for example [16]) we have

$$\sum_{\mu \in X^\vartheta} \zeta^{\langle \mu, \check{\omega} \rangle} \cdot \operatorname{tr}(\vartheta, M(\mu)) = \sum_{\mu \in X^\vartheta} \zeta^{\langle \mu, \check{\omega} \rangle} \cdot \dim M'(\mu).$$

It follows that

$$\operatorname{tr}(s\vartheta, V_k) = \pi\left(\sum_{\mu \in X^\vartheta} \zeta^{\langle \mu, \check{\omega} \rangle} \cdot \dim M'(\mu)\right) = \operatorname{tr}(\nu(s), V_k').$$

Applying this identity to $t\vartheta$ as well, we find that

$$\operatorname{tr}(\nu(s), V_k') = \operatorname{tr}(\nu(t), V_k').$$

Therefore $\chi_\lambda(\nu(s)) = \chi_\lambda(\nu(t))$ for all $\lambda \in X_+^\vartheta$, so $\nu(s) \equiv \nu(t) \mod W^\vartheta$. It follows that $s\vartheta$ and $t\vartheta$ are $G(k)$-conjugate, as claimed. ■

Now suppose $g \in G(\mathbb{Z})$ and $g\vartheta$ is semisimple of order m. Let $s \in T(\mathbb{C})^\vartheta$ and $t \in T(k)^\vartheta$ be such that $\iota(g)\vartheta$ is $G(\mathbb{C})$-conjugate to $s\vartheta$ and $\pi(g)\vartheta$ is $G(k)$-conjugate to $t\vartheta$.

Lemma 5.4 *In the situation just described, we have $s \in T(A)$ and $\pi(s)\vartheta$ is $G(k)$-conjugate to $t\vartheta$.*

Proof: As above we have $s = \check{\omega}(\zeta)$ for some co-weight $\check{\omega} \in \check{X}$. It follows that $s \in T(A)$. Moreover, $g\vartheta$ preserves the lattice M, so we have

$$\operatorname{tr}(\iota(g)\vartheta, M) = \operatorname{tr}(s\vartheta, V) = \sum_{\mu \in X^{\vartheta}} \zeta^{\langle \mu, \check{\omega} \rangle} \cdot \operatorname{tr}(\vartheta, M(\mu)).$$

Applying π to both sides we get

$$\pi\left(\operatorname{tr}(\iota(g)\vartheta, M)\right) = \sum_{\mu \in X^{\vartheta}} \zeta_k^{\langle \mu, \check{\omega} \rangle} \cdot \operatorname{tr}(\vartheta, V_k(\mu)) = \operatorname{tr}(\pi(s)\vartheta, V_k). \tag{6}$$

On the other hand, we can first apply $\pi : G(A) \to G(k)$ and then take traces. This gives

$$\pi\left(\operatorname{tr}(\iota(g)\vartheta, M)\right) = \operatorname{tr}(\pi(g)\vartheta, V_k) = \operatorname{tr}(t\vartheta, V_k). \tag{7}$$

Comparing the expressions (6) and (7) and using Lemma 5.3 we see that $\pi(s)\vartheta$ and $t\vartheta$ are $G(k)$-conjugate as claimed. ∎

We are ready to prove Prop. 5.1. Recall that $w\vartheta \in W\vartheta$ is an elliptic $\mathbb{Z}$-regular automorphism of R whose order m is nonzero in the algebraically closed field k. Let $\zeta \in k^{\times}$ be a root of unity of order m. Recall that $\check{\rho}$ is the sum of the fundamental co-weights arising from our chosen pinning. We have $\check{\rho} \in \check{X}^{\vartheta}$ and $\check{\rho}(\zeta) \in T(k)^{\vartheta}$. We now prove Prop. 5.1 in the following form.

Proposition 5.5 *For any lift $n \in N(k)$ of w, the element $n\vartheta \in G(k)\vartheta$ is $G(k)$-conjugate to $\check{\rho}(\zeta)\vartheta$.*

Proof: Assume first that k has characteristic zero. In this case the proof relies on [21, Thm. 3.3] and is similar to the proof of [21, Thm. 4.2 (iii)]. The automorphism $\tau := \check{\rho}(\zeta)\vartheta \in \operatorname{Aut}(\mathfrak{g})$ has order m and gives a grading $\mathfrak{g} = \oplus_{i \in \mathbb{Z}/m}\, \mathfrak{g}_i'$, where $\mathfrak{g}_i'$ is the ζ^i-eigenspace of τ. The sum $E = \sum_{i=1}^{\ell} E_i$ of the simple root vectors in our pinning belongs to $\mathfrak{g}_1'$. By [21, Thm. 3.3 v], the dimension of a Cartan subspace $\mathfrak{c} \subset \mathfrak{g}_1'$ may be computed as follows. Let $f_1, \dots, f_\ell \in k[\mathfrak{t}]$ be homogeneous generators for the algebra of W-invariant polynomials on $\mathfrak{t}$. Assume, as we may, that each f_i is an eigenvector for ϑ, with eigenvalue denoted ε_i, and set $d_i = \deg f_i$. The integer

$$a(m, \vartheta) := |\{i :\ 1 \le i \le \ell,\ \varepsilon_i \zeta^{d_i} = 1\}|$$

depends only on m and ϑ, and we have

$$\dim \mathfrak{c} = a(m, \vartheta).$$

Let $\mathfrak{s}$ be a canonical Cartan subalgebra for τ (section 4.1). There exists $g \in G$ such that $\mathfrak{t} = \operatorname{Ad}(g)\mathfrak{s}$, and we set $\theta' = g\tau g^{-1}$. Since θ' normalizes $\mathfrak{t}$ and belongs to $G\vartheta$ we have $\theta' \in N\vartheta$. Let $w'\vartheta \in W\vartheta$ be the projection of θ'. Then $\operatorname{Ad}(g)\mathfrak{c}$ is the ζ-eigenspace $\mathfrak{t}(w'\vartheta, \zeta)$ of $w'\vartheta$ in $\mathfrak{t}$, so

$$\dim \mathfrak{t}(w'\vartheta, \zeta) = a(m, \vartheta).$$

Since $w\vartheta$ is $\mathbb{Z}$-regular and therefore k-regular (by Prop. 3.2), it follows from [27, Prop. 3.6] that we also have $\dim \mathfrak{t}(w\vartheta, \zeta) = a(m, \vartheta)$, and therefore

$$\dim \mathfrak{t}(w\vartheta, \zeta) = \dim \mathfrak{t}(w'\vartheta, \zeta).$$

By [27, Thm. 6.4 (iv)] the elements $w\vartheta, w'\vartheta \in W\vartheta$ are conjugate under W. It follows that $n\vartheta$ is N-conjugate to an element of $T\theta'$. As $w'\vartheta$ is also elliptic, it follows that $n\vartheta$ is actually conjugate to θ', and hence to $\tau = \check{\rho}(\zeta)\vartheta$, as claimed.

Now assume that k has positive characteristic not dividing m. Let A be the cyclotomic subring of $\mathbb{C}$ generated by $z = e^{2\pi i/m}$ and let $\pi : A \to k$ be the ring homomorphism mapping $z \mapsto \zeta$. By ellipticity, all lifts of $w\vartheta$ to $N(k)\vartheta$ are $T(k)$-conjugate, so we may choose our lift to be of the form $\pi(n)$ with $n \in N(\mathbb{Z})$. From the characteristic zero case just proved, we have that $\iota(n)\vartheta$ is $G(\mathbb{C})$-conjugate to $\check{\rho}(z)\vartheta$. By Lemma 5.4 it follows that $\pi(n)\vartheta$ is $G(k)$-conjugate to $\check{\rho}(\zeta)\vartheta$, as claimed. ∎

5.3 Stable gradings

Let H be a connected reductive k-group acting on a k-vector space V. A vector $v \in V$ is called **H-stable** (in the sense of Geometric Invariant Theory) if the H-orbit of v is closed and the stabilizer of v in H is finite. The second condition means that the stabilizer H_v is a finite algebraic group: it has only finitely many points over the algebraically closed field k.

Recall we are assuming the characteristic of k is not a bad prime for G and that the period m of the grading $\mathfrak{g} = \oplus_{i\in\mathbb{Z}/m}\mathfrak{g}_i$ is nonzero in k. We have chosen a root of unity $\zeta \in k^\times$ of order m, and $\theta \in \mathrm{Aut}(\mathfrak{g})$ is the automorphism of order m whose ζ^i-eigenspace is $\mathfrak{g}_i$.

We say the grading $\mathfrak{g} = \oplus_{i\in\mathbb{Z}/m}\, \mathfrak{g}_i$ (or the automorphism θ) is **stable** if there are G_0-stable vectors in $\mathfrak{g}_1$. In this section we will show that stable gradings are closely related to elliptic $\mathbb{Z}$-regular automorphisms of the root system R.

Lemma 5.6 *A vector $v \in \mathfrak{g}_1$ is stable if and only if v is a regular semisimple element of $\mathfrak{g}$ and the action of θ on the Cartan subalgebra centralizing v is elliptic.*

Proof: Vinberg showed ([29, Prop. 3]) that the G_0-orbit of v is closed in $\mathfrak{g}_1$ if and only if v is semisimple in $\mathfrak{g}$. His proof works also in positive characteristic (see [18, 2.12-3]). If v is semisimple its centralizer $\mathfrak{z}_{\mathfrak{g}}(v)$ is a θ-stable Levi subalgebra of $\mathfrak{g}$. The derived subalgebra $\mathfrak{h}$ of $\mathfrak{z}_{\mathfrak{g}}(v)$ is semisimple and is preserved by θ. If v is stable then clearly $\mathfrak{h}_0 = 0$. This forces $\mathfrak{h} = 0$, so v is regular in $\mathfrak{g}$ and θ is elliptic on the Cartan subalgebra $\mathfrak{z}_{\mathfrak{g}}(v)$. The reverse implication is clear. ∎

Prop. 5.1 and Lemma 5.6 have the following corollaries.

Corollary 5.7 *Let $\theta \in G\vartheta$ have order m nonzero in k. The following are equivalent.*

1. *The grading on $\mathfrak{g}$ given by θ is stable;*
2. *The action of θ on its canonical Cartan subalgebra induces an elliptic $\mathbb{Z}$-regular automorphism of R;*
3. *θ is principal and m is the order of an elliptic $\mathbb{Z}$-regular element of $W\vartheta$.*

Corollary 5.8 *The map sending a stable automorphism $\theta \in \mathrm{Aut}(\mathfrak{g})$ to the automorphism of R induced by the action of θ on its canonical Cartan subalgebra gives a bijection between the G-conjugacy classes of stable automorphisms of $\mathfrak{g}$ and the W-conjugacy classes of elliptic $\mathbb{Z}$-regular automorphisms of R.*

6 Affine-pinned automorphisms

In this section we construct certain automorphisms of $\mathfrak{g}$ arising from symmetries of the affine Dynkin diagram. These will be used to study outer automorphisms of E_6.

Assume $\mathfrak{g}$ is a simple Lie algebra over $\mathbb{C}$ with adjoint group $G = \mathrm{Aut}(\mathfrak{g})^\circ$. Let N, T be the normalizer and centralizer of a Cartan subalgebra $\mathfrak{t}$ of $\mathfrak{g}$ and let $W = N/T$. Let R be the set of roots of T in $\mathfrak{g}$ and choose a base $\Delta = \{\alpha_1, \ldots, \alpha_\ell\}$ of R. Let α_0 be the lowest root of R with respect to Δ and set $\Pi = \{\alpha_i : \ i \in I\}$, where $I = \{0, 1, \ldots, \ell\}$. The subgroup of W preserving Π,

$$W_\Pi = \{w \in W : \ w\Pi = \Pi\}$$

is isomorphic to the fundamental group of G. Each element $w \in W_\Pi$ determines a permutation σ of I such that

$$w \cdot \alpha_i = \alpha_{\sigma(i)}.$$

Choose a Chevalley lattice $\mathfrak{g}_\mathbb{Z} \subset \mathfrak{g}$ spanned by a lattice in $\mathfrak{t}$ and root vectors for T. An *affine pinning* is a set $\widetilde{\Pi} = \{E_0, E_1, \cdots, E_\ell\}$ consisting of nonzero root vectors $E_i \in \mathfrak{g}_{\alpha_i} \cap \mathfrak{g}(\mathbb{Z})$ for each $i \in I$. Let $N(\mathbb{Z})$ be the stabilizer of $\mathfrak{g}(\mathbb{Z})$ in N, and consider the subgroup

$$N_{\widetilde{\Pi}} = \{n \in N(\mathbb{Z}) : \ n\widetilde{\Pi} = \widetilde{\Pi}\}.$$

Lemma 6.1 *Let $\widetilde{\Pi}$ be an affine pinning. Then the projection $N \to W$ restricts to an isomorphism $f : N_{\widetilde{\Pi}} \xrightarrow{\sim} W_\Pi$.*

Proof: It is clear that $f(N_{\widetilde{\Pi}}) \subset W_\Pi$. An element in $\ker f$ lies in T and fixes each root vector E_i, hence lies in the center of G, which is trivial since G is adjoint. Hence f is injective.

Let $w \in W_\Pi$. Since the projection $N \to W$ is surjective on $N(\mathbb{Z})$ there is a lift n' of w such that $n' \in N(\mathbb{Z})$. For each $i \in I$ there is a sign $c_i = \pm 1$ such that $n' \cdot E_i = c_i E_{\sigma(i)}$.

Let $\check\omega_1, \ldots, \check\omega_\ell \in X_*(T)$ be the fundamental coweights dual to $\alpha_1, \ldots, \alpha_\ell$. The element $t = \prod_{i=1}^{\ell} \check\omega_i(c_i)$ lies in $T(\mathbb{Z})$ and the new lift $n = n't$ of w satisfies $n \cdot E_i = E_{\sigma(i)}$ for $1 \leq i \leq \ell$.

Let d be the order of w. Then $\sigma^d = 1$ so n^d fixes E_i for each $1 \leq i \leq \ell$. Hence n^d fixes the regular nilpotent element $E = E_1 + E_2 + \cdots + E_\ell$. Since G is adjoint, the centralizer of E in G is a unipotent group. On the other hand, every element of $N(\mathbb{Z})$ is semisimple. It follows that $n^d = 1$.

Let $i = \sigma(0)$. It follows from [5, VI.3.2] that $\sigma^j(0) \neq 0$ for $1 \leq j < d$. By what has been proved, we have

$$n^{-1} \cdot E_i = n^{d-1} \cdot E_i = E_{\sigma^{d-1}(i)} = E_{\sigma^{-1}(i)} = E_0.$$

It follows that $n \cdot E_0 = E_i$, so n is a lift of w in $N_{\widetilde{\Pi}}$. ∎

Now let k be an algebraically closed field of characteristic not equal to two, and view G as a group scheme over $\mathbb{Z}$, via the lattice $\mathfrak{g}_{\mathbb{Z}}$. Take $w \in W_\Pi$ of order two. From [loc. cit.] it follows that there exists a unique minuscule coweight $\check{\omega}_j$ such that $w\check{\omega}_j = -\check{\omega}_j$. The natural map $N(\mathbb{Z}) \to N(k)$ is injective, and we now let n be the image in $N(k)$ of the unique lift of w in $N_{\widetilde{\Pi}}$.

Proposition 6.2 *There exists an affine pinning $\widetilde{\Pi}$ such that n is $G(k)$-conjugate to $\check{\omega}_j(-1)$. The Kac coordinates of $\mathrm{Ad}(n)$ are given by:*

$$s_i = \begin{cases} 1 & \textit{for} \quad i \in \{0, j\} \\ 0 & \textit{for} \quad i \notin \{0, j\}. \end{cases}$$

These labels give the unique w-invariant Kac-diagram of order two having $s_0 \neq 0$.

Proof: By [6, Lemma 5] there are mutually orthogonal roots $\gamma_1, \dots, \gamma_m \in R$ with corresponding reflections $r_1, \dots, r_m \in W$, such that

$$w = r_1 r_2 \cdots r_m. \tag{8}$$

Since $\check{\omega}_j$ is minuscule we have $\langle \alpha, \check{\omega}_j \rangle \in \{0, +1, -1\}$ for each $\alpha \in R$. The positive roots made negative by w are those for which $\langle \alpha, \check{\omega}_j \rangle \neq 0$. Since $w\gamma_i = -\gamma_i$ for each i, we may choose the sign of each γ_i so that $\langle \gamma_i, \check{\omega}_j \rangle = +1$. And since

$$-\check{\omega}_j = w \cdot \check{\omega}_j = \check{\omega}_j - \sum_{i=1}^m \langle \gamma_i, \check{\omega}_j \rangle \check{\gamma}_i,$$

it then follows that

$$\check{\gamma}_1 + \check{\gamma}_2 + \cdots + \check{\gamma}_m = 2\check{\omega}_j. \tag{9}$$

For each $i = 1, \dots, m$ there exists a morphism $\varphi_i : SL_2 \to G$ over $\mathbb{Z}$ whose restriction to the diagonal subgroup is given by

$$\varphi_i\left(\begin{bmatrix} t & 0 \\ 0 & t^{-1} \end{bmatrix}\right) = \check{\gamma}_i(t)$$

and such that $\varphi_i\left(\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}\right) \in N(\mathbb{Z})$ and is a representative of r_i.

Since the roots γ_i are mutually orthogonal, the images of these homomorphisms φ_i commute with one another. Hence we have a $\mathbb{Z}$-morphism

$$\varphi : SL_2 \to G, \qquad \text{given by} \qquad \varphi\left(\begin{bmatrix} a & b \\ c & d \end{bmatrix}\right) = \prod_{i=1}^m \varphi_i\left(\begin{bmatrix} a & b \\ c & d \end{bmatrix}\right).$$

By equation (8) the element

$$n := \varphi\left(\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}\right) \tag{10}$$

belongs to $N(\mathbb{Z})$ and represents w. Equation (9) implies that

$$\varphi\left(\begin{bmatrix} t & 0 \\ 0 & t^{-1} \end{bmatrix}\right) = \check{\omega}_j(t)^2,$$

which in turn implies that n has order two. Since the matrices $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$ and $\begin{bmatrix} \sqrt{-1} & 0 \\ 0 & -\sqrt{-1} \end{bmatrix}$ are conjugate in SL_2, it follows that n is conjugate to $\check{\omega}_j(-1)$ in G, and that $\mathrm{Ad}(n)$ has the asserted Kac-coordinates.

We construct an affine pinning stable under n as follows. Choose representatives α_i of the w-orbits in Π, and choose arbitrary nonzero root vectors $E_i \in \mathfrak{g}(\mathbb{Z})$ for these roots. Let σ be the permutation of I induced by w. If $w \cdot \alpha_i \neq \alpha_i$, let $E_{\sigma(i)} = n \cdot E_i$. Since n has order two, we have $n \cdot E_{\sigma(i)} = E_i$. If $w \cdot \alpha_i = \alpha_i$ then α_i is orthogonal to each of the roots $\gamma_1, \ldots, \gamma_m$, since the latter are negated by w. It follows that the image of each homomorphism $\varphi_1, \ldots, \varphi_m$ centralizes the root space $\mathfrak{g}_{\alpha_i}$, so any nonzero vector $E_i \in \mathfrak{g}_{\alpha_s} \cap \mathfrak{g}(\mathbb{Z})$ is fixed by n. The collection $\widetilde{\Pi} = \{E_i\}$ of vectors thus defined is an affine pinning stable under n. ■

The following lemma will also be useful.

Lemma 6.3 *Let $S = (T^n)^\circ$ be the identity component of the subgroup of T centralized by n. Then S is centralized by the entire group $\varphi(\mathrm{SL}_2)$.*

Proof: Since $2\check{\omega}_j$ is a simple co-weight in $\varphi(\mathrm{SL}_2)$ and $\check{\omega}_j$ is minuscule, we have that $\langle \alpha, 2\check{\omega}_j \rangle \in \{-2, 0, +2\}$ for every root $\alpha \in R$. Hence $\varphi(\mathrm{SL}_2)$ acts on $\mathfrak{g}$ as a sum of copies of the trivial and adjoint representations. It follows that any vector in $\mathfrak{g}$ invariant under the normalizer of $2\check{\omega}_j(k^\times)$ in $\varphi(\mathrm{SL}_2)$ is invariant under all of $\varphi(\mathrm{SL}_2)$. Since the Lie algebra of S consists of such vectors, the lemma is proved.

■

7 Little Weyl groups

Let θ be an automorphism of $\mathfrak{g}$ whose order m is invertible in k. Choose a root of unity $\zeta \in k^\times$ of order m and let $\mathfrak{g} = \oplus_{i \in \mathbb{Z}/m}\, \mathfrak{g}_i$ be the grading of $\mathfrak{g}$ into ζ^i-eigenspaces of θ. Choose a Cartan subspace $\mathfrak{c}$ in $\mathfrak{g}_1$ and assume the rank $r = \dim \mathfrak{c}$ is positive. The little Weyl group is defined as

$$W(\mathfrak{c}, \theta) = N_{G_0}(\mathfrak{c})/Z_{G_0}(\mathfrak{c}),$$

where $G_0 = (G^\theta)^\circ$ is the connected subgroup of G with Lie algebra $\mathfrak{g}_0$. When it is necessary to specify G in the little Weyl group we will write $W_G(\mathfrak{c}, \theta)$.

It is clear from the definition that $W(\mathfrak{c}, \theta)$ acts faithfully on $\mathfrak{c}$. From [29] and [18], it is known that the action of $W(\mathfrak{c}, \theta)$ on $\mathfrak{c}$ is generated by transformations fixing a hyperplane in $\mathfrak{c}$, that the restriction map $k[\mathfrak{g}_1]^{G_0} \to k[\mathfrak{c}]^{W(\mathfrak{c},\theta)}$ is an isomorphism, and that this ring is a polynomial ring with homogeneous generators $f_1, \ldots, f_r$, such that

$$|W(\mathfrak{c}, \theta)| = \prod_{i=1}^{r} \deg(f_i).$$

7.1 Upper bounds on the little Weyl group

Recall we have fixed a Cartan subalgebra $\mathfrak{t}$ in $\mathfrak{g}$, with normalizer and centralizer N and T in G and we have identified $W = N/T$.

Replacing θ by a G-conjugate if necessary, we may assume $\mathfrak{t}$ is the canonical Cartan subalgebra for θ (see 4.1). In particular $\mathfrak{c}$ is the ζ-eigenspace of θ in $\mathfrak{t}$. Then θ normalizes N and T in $\mathrm{Aut}(\mathfrak{g})$, giving an action of θ on W; let $W^\theta = \{y \in W : \theta(y) = y\}$ be the fixed point subgroup of θ in W.

Elements in W^θ commute with the action of θ on $\mathfrak{t}$, so W^θ acts on the eigenspace $\mathfrak{c}$. Let

$$W_1^\theta := W^\theta / C_W(\mathfrak{c})^\theta \tag{11}$$

be the quotient acting faithfully on $\mathfrak{c}$. Since $\mathfrak{t}$ is a Cartan subalgebra in the Levi subalgebra $\mathfrak{m} = \mathfrak{z}_{\mathfrak{g}}(\mathfrak{c})$, it follows that every element of $W(\mathfrak{c}, \theta)$ has a representative in N and that $W(\mathfrak{c}, \theta)$ may be viewed as a subgroup of W_1^θ. Thus, we have an embedding

$$W(\mathfrak{c}, \theta) \hookrightarrow W_1^\theta.$$

Note that $W(\mathfrak{c}, \theta)$ is more subtle than W_1^θ. For it can happen that two automorphisms θ and θ' of the same order agree on $\mathfrak{t}$ and W, so they have the same Cartan subspace $\mathfrak{c}$ and $W_1^\theta = W_1^{\theta'}$, but nevertheless $W(\theta, \mathfrak{c}) \neq W(\theta', \mathfrak{c})$ (e.g. cases 4_a and 4_b in E_6; these examples are also used in [21, 4.5] to illustrate other subtleties).

A still coarser group, depending only on $\mathfrak{c}$ and not on θ is

$$W(\mathfrak{c}) := N_W(\mathfrak{c}) / C_W(\mathfrak{c}).$$

As subgroups of $\mathrm{GL}(\mathfrak{c})$, we have containments

$$W(\mathfrak{c}, \theta) \subset W_1^\theta \subset W(\mathfrak{c}).$$

Under certain circumstances one or both of these containments is an equality.

Lemma 7.1 *Suppose $\mathfrak{c}$ contains a regular element of $\mathfrak{g}$. Then*

$$W_1^\theta = W^\theta = W(\mathfrak{c}).$$

Proof: By regularity it is clear that $W_1^\theta = W^\theta$ and that $W(\mathfrak{c}) = N_W(\mathfrak{c})$. And any $y \in N_W(\mathfrak{c})$ commutes with the scalar action of θ on $\mathfrak{c}$ so the commutator $[y, \theta]$ is trivial in W, again by regularity.

■

Panyushev [21, Thm. 4.7] has shown that both containments above are equalities if θ is principal:

Proposition 7.2 (Panyushev) *If θ is principal then $W(\mathfrak{c}, \theta) = W_1^\theta = W(\mathfrak{c})$.*

We note that Panyushev works in characteristic zero, but his geometric proof works equally well in good characteristic $p \nmid m$, using the invariant theoretic results of [18].

Corollary 7.3 *If θ is principal and the restriction of θ to $\mathfrak{t}$ induces a $\mathbb{Z}$-regular automorphism of R then $W(\mathfrak{c}, \theta) = W^\theta$.*

Proof: By Prop. 3.2, $\mathbb{Z}$-regularity implies k-regularity, so $W(\mathfrak{c}) = W_1^\theta$ is just W^θ. ■

This sharpens the first result in this direction, which was proved in Vinberg's original work [29, Prop. 19]:

Corollary 7.4 (Vinberg) *If θ gives a stable grading on $\mathfrak{g}$ then $W(\mathfrak{c}, \theta) = W^\theta$.*

7.2 Little Weyl groups for inner gradings

Assume now that θ is inner, and let the restriction of θ to $\mathfrak{t}$ be given by the element $w \in W$. In this section we give upper and lower bounds for $W(\mathfrak{c}, \theta)$ depending only on w, under certain conditions; these will suffice to compute almost all little Weyl groups in type E_n. The fixed-point group

$$W^\theta = C_W(w),$$

is now the centralizer of w in W, which acts on the ζ-eigenspace $\mathfrak{c}$ of w in $\mathfrak{t}$. The quotient by the kernel of this action is the group W_1^θ. Simple upper and lower bounds for $W(\mathfrak{c}, \theta)$ can be obtained as follows.

Lemma 7.5 *If U is any subgroup of $C_W(w)$ acting trivially on $\mathfrak{c}$ then we have the inequalities*

$$m \leq |W(\mathfrak{c}, \theta)| \leq \frac{|C_W(w)|}{|U|}.$$

Proof: Since θ is semisimple it lies in the identity component G_0 of its centralizer in G. Hence the cyclic group $\langle\theta\rangle$ embeds in $W(\mathfrak{c}, \theta)$, whence the lower bound. The upper bound follows from (11). ■

Information about $C_W(w)$, including its order, is given in [6]. Using the tables therein, one can often find a fairly large subgroup $U \subset C_W(w)$ as in Lemma 7.5.

Example 1: In type E_8 there are eight cases (namely 12_b through 12_i in the tables below) where w is a Coxeter element in $W(E_6)$. From [6] we have $|C_W(w)| = 144$. Hence the centralizer is given by

$$C_W(w) = \langle w \rangle \times \langle -w^6 \rangle \times W(A_2),$$

where A_2 is orthogonal to the E_6. Since $\mathfrak{c}$ lives in the E_6 Levi subalgebra and w^6 acts by -1 on $\mathfrak{c}$, the inequalities of Lemma 7.5 become equalities for $U = \langle -w^6 \rangle \times W(A_2)$. Hence $W(\mathfrak{c}, \theta) \simeq \mu_{12}$ in these eight cases.

Example 2: In type E_8 there are four cases (6_h through 6_k) where w is a Coxeter element in $W(D_4)$. Let $\Delta_4 = \{\beta_1, \ldots, \beta_4\}$ be a base of the corresponding root subsystem of type D_4. The subgroup of $W(E_8)$ permuting Δ_4 is a symmetric group S_3. We may choose the Coxeter element w to be centralized by this S_3, and $\mathfrak{c}$ is a line in the span of the co-root vectors $\{d\check{\beta}_i(1)\}$. The roots of E_8 orthogonal to Δ_4 form another system of type D_4, hence there is a subgroup $W_2 \simeq W(D_4)$ fixing each root in Δ_4

and therefore acting trivially on $\mathfrak{c}$. Since S_3 normalizes Δ_4 it also normalizes W_2. From [6] we have $|C_W(w)| = 6{\cdot}6{\cdot}192$, so the inequalities of Lemma 7.5 hold for $U \simeq S_3 \ltimes W(D_4)$. Hence $W(\mathfrak{c},\theta) \simeq \mu_6$ in these four cases.

Example 3: In type E_7 there are two cases (9_a and 9_b) where w is the square of a Coxeter element and we have $C_W(w) = \langle -w\rangle \simeq \mu_{18}$. Since w is $\mathbb{Z}$-regular, Lemma 7.5 only gives the inequalities

$$9 \leq |W(\mathfrak{c},\theta)| \leq 18.$$

In fact, we have $W(\mathfrak{c},\theta) \simeq \mu_{18}$ and μ_9 in cases 9_a and 9_b, respectively. This shows that, in general, $W(\mathfrak{c},\theta)$ depends on θ, and not just on w. We will return to this example after sharpening our lower bound, as follows.

For any subset $J \subset \{1,\dots,\ell\}$ let R_J be the root subsystem generated by $\{\alpha_j : \ j \in J\}$, let W_J be Weyl group of R_J and let $\mathfrak{g}_J$ be the subalgebra of $\mathfrak{g}$ generated by the root spaces $\mathfrak{g}_\alpha$ for $\alpha \in R_J$. If the action of θ on $\mathfrak{t}$ is given by an element $w \in W_J$ then θ induces an automorphism θ_J of $\mathfrak{g}_J$.

Lemma 7.6 *Suppose θ normalizes the Cartan subalgebra $\mathfrak{t}$ and has image $w \in W_J$ for some subset $J \subset \{1,\dots,\ell\}$ such that the following conditions hold.*

1. *θ is conjugate to an automorphism $\theta' = \mathrm{Ad}(t)$ where $t \in T$ satisfies $\alpha_j(t) = \zeta$ for all $j \in J$;*
2. *The rank of w on $\mathfrak{t}$ is equal to the rank of θ;*
3. *The principal automorphisms of $\mathfrak{g}_J$ of order m have rank equal to the rank of θ.*
4. *w is $\mathbb{Z}$-regular in W_J;*

Then there is an embedding $C_{W_J}(w) \hookrightarrow W(\mathfrak{c},\theta)$.

Proof: Condition 1 means there is $g \in G$ such that the automorphism

$$\theta' = g\theta g^{-1} = \mathrm{Ad}(t),$$

where $t \in T$ satisfies $\alpha_j(t) = \zeta$ for all $j \in J$. We have $t = \check{\rho}_J(\zeta)z$ where $\check{\rho}_J$ is half the sum of the positive co-roots of R_J (with respect to Δ_J) and $z \in \ker\alpha_j$ for all $j \in J$.

Condition 2 means that the eigenspace $\mathfrak{c} := \mathfrak{t}(w,\zeta)$ is a Cartan subspace for θ. Note that $\mathfrak{c} \subset \mathfrak{g}_J$. Let $\mathfrak{c}_J$ be a Cartan subspace for the automorphism

$$\theta'_J := \theta'|_{\mathfrak{g}_J} = \mathrm{Ad}(\check{\rho}_J(\zeta)) \in G_J,$$

where $G_J = \mathrm{Aut}(\mathfrak{g}_J)^\circ$.

As θ'_J is principal of order m, we have $\dim\mathfrak{c}_J = \dim\mathfrak{c}$, by condition 3.

Now $\mathfrak{c}' := \mathrm{Ad}(g)\mathfrak{c}$ is a Cartan subspace for θ' in $\mathfrak{g}(\theta',\zeta)$, and the latter subspace contains $\mathfrak{g}_J(\theta'_J,\zeta)$, which in turn contains $\mathfrak{c}_J$. Thus $\mathfrak{c}'$ and $\mathfrak{c}_J$ are two Cartan subspaces in $\mathfrak{g}(\theta',\zeta)$, so there is $h \in G^{\theta'}$ such that $\mathrm{Ad}(hg)\mathfrak{c} = \mathrm{Ad}(h)\mathfrak{c}' = \mathfrak{c}_J$. Conjugation by hg gives an isomorphism

$$W_G(\mathfrak{c},\theta) \xrightarrow{\sim} W_G(\mathfrak{c}_J,\theta').$$

Since the latter group contains $W_{G_J}(\mathfrak{c}_J, \theta'_J)$, we have an embedding

$$W_{G_J}(\mathfrak{c}_J, \theta'_J) \hookrightarrow W_G(\mathfrak{c}, \theta).$$

Let $\mathfrak{t}_J = \mathfrak{t} \cap \mathfrak{g}_J$ and let $\mathfrak{t}'_J$ be a θ'_J-stable Cartan subalgebra of $\mathfrak{g}_J$ containing $\mathfrak{c}_J$. Then there is $b \in G_J$ such that $\mathrm{Ad}(b)\mathfrak{t}'_J \subset \mathfrak{t}_J$, so $b\theta'_J b^{-1}$ normalizes $\mathfrak{t}_J$ and $\mathfrak{c}'_J := \mathrm{Ad}(b)\mathfrak{c}_J$ is a Cartan subspace for $b\theta'_J b^{-1}$ contained in $\mathfrak{t}_J$. Let $w' \in W_J$ be the element induced by $b\theta'_J b^{-1}$. We now have two elements $w, w' \in W_J$ having equidimensional ζ-eigenspaces $\mathfrak{c}$ and $\mathfrak{c}'_J$ in $\mathfrak{t}_J$.

The one-parameter subgroups of G_J which centralize $\mathfrak{t}_J$ form a lattice giving a $\mathbb{Z}$-form $\check{X}_J$ of $\mathfrak{t}_J$. Let A be the cyclotomic subring of $\mathbb{C}$ generated by $z = e^{2pii/m}$ and let $\pi : A \to k$ be the ring homomorphism sending $z \mapsto \zeta$. Since the map $\pi : \mu_m(\mathbb{C}^\times) \to \mu_m(k^\times)$ is an isomorphism, it follows that the z-eigenspaces of w and w' in $\check{X}_J \otimes \mathbb{C}$ have the same dimension.

Now w is k-regular on $\mathfrak{t}_J = k \otimes \check{X}_J$, by condition 4. Hence w is $\mathbb{C}$-regular on $\mathbb{C} \otimes \check{X}_J$, by Prop. 3.2. By [27, 6.4], the elements w and w' are conjugate in W_J, so w' is k-regular on $\mathfrak{t}_J$. Hence the principal automorphism $b\theta'_J b^{-1}$ of $\mathfrak{g}_J$ has regular vectors in $\mathrm{Ad}(b)\mathfrak{c}_J$, so the principal automorphism θ'_J has regular vectors in $\mathfrak{c}_J$. It now follows from Cor. 7.3that $W_{G_J}(\mathfrak{c}_J, \theta'_J) \simeq C_{W_J}(w') \simeq C_{W_J}(w)$. ∎

Remarks: 1. In practice, condition 1 means the normalized Kac diagram of θ can be conjugated under the affine Weyl group $W_{\mathsf{aff}}(R)$ to a (usually un-normalized) Kac diagram with 1 on each node for $j \in J$. We will see that condition 1 is verified as a byproduct of the normalization algorithm.

2. The element w is usually elliptic in W_J. When this holds, condition 3 is implied by conditions 2 and 4, as follows from Prop. 5.5.

3. Recall that the order of $C_{W_J}(w)$ is the product of those degrees of W_J which are divisible by the order m of w. Thus the lower bound in Prop. 7.6 is completely explicit.

Example 3 revisited: Recall that G has type E_7 and w is the square of a Coxeter element. We give the normalized Kac diagram for each θ, the un-normalized diagram for each θ', whose subdiagram of 1's determines J.

No.	θ	θ'	J
9_a	$\begin{smallmatrix} 0 & 1 & 0 & 1 & 0 & 1 & 1 \\ & & & 0 & & & \end{smallmatrix}$	$\begin{smallmatrix} -8 & 1 & 1 & 1 & 1 & 1 & 1 \\ & & & 1 & & & \end{smallmatrix}$	E_7
9_b	$\begin{smallmatrix} 1 & 0 & 1 & 0 & 0 & 1 & 1 \\ & & & 1 & & & \end{smallmatrix}$	$\begin{smallmatrix} -7 & 1 & 1 & 1 & 1 & 1 & 0 \\ & & & 1 & & & \end{smallmatrix}$	E_6

Lemma 7.6 shows that 9_a has little Weyl group $W(\mathfrak{c}, \theta) \simeq \mu_{18}$, but does not decide case 9_b, which we treat using invariant theory (see section 10).

7.3 Stable isotropy groups

Assume that $\theta \in \mathrm{Aut}(\mathfrak{g})$ gives a stable grading $\mathfrak{g} = \oplus_{i \in \mathbb{Z}/m}\, \mathfrak{g}_i$. By definition there is a regular semisimple element $v \in \mathfrak{g}_1$ whose isotropy subgroup in G_0 is finite. Fix a Cartan subspace $\mathfrak{c} \subset \mathfrak{g}_1$ and let S be the unique maximal torus in G centralizing $\mathfrak{c}$. Then all stable vectors in $\mathfrak{c}$ have the same isotropy group in G_0, equal to

$$S_0 := S \cap G_0.$$

We now give a more explicit description of S_0.

First, S_0 is contained in the fixed-point subgroup S^ϑ, which is finite of order

$$|S_0| = \det(1-\theta|_{X^*(S)}).$$

Let $N(S)$ be the normalizer of S in G. Then $N(S)^\theta$ meets all components of G^θ, and it follows from Cor. 7.4 that the inclusion $S^\theta \hookrightarrow G^\theta$ induces an isomorphism

$$S^\theta/S_0 \simeq G^\theta/G_0.$$

This quotient depends only on the image ϑ of θ in the component group of $\mathrm{Aut}(\mathfrak{g})$. To see this, let

$$G_{sc} \xrightarrow{\pi} G$$

be the simply-connected covering of G and set $Z = \ker\pi$. Then θ and ϑ lift to automorphisms of G_{sc} which we again denote by θ and ϑ. Since G_{sc}^θ is connected and $\theta = \vartheta$ on Z, we have an exact sequence

$$1 \longrightarrow Z^\vartheta \longrightarrow G_{sc}^\theta \longrightarrow G_0 \longrightarrow 1,$$

which restricts to an exact sequence

$$1 \longrightarrow Z^\vartheta \longrightarrow S_{sc}^\theta \longrightarrow S_0 \longrightarrow 1,$$

where $S_{sc} = \pi^{-1}(S)$. Since

$$|S^\theta| = |S_{sc}^\theta|,$$

it follows that we have another exact sequence

$$1 \longrightarrow S_0 \longrightarrow S^\theta \longrightarrow Z_\vartheta \longrightarrow 1,$$

where $Z_\theta = Z/(1-\theta)Z$. Thus, we have isomorphisms

$$Z_\vartheta \xleftarrow{\sim} S^\theta/S_0 \xrightarrow{\sim} G^\theta/G_0. \tag{12}$$

On the other hand, Z_ϑ is isomorphic to the subgroup $\Omega_\vartheta \subset \widetilde{W}_{\mathsf{aff}}(R,\vartheta)$ stabilizing the alcove C. The group Ω_ϑ acts as symmetries of the twisted affine Dynkin diagram $D({}^eR)$. These groups are well-known if $e=1$; for $e>1$, Ω_ϑ is the full symmetry group of $D({}^eR)$ and has order 1 or 2.

If $\theta \in G\vartheta$ has normalized Kac coordinates corresponding to a point $x \in \overline{C}$ then the component group G^θ/G_0 is isomorphic to the stabilizer of x in Ω_ϑ [22, 3.6]. It follows that if θ is stable then $G^\theta/G_0 \simeq \Omega_\vartheta$ is as large as possible and the isotropy group S_0 fits into an exact sequence

$$1 \longrightarrow S_0 \longrightarrow S^\theta \longrightarrow \Omega_\vartheta \longrightarrow 1. \tag{13}$$

The groups S_0 are tabulated for exceptional groups in Sect. 8.1.

7.4 Stable orbits and elliptic curves

Certain remarkable stable gradings have appeared in recent work of Barghava and Shankar on the average rank of elliptic curves ([1], [2]). These gradings have periods $m = 2,3,4,5$ and are of types ${}^2A_2,\ {}^3D_4,\ {}^2E_6,\ E_8$ respectively, as tabulated below. Here $\mathbf{d}$ stands for the natural representation of SL_d.

m	Kac coord.	$W(\mathfrak{c},\theta)$	degrees	G_0	$\mathfrak{g}_1$
2	$1 \Rrightarrow 0$	$\mathrm{SL}_2(\mathbb{Z}/2)$	$2,3$	$\mathrm{SL}_2/\boldsymbol{\mu}_2$	$\mathrm{Sym}^4(\mathbf{2})$
3	$0\,0 \Lleftarrow 1$	$\mathrm{SL}_2(\mathbb{Z}/3)$	$4,6$	$\mathrm{SL}_3/\boldsymbol{\mu}_3$	$\mathrm{Sym}^3(\mathbf{3})$
4	$0\,0\,0 \Leftarrow 1\,0$	$\boldsymbol{\mu}_2 \times \mathrm{SL}_2(\mathbb{Z}/4)$	$8,12$	$(\mathrm{SL}_2 \times \mathrm{SL}_4)/\boldsymbol{\mu}_4$	$\mathbf{2} \boxtimes \mathrm{Sym}^2(\mathbf{4})$
5	$\begin{smallmatrix} 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ & & 0 & & & & & \end{smallmatrix}$	$\boldsymbol{\mu}_5 \times \mathrm{SL}_2(\mathbb{Z}/5)$	$20,30$	$(\mathrm{SL}_5 \times \mathrm{SL}_5)/\boldsymbol{\mu}_5$	$\mathbf{5} \boxtimes \Lambda^2\mathbf{5}$

For each $m = 2,3,4,5$ the isotropy subgroup S_0 is isomorphic to $\boldsymbol{\mu}_m \times \boldsymbol{\mu}_m$ and the little Weyl group $W(\mathfrak{c},\theta)$ is isomorphic to the group W_m with presentation

$$W_m = \langle s,t:\ s^m = t^m = 1, \quad sts = tst\rangle.$$

(Note that W_m is infinite for $m > 5$.) The exact sequence

$$1 \longrightarrow S_0 \longrightarrow N_{G_0}(\mathfrak{c}) \longrightarrow W(\mathfrak{c},\theta) \longrightarrow 1$$

Gives a homomorphism $W(\mathfrak{c},\theta) \to \mathrm{Aut}(S_0) = \mathrm{GL}_2(\mathbb{Z}/m\mathbb{Z})$ with image $\mathrm{SL}_2(\mathbb{Z}/m\mathbb{Z})$ and split kernel $\langle\theta^e\rangle \simeq \boldsymbol{\mu}_{m/e}$, as tabulated above (see also [23]).

In each case the number $|R|$ of roots is equal to $m\cdot(m-1)\cdot(12/b)$, where $b = 4,3,2,1$ is the maximal number of bonds between two nodes in the twisted affine diagram $D({}^eR)$. We have $\dim G_0 = |R|/m$ and the degrees $d_1 < d_2$ have the property that $3d_1 = 2d_2 = |R|/(m-1)$. Let $I, J \in k[\mathfrak{c}]^{W(\mathfrak{c},\theta)}$ be homogeneous generators of degrees d_1, d_2. The discriminant on $\mathfrak{t}$ (product of all the roots in R) has restriction to $\mathfrak{c}$ given by D^{m-1} (up to nonzero scalar), where $D = -4I^3 - 27J^2$. The stable vectors $v \in \mathfrak{c}$ are those where $D(v) \neq 0$, and each stable vector v corresponds to an elliptic curve E_v with equation

$$y^2 = x^3 + I(v)\cdot x + J(v)$$

whose m-torsion group $E_v[m]$ is isomorphic (as an algebraic group over k) to S_0. For more information, along with some generalizations to hyperelliptic curves, see [9].

8 Classification of stable gradings

Let $\theta \in G\vartheta$ be an automorphism of $\mathfrak{g}$ whose order m is invertible in k, associated to the grading $\mathfrak{g} = \oplus_{i\in\mathbb{Z}/m}\,\mathfrak{g}_i$. After conjugating θ by an element of G we may assume that $\mathfrak{t}$ is the canonical Cartan subalgebra of θ. Then $\theta|_{\mathfrak{t}} = w\vartheta$, for some $w \in W$. In section 5 we have seen that θ is stable if and only if $w\vartheta$ is an elliptic $\mathbb{Z}$-regular automorphism of R , in which case θ is G-conjugate to $\check{\rho}(\zeta)\vartheta$ for some/any root of unity $\zeta \in k^\times$ of order m. Moreover, the G-conjugacy class of θ is completely determined by its order m. The values of m which can arise are the orders of elliptic $\mathbb{Z}$-regular automorphisms of R in $W\vartheta$; these are classified in [27].

For example, the elliptic $\mathbb{Z}$-regular elements in $W\vartheta$ of maximal order are the **ϑ-Coxeter elements**, whose order is the ϑ-Coxeter number

$$h_\vartheta = e \cdot (b_1 + b_2 + \cdots + b_{\ell_\vartheta})$$

(see (2)). These form a single W-conjugacy class in $W\vartheta$, representatives of which include elements of the form $w\vartheta$, where w is the product, in any order, of one reflection r_i taken from each of the ϑ-orbits on simple reflections.

For any algebraically closed field k in which h_ϑ is invertible and any $\zeta \in k^\times$ of order h_ϑ, the automorphism

$$\theta_{\mathsf{cox}} = \mathrm{Ad}(\check{\rho}(\zeta))\vartheta \in \mathrm{Aut}(\mathfrak{g})$$

is stable of order h_ϑ and acts on its canonical Cartan subalgebra via a ϑ-Coxeter element. The Kac coordinates of θ_{cox} have $s_i = 1$ for all $i \in \{0, \ldots, \ell_\vartheta\}$ and are already normalized.

For $m < h_\vartheta$ the automorphism $\check{\rho}(\zeta)\vartheta$ corresponds to a point in $\mathcal{A}_{\mathbb{Q}}^{\vartheta}$ with un-normalized coordinates $s_i = 1$ for $i \neq 0$ and $s_0 = 1 + (m - h_\vartheta)/e$ (see 2.4). Here we must apply the normalization algorithm to obtain normalized Kac coordinates. By (13) these normalized Kac diagrams will be invariant under the symmetry group of the diagram $D({}^eR)$. The resulting classification of the stable gradings in all types is tabulated for exceptional Lie algebras in section 8.1 and for classical Lie algebras in section 8.2.

8.1 Stable gradings of exceptional Lie algebras

Here we tabulate the stable gradings for exceptional Lie algebras, along with the corresponding elliptic $\mathbb{Z}$-regular element $w\vartheta \in W\vartheta$ and the isotropy group S_0 (see section 7.3). The column labelled A will be explained in section 8.3.

Table 2: The stable gradings for E_6

m	un-normalized	normalized	w	S_0	A
$12 = h_\vartheta$	$\begin{smallmatrix} 1 & 1 & 1 & 1 & 1 \\ & & 1 & & \\ & & 1 & & \end{smallmatrix}$	$\begin{smallmatrix} 1 & 1 & 1 & 1 & 1 \\ & & 1 & & \\ & & 1 & & \end{smallmatrix}$	E_6	1	E_6
9	$\begin{smallmatrix} 1 & 1 & 1 & 1 & 1 \\ & & 1 & & \\ & & -2 & & \end{smallmatrix}$	$\begin{smallmatrix} 1 & 1 & 0 & 1 & 1 \\ & & 1 & & \\ & & 1 & & \end{smallmatrix}$	$E_6(a_1)$	1	$E_6(a_1)$
6	$\begin{smallmatrix} 1 & 1 & 1 & 1 & 1 \\ & & 1 & & \\ & & -5 & & \end{smallmatrix}$	$\begin{smallmatrix} 1 & 0 & 1 & 0 & 1 \\ & & 0 & & \\ & & 1 & & \end{smallmatrix}$	$E_6(a_2)$	1	$E_6(a_3)$
3	$\begin{smallmatrix} 1 & 1 & 1 & 1 & 1 \\ & & 1 & & \\ & & -8 & & \end{smallmatrix}$	$\begin{smallmatrix} 0 & 0 & 1 & 0 & 0 \\ & & 0 & & \\ & & 0 & & \end{smallmatrix}$	$3A_2$	$\boldsymbol{\mu}_3 \times \boldsymbol{\mu}_3$	–

Table 3: The stable gradings for $^2E_6$

m	un-normalized	normalized	$w\vartheta$	S_0
$18 = h_\vartheta$	$111 \Leftarrow 11$	$111 \Leftarrow 11$	$-E_6(a_1)$	1
12	$-211 \Leftarrow 11$	$110 \Leftarrow 11$	$-E_6$	1
6	$-511 \Leftarrow 11$	$100 \Leftarrow 10$	$-(3A_2)$	1
4	$-611 \Leftarrow 11$	$000 \Leftarrow 10$	$-D_4(a_1)$	$\boldsymbol{\mu}_4 \times \boldsymbol{\mu}_4$
2	$-711 \Leftarrow 11$	$000 \Leftarrow 01$	-1	$\boldsymbol{\mu}_2^6$

Table 4: The stable gradings for E_7

m	un-normalized	normalized	w	S_0	A
$18 = h_\vartheta$	$\begin{smallmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ & & & 1 & & & \end{smallmatrix}$	$\begin{smallmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ & & & 1 & & & \end{smallmatrix}$	E_7	1	E_7
14	$\begin{smallmatrix} -3 & 1 & 1 & 1 & 1 & 1 & 1 \\ & & & 1 & & & \end{smallmatrix}$	$\begin{smallmatrix} 1 & 1 & 1 & 0 & 1 & 1 & 1 \\ & & & 1 & & & \end{smallmatrix}$	$E_7(a_1)$	1	$E_7(a_1)$
6	$\begin{smallmatrix} -11 & 1 & 1 & 1 & 1 & 1 & 1 \\ & & & 1 & & & \end{smallmatrix}$	$\begin{smallmatrix} 1 & 0 & 0 & 1 & 0 & 0 & 1 \\ & & & 0 & & & \end{smallmatrix}$	$E_7(a_4)$	1	$E_7(a_5)$
2	$\begin{smallmatrix} -15 & 1 & 1 & 1 & 1 & 1 & 1 \\ & & & 1 & & & \end{smallmatrix}$	$\begin{smallmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ & & & 1 & & & \end{smallmatrix}$	$7A_1$	$\boldsymbol{\mu}_2^6$	–

Table 5: The stable gradings for E_8

m	un-normalized	normalized	w	S_0	A
$30 = h_\vartheta$	1 1 1 1 1 1 1 1 1	1 1 1 1 1 1 1 1 1	E_8	1	E_8
24	1 1 1 1 1 1 1 −5 1	1 1 0 1 1 1 1 1 1	$E_8(a_1)$	1	$E_8(a_1)$
20	1 1 1 1 1 1 1 −9 1	1 1 0 1 0 1 1 1 1	$E_8(a_2)$	1	$E_8(a_2)$
15	1 1 1 1 1 1 1 −14 1	1 0 1 0 1 0 1 1 0	$E_8(a_5)$	1	$E_8(a_4)$
12	1 1 1 1 1 1 1 −17 1	1 0 1 0 0 1 0 1 0	$E_8(a_3)$	1	$E_8(a_5)$
10	1 1 1 1 1 1 1 −19 1	0 0 1 0 0 1 0 1 0	$E_8(a_6) = -2A_4$	1	$E_8(a_6)$
8	1 1 1 1 1 1 1 −21 1	0 0 1 0 0 0 1 0 0	$D_8(a_3)$	$\boldsymbol{\mu}_2 \times \boldsymbol{\mu}_2$	–
6	1 1 1 1 1 1 1 −23 1	0 0 0 1 0 0 0 1 0	$E_8(a_8) = -4A_2$	1	$E_8(a_7)$
5	1 1 1 1 1 1 1 −24 1	0 0 0 1 0 0 0 0 0	$2A_4$	$\boldsymbol{\mu}_5 \times \boldsymbol{\mu}_5$	–
4	1 1 1 1 1 1 1 −25 1	0 0 0 0 1 0 0 0 0	$2D_4(a_1)$	$\boldsymbol{\mu}_2^4$	–
3	1 1 1 1 1 1 1 −26 1	0 0 0 0 0 0 0 0 1	$4A_2$	$\boldsymbol{\mu}_3^4$	–
2	1 1 1 1 1 1 1 −27 1	1 0 0 0 0 0 0 0 0	$8A_1 = -1$	$\boldsymbol{\mu}_2^8$	–

Table 6: The stable gradings for F_4

m	un-normalized	normalized	w	S_0	A
$12 = h_\vartheta$	$111 \Rightarrow 11$	$111 \Rightarrow 11$	F_4	1	F_4
8	$-311 \Rightarrow 11$	$111 \Rightarrow 01$	B_4	$\boldsymbol{\mu}_2$	$F_4(a_1)$
6	$-511 \Rightarrow 11$	$101 \Rightarrow 01$	$F_4(a_1)$	1	$F_4(a_2)$
4	$-711 \Rightarrow 11$	$101 \Rightarrow 00$	$D_4(a_1)$	$\boldsymbol{\mu}_2 \times \boldsymbol{\mu}_2$	$F_4(a_3)$
3	$-811 \Rightarrow 11$	$001 \Rightarrow 00$	$A_2 + \tilde{A}_2$	$\boldsymbol{\mu}_3 \times \boldsymbol{\mu}_3$	–
2	$-911 \Rightarrow 11$	$010 \Rightarrow 00$	$4A_1$	$\boldsymbol{\mu}_2^4$	–

Table 7: The stable gradings for G_2

m	un-normalized	normalized	w	S_0	A
$6 = h_\vartheta$	$1\,1 \Rrightarrow 1$	$1\,1 \Rrightarrow 1$	G_2	1	G_2
3	$-2\,1 \Rrightarrow 1$	$1\,1 \Rrightarrow 0$	A_2	$\boldsymbol{\mu}_3$	$G_2(a_1)$
2	$-3\,1 \Rrightarrow 1$	$0\,1 \Rrightarrow 0$	$A_1 + \tilde{A}_1$	$\boldsymbol{\mu}_2 \times \boldsymbol{\mu}_2$	–

Table 8: The stable gradings for ${}^3D_4$

m	un-normalized	normalized	$w\vartheta \in W(F_4)$	S_0
$12 = h_\vartheta$	$1\,1 \Lleftarrow 1$	$1\,1 \Lleftarrow 1$	F_4	1
6	$-1\,1 \Lleftarrow 1$	$1\,0 \Lleftarrow 1$	$F_4(a_1)$	1
3	$-2\,1 \Lleftarrow 1$	$0\,0 \Lleftarrow 1$	$A_2 + \tilde{A}_2$	$\boldsymbol{\mu}_3 \times \boldsymbol{\mu}_3$

8.2 Stable gradings of classical Lie algebras

Here we tabulate the stable gradings of classical Lie algebras. For inner type A_n the only stable grading is the Coxeter one, so we omit this case.

8.2.1 Type ${}^2A_\ell$

The stable gradings in type ${}^2A_\ell$ correspond to divisors of ℓ and $\ell+1$, each having odd quotient $d = m/2$. Conjugacy classes in the symmetric group are denoted by their partitions. For example, $[d^{2k+1}]$ consists of the products of $2k+1$ disjoint d-cycles.

Table 9: The stable gradings for ${}^2A_2$

$m = 2d$	Kac diagram	$w\vartheta$	S_0
$6 = h_\vartheta$	$1 \Rrightarrow 1$	$-1 \times [3]$	1
2	$1 \Rrightarrow 0$	$-[1^3]$	$\boldsymbol{\mu}_2 \times \boldsymbol{\mu}_2$

Table 10: The stable gradings for ${}^2A_{2n}$, $n \geq 2$

$m = 2d$	Kac diagram	$w\vartheta$	S_0
$2(2n+1) = h_\vartheta$	$1 \Rightarrow 1\ 1\ \cdots\ 1\ 1\ \Rightarrow 1$	$-1 \times [2n+1]$	1
2	$1 \Rightarrow 0\ 0\ 0 \cdots\ 0\ 0\ \Rightarrow 0$	$-1 \times [1^{2n+1}]$	$\boldsymbol{\mu}_2^{2n}$
$\frac{2(2n+1)}{2k+1}$, $\quad k > 0$	$1 \Rightarrow \underbrace{0 \cdots 0}_{A_{2k}}\ 1\ \underbrace{0 \cdots 0}_{A_{2k}}\ 1\ \cdots\ 1\ \underbrace{0 \cdots 0}_{A_{2k}} \Rightarrow 1$	$-1 \times [d^{2k+1}]$	$\boldsymbol{\mu}_2^{2k}$
$\frac{2n}{k}$, $\quad 1 < \frac{n}{k}$ odd	$1 \Rightarrow \underbrace{0 \cdots 0}_{A_{2k-1}}\ 1\ \underbrace{0 \cdots 0}_{A_{2k-1}}\ 1\ \cdots\ 1\ \underbrace{0 \cdots 0 \Rightarrow 0}_{B_k}$	$-1 \times [d^{2k}, 1]$	$\boldsymbol{\mu}_2^{2k}$

Table 11: The stable gradings for ${}^2A_{2n-1}$, $n \geq 3$

m	Kac diagram	$w\vartheta$	S_0
$2(2n-1) = h_\vartheta$	$\begin{array}{c} 1 \\ 1 \;\; 1 \;\; 1 \;\; 1 \;\; 1 \cdots 1 \;\; 1 \Leftarrow 1 \end{array}$	$-1 \times [2n-1]$	1
$2n \quad (n \text{ odd})$	$\begin{array}{c} 1 \\ 1 \;\; 0 \;\; 1 \;\; 0 \;\; 1 \cdots 1 \;\; 0 \Leftarrow 1 \end{array}$	$-1 \times [n^2]$	1
$\frac{2(2n-1)}{2k+1}, \quad k > 0$	$\begin{array}{l} \;\;\; 0 \\ \underbrace{0 \;\; 0 \cdots 0}_{D_{k+1}} \; 1 \; \underbrace{0 \cdots 0}_{A_{2k}} \; 1 \cdots 1 \; \underbrace{0 \cdots 0}_{A_{2k}} \Leftarrow 1 \end{array}$	$-1 \times [d^{2k+1}, 1]$	$\boldsymbol{\mu}_2^{2k}$
$\frac{2n}{k}, \quad 1 < \frac{n}{k}$ odd	$\begin{array}{l} \;\;\; 0 \\ \underbrace{0 \;\; 0 \cdots 0}_{D_{k}} \; 1 \; \underbrace{0 \cdots 0}_{A_{2k-1}} \; 1 \cdots 1 \; \underbrace{0 \cdots 0}_{A_{2k-1}} \Leftarrow 1 \end{array}$	$-1 \times [d^{2k}]$	$\boldsymbol{\mu}_2^{2k-2}$

8.2.2 Types B_n, C_n

The stable gradings for type B_n and C_n correspond to divisors k of n, with period $m = 2n/k$. The corresponding class in $W(B_n) = W(C_n)$, denoted $kB_{n/k}$, consists of the k^{th} powers of a Coxeter element.

Table 12: The stable gradings for type B_n

$k = \frac{2n}{m}$	Kac diagram	w	S_0
1	$\begin{array}{c} \quad 1 \\ 1 \ \ 1 \ \ 1 \ \ 1 \ \ 1\cdots 1 \ \ 1 \Rightarrow 1 \end{array}$	B_n	1
2 n even	$\begin{array}{c} \quad 1 \\ 1 \ \ 0 \ \ 1 \ \ 0 \ \ 1\cdots 0 \ \ 1 \Rightarrow 0 \end{array}$	$2B_{n/2}$	$\boldsymbol{\mu}_2$
$k > 2$ k even	$\begin{array}{l} \quad 0 \\ \underbrace{0 \ \ 0 \ \cdots \ 0}_{D_{k/2}} \ 1 \ \underbrace{0 \ \cdots \ 0}_{A_{k-1}} \ 1\cdots 1 \ \underbrace{0 \ \cdots \ 0}_{A_{k-1}} \ 1 \ \underbrace{0\cdots 0 \ \Rightarrow 0}_{B_{k/2}} \end{array}$	$kB_{n/k}$	$\boldsymbol{\mu}_2^{k-1}$
$k > 1$ k odd	$\begin{array}{l} \quad 0 \\ \underbrace{0 \ \ 0 \ \cdots \ 0}_{D_{(k+1)/2}} \ 1 \ \underbrace{0 \ \cdots \ 0}_{A_{k-1}} \ 1\cdots 1 \ \underbrace{0 \ \cdots \ 0}_{A_{k-1}} \ 1 \ \underbrace{0\cdots 0 \ \Rightarrow 0}_{B_{(k-1)/2}} \end{array}$	$kB_{n/k}$	$\boldsymbol{\mu}_2^{k-1}$

Table 13: The stable gradings for type C_n

$k = \frac{2n}{m}$	Kac diagram	w	S_0
1	$1 \Rightarrow 1 \ \ 1\cdots 1 \ \ 1 \Leftarrow 1$	B_n	1
$k > 1$	$1 \Rightarrow \underbrace{0\cdots 0}_{A_{k-1}} \ 1 \ \underbrace{0\cdots 0}_{A_{k-1}} \ 1 \ \cdots \ 1 \ \underbrace{0\cdots 0}_{A_{k-1}} \Leftarrow 1$	$kB_{n/k}$	$\boldsymbol{\mu}_2^{k-1}$

8.2.3 Types D_n and ${}^2D_n$ $(n \geq 4)$

The stable gradings for type D_n correspond to even divisors k of n and odd divisors ℓ of $n-1$. The stable gradings for type ${}^2D_n$ correspond to odd divisors ℓ of n and even divisors k of $n-1$.

Table 14: The stable gradings for type D_n, $n \geq 4$

m	Kac diagram	w	S_0
$2n-2 = h_\vartheta$	$\begin{array}{c} 1 \qquad\qquad 1 \\ 1\ \ 1\ \ 1\cdots 1\ \ 1\ \ 1 \end{array}$	$B_1 + B_{n-1}$	1
n (if n is even)	$\begin{array}{c} 1 \qquad\qquad\qquad 1 \\ 1\ \ 0\ \ 1\ \ 0\ \ 1\cdots 0\ \ 1\ \ 0\ \ 1 \end{array}$	$2B_{n/2}$	1
$\frac{2n}{k}$ $2 < k$ even	$\begin{array}{c} 0 \qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad 0 \\ \underbrace{0\ \ 0\cdots\ 0}_{D_{k/2}}\ 1\ \underbrace{0\ \cdots\ 0}_{A_{k-1}}\ 1\cdots 1\ \underbrace{0\ \cdots\ 0}_{A_{k-1}}\ 1\ \underbrace{0\cdots 0\ \ 0}_{D_{k/2}} \end{array}$	$kB_{n/k}$	$\boldsymbol{\mu}_2^{k-2}$
$\frac{2n-2}{\ell}$ $1 < \ell$ odd	$\begin{array}{c} 0 \qquad\qquad\qquad\qquad\qquad\qquad\qquad\qquad 0 \\ \underbrace{0\ \ 0\cdots\ 0}_{D_{(\ell+1)/2}}\ 1\ \underbrace{0\ \cdots\ 0}_{A_{\ell-1}}\ 1\cdots 1\ \underbrace{0\ \cdots\ 0}_{A_{\ell-1}}\ 1\ \underbrace{0\cdots 0\ \ 0}_{D_{(\ell+1)/2}} \end{array}$	$B_1 + \ell B_{(n-1)/\ell}$	$\boldsymbol{\mu}_2^{\ell-1}$

Table 15: The stable gradings for type ${}^2D_n$, $n \geq 3$

m	Kac diagram	w	S_0
$2n = h_\vartheta$	$1 \Leftarrow 1\ \ 1\cdots 1\ \ \ 1 \Rightarrow 1$	B_n	1
$n-1$ (if n is odd)	$0 \Leftarrow 1\ \ 0\ \ 1\ \ 0\cdots 1\ \ 0\ \ 1 \Rightarrow 0$	$B_1 + 2B_{n/2}$	$\mu_2 \times \mu_2$
$\frac{2n}{\ell}$ $2 < \ell$ odd	$\underbrace{0 \Leftarrow 0\cdots 0}_{B_{(\ell-1)/2}}\ 1\ \underbrace{0\cdots 0}_{A_{\ell-1}}\ 1\cdots\ 1\underbrace{0\cdots 0}_{A_{\ell-1}}\ 1\ \underbrace{0\cdots 0 \Rightarrow 0}_{B_{(\ell-1)/2}}$	$\ell B_{n/\ell}$	$\boldsymbol{\mu}_2^{\ell-1}$
$\frac{2n-2}{k}$ $1 < k$ even	$\underbrace{0 \Leftarrow 0\cdots 0}_{B_{k/2}}\ 1\ \underbrace{0\cdots 0}_{A_{k-1}}\ 1\cdots\ 1\underbrace{0\cdots 0}_{A_{k-1}}\ 1\ \underbrace{0\cdots 0 \Rightarrow 0}_{B_{k/2}}$	$B_1 + kB_{(n-1)/k}$	$\boldsymbol{\mu}_2^{k}$

8.3 Distinguished nilpotent elements and stable gradings

Kac coordinates of stable gradings are of two kinds, according as $s_0 = 0$ or $s_0 = 1$. Expanding on section 9 of [27], we show here that all stable gradings with $s_0 = 1$ in exceptional Lie algebras are related to distinguished nilpotent elements. As always, we assume the characteristic of k is not a bad prime for G.

Let A be a distinguished nilpotent element in $\mathfrak{g}$. That is, the centralizer of A in $\mathfrak{g}$ is a nilpotent Lie algebra. There is a homomorphism $\check{\lambda} : k^\times \to G$, unique up to conjugacy by the centralizer $C_G(A)$, such that $\mathrm{Ad}(\check{\lambda}(t))A = tA$ for all $t \in k^*$. This gives a grading

$$\mathfrak{g} = \bigoplus_{j=-a}^{a} \mathfrak{g}(j),$$

where $\mathfrak{g}(j) = \{x \in \mathfrak{g} : \ \lambda(t)x = t^j \cdot x \ \ \forall t \in k^\times\}$ and $a = \max\{j : \ \mathfrak{g}(j) \neq 0\}$. Since A is distinguished the linear map $\mathrm{ad}(A) : \mathfrak{g}(0) \to \mathfrak{g}(1)$ is a bijection.

Set $m = a + 1$, assume this is nonzero in k, and choose a root of unity $\zeta \in k^\times$ of order m. The inner automorphism $\theta_A := \mathrm{Ad}(\check{\lambda}(\zeta)) \in \mathrm{Aut}(\mathfrak{g})^\circ$ has order m, giving rise to a $\mathbb{Z}/m$-grading

$$\mathfrak{g} = \bigoplus_{i \in \mathbb{Z}/m} \mathfrak{g}_i,$$

where $\mathfrak{g}_i$ is the ζ^i eigenspace of θ_A in $\mathfrak{g}$. We have

$$\mathfrak{g}_i = \sum_{\substack{-a \leq j \leq a \\ j \equiv i \mod m}} \mathfrak{g}(j),$$

so that

$$\mathfrak{g}_0 = \mathfrak{g}(0) \qquad \text{and} \qquad \mathfrak{g}_1 = \mathfrak{g}(-a) \oplus \mathfrak{g}(1).$$

Choose a maximal torus T in a Borel subgroup B such that $\check{\lambda} \in X_*(T)$ and $\langle \alpha, \check{\lambda} \rangle \geq 0$ for all roots α of T in B. For each of the simple roots $\alpha_1, \dots, \alpha_\ell$ we have $\langle \alpha_i, \check{\lambda} \rangle \in \{0, 1\}$. We set $s_i = \langle \alpha_i, \check{\lambda} \rangle$, and also put $s_0 = 1$. Since $\mathfrak{g}(-a)$ contains the lowest root space, it follows that $(s_0, s_1, \dots, s_\ell)$ are the normalized Kac-coordinates of θ_A.

Proposition 8.1 *The following are equivalent.*

1. *There exists $M \in \mathfrak{g}(-a)$ such that $M + A$ is regular semisimple.*
2. *There exists $M \in \mathfrak{g}(-a)$ such that $M + A$ is semisimple.*
3. *The automorphism θ_A is stable.*

Proof: Implication $1 \Rightarrow 2$ is obvious.

We prove $2 \Rightarrow 3$. Since G_0 preserves each summand $\mathfrak{g}(j)$, the stabilizer of $M + A$ in G_0 is contained in the stabilizer of A, which is finite since A is distinguished. Hence the G_0-orbit of $M + A$ is stable.

The implication $3 \Rightarrow 1$ is proved in [27, 9.5]. We give Springer's argument here for completeness. Let F be a G-invariant polynomial on $\mathfrak{g}$ such that $F(x) \neq 0$ if and only if x is regular semisimple. For example, we can choose F corresponding, under the Chevalley isomorphism $k[\mathfrak{t}]^G \xrightarrow{\sim} k[\mathfrak{t}]^W$, to the product of the roots. Now assuming that 3 holds, there are vectors $Z \in \mathfrak{g}(-a)$ and $Y_0 \in \mathfrak{g}(1)$ such that $Z + Y_0$ is semisimple and has finite stabilizer in G_0. The centralizer $\mathfrak{m} = \mathfrak{z}(Z + Y_0)$ is then reductive, with $\mathfrak{m}^\theta = 0$, so $\mathfrak{m}$ is a Cartan subalgebra of $\mathfrak{g}$ and $Z + Y_0$ is in fact regular semisimple. Hence the polynomial F_Z on $\mathfrak{g}(1)$ given by $F_Z(Y) := F(Z + Y)$ does not vanish identically. Since A is distinguished, the orbit $\mathrm{Ad}(G_0)A$ is dense in $\mathfrak{g}(1)$, so there is $g \in G_0$ such that $F_Z(\mathrm{Ad}(g)A) = F(\mathrm{Ad}(g)^{-1}Z + A) \neq 0$. It follows that $\mathrm{Ad}(g)^{-1}Z + A$ is regular semisimple so 1 holds. ■

We say that a distinguished nilpotent element $A \in \mathfrak{g}$ is **S-distinguished** if the equivalent conditions of Prop. 8.1 hold.

A non-example: It can happen that $\mathfrak{g}(-a) + \mathfrak{g}(1)$ contains semisimple elements, but none have the form $M + A$ with $M \in \mathfrak{g}(-a)$. For example, suppose $\mathfrak{g} = \mathfrak{sp}_6$ and A has Jordan blocks $(4, 2)$. The automorphism θ_A has Kac coordinates

$$1 \Rightarrow 1 \quad 0 \Leftarrow 1$$

and has rank $= 1$. It corresponds to $w \in W(C_3)$ of type $C_2 \times C_1$, which is not $\mathbb{Z}$-regular, so A is not S-distinguished.

Proposition 8.2 *Assume that $\mathfrak{g}$ is of exceptional type and that $\theta \in \mathrm{Aut}(\mathfrak{g})^\circ$ is a stable inner automorphism whose Kac coordinates satisfy $s_0 = 1$. Then $\theta = \theta_A$ where A is an S-distinguished nilpotent element in $\mathfrak{g}$.*

Proof: In the tables of section 8.1 we have listed, for each θ with $s_0 = 1$, the conjugacy class of a nilpotent element A such that θ_A has the normalized Kac coordinates of θ. ■

Remark 1: For n even there is a unique S-distinguished non-regular nilpotent class in $\mathfrak{so}_{2n}$ which is also S-distinguished in $\mathfrak{so}_{2n+1}$, having Jordan partitions $[2n+1, 2n-1]$ and $[2n+1, 2n-1, 1]$, respectively. For A in these classes θ_A has order n. In these and the exceptional cases, the map $A \mapsto \theta_A$ is a bijection from the set of S-distinguished nilpotent G-orbits in $\mathfrak{g}$ to the set of inner gradings on $\mathfrak{g}$ with $s_0 = 1$. However, Prop. 8.1 is false for C_n, $n \geq 2$.

Remark 2: If A is S-distinguished then $\mathfrak{z}(M + A)$ is a canonical Cartan subalgebra for θ_A on which θ_A acts by an element of the conjugacy class in W associated to A via the Kazhdan-Lusztig map [12]. This follows from the argument in [12, 9.11], and confirms two entries in [26] listed there as conjectural.

Remark 3: There are exactly three cases where $\mathfrak{g}_0$ is a maximal proper Levi subalgebra in $\mathfrak{g}$. These occur in G_2, F_4 and E_8, for $a = 2, 3, 5$ respectively, where $C_{G_0}(A)$ is a symmetric group S_3, S_4, S_5. These groups act irreducibly on the subspaces $\mathfrak{g}(-a)$ of dimensions $1, 2, 4$, in which the stabilizers of a vector in general position are the isotropy groups $S_0 = \boldsymbol{\mu}_3, \boldsymbol{\mu}_2 \times \boldsymbol{\mu}_2, 1$. These are the maximal abelian normal subgroups of $C_{G_0}(A)$.

9 Positive rank gradings for type $E_{6,7,8}$ (inner case)

Assume now that $\mathfrak{g}$ has type E_n, for $n = 6, 7, 8$. From Prop. 4.3 we have the following algorithm to find all inner automorphisms of $\mathfrak{g}$ having positive rank. For each $m \geq 1$ list the W-conjugacy classes of m-admissible elements in W. For a representative w of each class, form the list $\mathrm{Kac}(w)_{\mathrm{un}}$ and apply the normalization algorithm to each element of $\mathrm{Kac}(w)_{\mathrm{un}}$, discarding duplicate results, to obtain the list $\mathrm{Kac}(w)$ of normalized Kac coordinates. Then by Prop. 4.3, the union of the lists $\mathrm{Kac}(w)$ over all conjugacy-classes of m-admissible w gives all positive rank inner automorphisms of order m.

To find the $\mathrm{Kac}(w)_{\mathrm{un}}$ when each w_i is $\mathbb{Z}$-regular, we can use Prop. 5.1 to find the Kac coordinates of each w_i, which lead to those of w via the normalization algorithm. It turns out that we obtain all positive rank gradings from those m-admissible w for which each factor w_i is not only elliptic but also $\mathbb{Z}$-regular in W_{J_i}. However, we do not have an *a priori* proof of this fact, so we must also compute Kac coordinates of lifts in the small number of cases where not all w_i are $\mathbb{Z}$-regular.

These non-regular cases are handled as follows. By induction, we assume $w = w_i$ lies in no proper reflection subgroup and we consider the powers of w. To illustrate the method, take the nonregular element $w = E_8(a_7) = -A_2E_6$ of order 12. First list the 32 normalized Kac coordinates (s_i) with $s_i \in \{0, 1\}$ and $s_0 + 2s_1 + 3s_2 + 4s_3 + 6s_4 + 5s_5 + 4s_6 + 3s_7 + 2s_8 = 12$. We have w^2 and w^3 in the classes $A_2E_6(a_2)$ and $2A_2 + 2A_1$ whose lifts have Kac coordinates $\begin{smallmatrix} 0&0&0&0&0&1&0&0\\ &&1&&&&& \end{smallmatrix}$ and $\begin{smallmatrix} 0&1&0&0&0&0&0&0\\ &&0&&&&& \end{smallmatrix}$, respectively. Only one of the 32 elements on the list satisfies these two conditions, namely $\begin{smallmatrix} 0&1&0&1&0&0&1&1\\ &&0&&&&& \end{smallmatrix}$. Therefore this is the Kac diagram for the lift of w in the class $E_8(a_7)$.

9.1 A preliminary list of Kac coordinates for positive rank gradings of inner type

For each possible order m we list the m-admissible elements in $W(E_{6,7,8})$, the rank $r = \mathrm{rank}(w)$. and the form of the un-normalized Kac-coordinates of the lifts of w In the column $\mathrm{Kac}(w)_{\mathrm{un}}$, each $*$ is an independent variable integer ranging over a set of representatives of $\mathbb{Z}/m$ such that the order is always m. For each vector of $*$-values we apply the normalization algorithm to obtain the normalized Kac coordinates $\mathrm{Kac}(w)$ in the last column. The sets $\mathrm{Kac}(w)$ are not disjoint. In a second set of tables (section 9.2), we will select, for each θ appearing in $\cup_w \mathrm{Kac}(w)$, a w of maximal rank for which $\mathrm{Kac}(w)$ contains θ.

Table 16: $\mathrm{Kac}(w)_{\mathrm{un}}$ and $\mathrm{Kac}(w)$ for m-admissible w in $W(E_6)$

m	w	r	$\mathrm{Kac}(w)_{\mathrm{un}}$	$\mathrm{Kac}(w)$				
12	E_6	1	1 1 1 1 1 1 1	1 1 1 1 1 1 1				
9	$E_6(a_1)$	1	1 1 0 1 1 1 1	1 1 0 1 1 1 1				
8	D_5	1	∗ 1 1 1 ∗ 1 1	1 1 0 1 1 1 0	1 0 1 0 1 1 1			
6	$E_6(a_2)$	2	1 0 1 0 1 0 1	1 0 1 0 1 0 1				
6	A_5	1	1 1 1 1 1 ∗ ∗	1 0 1 0 1 0 1	0 1 0 1 0 1 0			
6	D_4	1	∗ 1 1 1 ∗ 1 ∗	0 0 1 0 0 1 1	1 0 0 1 1 1 0	1 1 0 0 1 1 0	1 0 1 0 1 0 1	
5	A_4	1	1 1 1 1 ∗ ∗ ∗	0 1 0 1 0 0 1	1 0 1 0 1 0 0	1 0 0 0 1 1 1		
4	$D_4(a_1)$	2	∗ 1 0 1 ∗ 1 ∗	0 0 1 0 0 0 1	1 0 0 0 1 1 0			
4	A_3	1	∗ 1 1 1 ∗ ∗ ∗	0 0 1 0 1 0 0	0 1 0 1 0 0 0	1 0 0 1 1 0 0	1 1 0 0 1 0 0	1 0 0 1 0 0 1
3	$3A_2$	3	1 1 ∗ 1 1 1 1	0 0 1 0 0 0 0				
3	$2A_2$	2	1 1 ∗ 1 1 ∗ ∗	0 0 1 0 0 0 0	1 0 0 0 1 0 1			
3	A_2	1	∗ ∗ 1 ∗ ∗ 1 ∗	0 0 0 0 0 1 1	0 0 1 0 0 0 0	0 1 0 0 1 0 0	1 0 0 1 0 0 0	1 0 0 0 1 0 1
2	$4A_1$	4	1 ∗ 1 ∗ 1 ∗ 1	0 0 0 0 0 1 0				
2	$3A_1$	3	1 ∗ 1 ∗ 1 ∗ ∗	0 0 0 0 0 1 0				
2	$2A_1$	2	∗ ∗ 1 ∗ ∗ ∗ 1	0 0 0 0 0 1 0	1 0 0 0 1 0 0			
2	A_1	1	∗ ∗ 1 ∗ ∗ ∗ ∗	0 0 0 0 0 1 0	1 0 0 0 1 0 0			

Table 17: $\mathrm{Kac}(w)_{\mathrm{un}}$ and $\mathrm{Kac}(w)$ for m-admissible w in $W(E_7)$

m	w	r	$\mathrm{Kac}(w)_{\mathrm{un}}$	$\mathrm{Kac}(w)$
18	E_7	1	1 1 1 1 1 1 1 / 1	1 1 1 1 1 1 1 / 1
14	$E_7(a_1)$	1	1 1 1 0 1 1 1 / 1	1 1 1 0 1 1 1 / 1
12	$E_7(a_2)$	1	1 1 0 1 0 1 1 / 1	1 1 0 1 0 1 1 / 1
12	E_6	1	* 1 1 1 1 1 * / 1	1 0 1 0 1 1 1 / 1; 0 1 0 1 1 1 1 / 0; 1 1 0 1 0 1 1 / 1
10	D_6	1	* * 1 1 1 1 1 / 1	0 1 0 1 0 1 0 / 1; 1 0 1 0 1 0 1 / 1; 1 1 0 1 0 1 1 / 0
9	$E_6(a_1)$	1	* 1 1 0 1 1 * / 1	0 1 0 1 0 1 1 / 0; 1 0 1 0 0 1 1 / 1
8	D_5	1	* * 1 1 1 1 * / 1	0 0 1 0 0 1 1 / 1; 0 1 0 0 1 1 1 / 0; 0 1 0 1 0 1 0 / 0; 0 1 0 0 1 0 1 / 1; 1 0 0 1 0 1 1 / 0; 1 0 1 0 1 0 1 / 0; 1 0 0 1 0 0 1 / 1; 1 1 0 0 0 1 1 / 1
8	$D_6(a_1)$	1	* * 1 0 1 1 1 / 1	0 1 0 0 1 0 1 / 1; 1 0 0 1 0 1 1 / 0
8	A_7	1	1 1 1 1 1 1 1 / *	0 1 0 1 0 1 0 / 0
7	A_6	1	* 1 1 1 1 1 1 / *	0 1 0 1 0 0 1 / 0
6	$E_7(a_4)$	3	1 0 0 1 0 0 1 / 0	1 0 0 1 0 0 1 / 0
6	$D_6(a_2)$	2	* * 1 0 1 0 1 / 1	0 1 0 0 0 1 0 / 1; 1 0 0 1 0 0 1 / 0
6	$E_6(a_2)$	2	* 1 0 1 0 1 * / 0	0 1 0 0 1 0 1 / 0; 1 0 0 1 0 0 1 / 0
6	D_4	1	* * 1 1 1 * * / 1	1 0 0 0 1 0 0 / 1; 0 0 0 0 1 1 1 / 0; 0 0 1 0 0 1 1 / 0; 0 0 0 1 0 0 0 / 1; 0 0 1 0 0 0 1 / 1; 0 1 0 0 1 0 1 / 0; 0 1 0 0 0 1 0 / 1; 1 0 0 1 0 0 1 / 0; 1 0 0 0 0 1 1 / 1
6	A_5''	1	* 1 1 1 1 1 * / *	0 0 0 1 0 1 0 / 0; 0 1 0 0 1 0 1 / 0; 0 1 0 0 0 1 0 / 1; 1 0 0 1 0 0 1 / 0
6	A_5'	1	1 1 1 1 * * * / 1	0 0 1 0 1 0 0 / 0; 0 1 0 0 0 1 0 / 1; 1 0 0 1 0 0 1 / 0; 1 1 0 0 0 1 1 / 0
5	A_4	1	* * 1 1 1 1 * / *	0 0 0 1 0 0 1 / 0; 0 0 1 0 0 1 0 / 0; 0 1 0 0 0 1 1 / 0; 0 1 0 0 0 0 1 / 1; 1 0 0 0 1 0 1 / 0
4	$2A_3$	2	1 1 1 * 1 1 1 / *	0 0 0 1 0 0 0 / 0; 0 1 0 0 0 1 0 / 0
4	$D_4(a_1)$	2	* * 1 0 1 * * / 1	0 0 0 0 1 0 1 / 0; 0 0 0 1 0 0 0 / 0; 0 0 1 0 0 0 1 / 0; 0 1 0 0 0 1 0 / 0; 1 0 0 0 0 0 1 / 1
4	A_3	1	* * 1 1 1 * * / *	0 0 0 0 1 0 1 / 0; 0 0 0 1 0 0 0 / 0; 0 0 1 0 0 0 1 / 0; 0 0 0 0 0 1 0 / 1; 0 1 0 0 0 1 0 / 0; 1 0 0 0 0 1 1 / 0; 1 0 0 0 0 0 1 / 1
3	$3A_2$	3	1 1 * 1 * 1 1 / 1	0 0 0 0 1 0 0 / 0
3	$2A_2$	2	1 1 * 1 * * * / 1	0 0 0 0 1 0 0 / 0; 0 1 0 0 0 0 1 / 0
3	A_2	1	* * * 1 * * * / 1	0 0 0 0 0 1 1 / 0; 0 0 0 0 1 0 0 / 0; 0 0 0 0 0 0 1 / 1; 0 1 0 0 0 0 1 / 0
2	$7A_1$	7	0 0 0 0 0 0 0 / 1	0 0 0 0 0 0 0 / 1
2	$6A_1$	6	* * 0 0 1 0 0 / 0	0 0 0 0 0 0 0 / 1
2	$5A_1$	5	1 * 1 * 1 * 1 / 1	0 0 0 0 0 0 0 / 1
2	$4A_1'$	4	1 * 1 * 1 * * / 1	0 0 0 0 0 0 0 / 1
2	$4A_1''$	4	* * 0 1 0 * * / 0	0 0 0 0 0 0 0 / 1; 0 0 0 0 0 1 0 / 0
2	$3A_1'$	3	1 * 1 * * * * / 1	0 0 0 0 0 0 0 / 1; 1 0 0 0 0 0 1 / 0
2	$3A_1''$	3	1 * * * 1 * * / 1	0 0 0 0 0 0 0 / 1; 0 0 0 0 0 1 0 / 0
2	$2A_1$	2	* * 1 * 1 * * / *	0 0 0 0 0 0 0 / 1; 0 0 0 0 0 1 0 / 0; 1 0 0 0 0 0 1 / 0
2	A_1	1	* * * * 1 * * / *	0 0 0 0 0 0 0 / 1; 0 0 0 0 0 1 0 / 0; 1 0 0 0 0 0 1 / 0

Table 18: $\mathrm{Kac}(w)_{\mathrm{un}}$ and $\mathrm{Kac}(w)$ for m-admissible w in $W(E_8)$

m	w	r	$\mathrm{Kac}(w)_{\mathrm{un}}$	$\mathrm{Kac}(w)$			
30	E_8	1	1 1 1 1 1 1 1 1 1	1 1 1 1 1 1 1 1 1			
24	$E_8(a_1)$	1	1 1 0 1 1 1 1 1 1	1 1 0 1 1 1 1 1 1			
20	$E_8(a_2)$	1	1 1 0 1 0 1 1 1 1	1 1 0 1 0 1 1 1 1			
18	$E_8(a_4)$	1	0 1 0 1 0 1 1 1 1	0 1 0 1 0 1 1 1 1			
18	E_7	1	1 1 1 1 1 1 * * 1	1 1 1 0 1 0 1 0 0	0 1 0 1 0 1 1 1 1	1 0 1 0 1 1 1 1 0	1 0 1 0 1 0 1 1 1
				1 1 0 1 0 1 0 1 1			
15	$E_8(a_5)$	1	1 0 1 0 1 0 1 1 0	1 0 1 0 1 0 1 1 0			
14	$E_7(a_1)$	1	1 1 0 1 1 1 * * 1	1 0 1 0 1 0 1 0 0	0 1 0 0 1 0 1 1 1	1 0 1 0 0 1 1 1 0	1 0 0 1 0 1 0 1 1
14	D_8	1	* 1 1 1 1 1 1 1 1	1 0 1 0 1 0 1 0 0			
12	$E_8(a_3)$	2	1 0 1 0 0 1 0 1 0	1 0 1 0 0 1 0 1 0			
12	$E_8(a_7)$	1	0 1 0 1 0 0 1 1 0	0 1 0 1 0 0 1 1 0			
12	$E_7(a_2)$	1	1 0 1 0 1 1 * * 1	1 1 0 0 1 0 1 0 0	0 1 0 1 0 0 1 1 0	1 0 1 0 0 1 0 1 0	1 0 0 0 1 0 1 1 1
12	$D_8(a_1)$	1	1 0 1 0 0 1 0 1 0	1 0 1 0 0 1 0 1 0			
12	D_7	1	* 1 1 1 1 1 1 * 1	0 0 1 0 1 0 1 0 0	1 0 1 0 0 1 0 1 0		
12	E_6	1	1 1 1 1 1 * * * 1	0 0 1 0 0 1 0 0 1	1 1 0 0 1 0 1 0 0	1 0 0 1 0 0 1 0 1	0 0 1 0 0 1 1 1 0
				0 1 0 1 0 0 1 1 0	0 1 0 0 1 0 0 1 1	1 0 0 0 1 1 1 1 0	1 0 1 0 0 1 0 1 0
				1 0 0 0 1 0 1 1 1			
10	$E_8(a_6)$	2	0 0 1 0 0 1 0 1 0	0 0 1 0 0 1 0 1 0			
10	D_6	1	* 1 1 1 1 1 * * 1	0 1 0 0 1 0 1 0 0	1 0 1 0 0 0 1 0 0	1 1 0 0 1 0 0 0 0	0 0 1 0 0 1 0 1 0
				1 0 0 1 0 0 1 1 0	1 0 0 0 1 0 0 1 1		
9	$E_6(a_1)$	1	1 1 0 1 1 * * * 1	0 0 1 0 0 1 0 0 0	1 0 0 1 0 0 1 0 0	0 0 1 0 0 0 1 1 0	0 1 0 0 1 0 0 1 0
				1 0 0 0 1 0 1 1 0	1 0 0 0 0 1 0 1 1		
9	A_8	1	1 1 1 1 1 1 1 1 *	0 0 1 0 0 1 0 0 0			
8	$D_8(a_3)$	2	0 0 1 0 0 0 1 0 0	0 0 1 0 0 0 1 0 0			
8	$D_6(a_1)$	1	* 1 0 1 1 1 * * 1	0 0 1 0 0 0 1 0 0	1 0 0 0 0 1 0 0 1	0 0 0 1 0 0 1 1 0	0 1 0 0 0 1 0 1 0
				1 0 0 1 0 0 0 1 0			
8	D_5	1	* 1 1 1 1 * * * 1	0 0 1 0 0 0 1 0 0	0 1 0 0 1 0 0 0 0	1 0 0 0 1 0 1 0 0	1 1 0 0 0 0 1 0 0
				1 0 0 0 0 1 0 0 1	0 0 0 1 0 0 1 1 0	0 1 0 0 0 1 0 1 0	0 0 0 0 1 0 0 1 1
				1 0 0 0 0 1 1 1 0	1 0 0 1 0 0 0 1 0	1 0 0 0 0 0 1 1 1	
8	A_7'	1	* * 1 1 1 1 1 1 1	0 0 1 0 0 0 1 0 0	0 1 0 0 1 0 0 0 0	1 0 0 0 1 0 1 0 0 .	
8	A_7''	1	1 1 1 1 1 1 1 * *	0 0 1 0 0 0 1 0 0			
7	A_6	1	1 1 1 1 1 1 * * *	0 0 0 1 0 0 1 0 0	0 1 0 0 0 1 0 0 0	0 0 1 0 0 0 0 1 0	1 0 0 0 1 0 0 1 0

Table 18 continued: $\mathrm{Kac}(w)_{\mathrm{un}}$ and $\mathrm{Kac}(w)$ for m-admissible w in $W(E_8)$

m	w	r	$\mathrm{Kac}(w)_{\mathrm{un}}$	$\mathrm{Kac}(w)$
6	$E_8(a_8)$	4	0 0 0 1 0 0 0 1 0	0 0 0 1 0 0 0 1 0
6	$E_7(a_4)$	3	0 0 1 0 0 1 * * 0	0 1 0 0 0 0 1 0 0 0 0 0 1 0 0 0 1 0
6	$E_6(a_2)$	2	1 0 1 0 1 * * * 0	0 1 0 0 0 0 1 0 0 0 0 0 0 0 1 0 0 1 0 0 0 1 0 0 0 1 0 1 0 0 0 0 1 0 1 0
6	$D_6(a_2)$	2	* 1 0 1 0 1 * * 1	0 1 0 0 0 0 1 0 0 1 0 0 0 1 0 0 0 0 0 0 0 1 0 0 0 1 0
6	D_4	1	* 1 1 1 * * * * 1	0 1 0 0 0 0 1 0 0 0 0 0 0 0 1 0 0 1 1 0 0 0 1 0 0 0 0 1 1 0 0 0 0 0 0 0 0 0 0 0 0 1 1 1 0 0 0 0 1 0 0 0 1 0 0 0 0 0 0 0 1 1 1 1 0 0 0 0 1 0 1 0 1 0 0 0 0 0 0 1 1
6	A_5	1	1 1 1 1 1 * * * *	0 0 0 0 1 0 1 0 0 0 0 1 0 0 0 0 0 0 0 1 0 0 0 0 1 0 0 0 0 0 0 0 1 0 0 1 1 0 0 0 1 0 0 0 0 0 0 0 1 0 0 0 1 0 1 0 0 0 0 1 0 1 0
5	$2A_4$	2	1 1 1 * 1 1 1 1 1	0 0 0 1 0 0 0 0 0
5	A_4	1	1 1 1 1 * * * * *	0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 1 0 1 1 0 0 0 0 1 0 0 0 0 0 0 0 1 0 0 1 0 0 1 0 0 0 0 0 1 0 1 0 0 0 0 0 1 1 0
4	$2D_4(a_1)$	4	* 1 0 1 * 1 0 1 1	0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 1 1
4	$D_4(a_1)$	2	* 1 0 1 * * * * 1	0 0 0 0 1 0 0 0 0 0 1 0 0 0 0 0 0 0 1 0 0 0 0 0 1 0 0 0 0 0 0 0 1 0 1 0 0 0 0 0 0 0 0 1 1
4	$2A_3'$	2	1 1 * 1 1 1 * * *	0 0 0 0 1 0 0 0 0 0 1 0 0 0 0 0 0 0 1 0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 1 1
4	$2A_3''$	2	1 1 1 * 1 1 1 * *	0 0 0 0 1 0 0 0 0
4	A_3	1	1 1 1 * * * * * *	0 0 0 0 1 0 0 0 0 0 1 0 0 0 0 0 0 0 1 0 0 0 0 0 1 0 0 0 0 0 0 0 1 0 1 0 0 0 0 0 0 0 0 1 1
3	$4A_2$	4	1 1 * 1 1 * 1 1 1	0 0 0 0 0 0 0 0 1
3	$3A_2$	3	1 1 * 1 1 * 1 1 *	0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 1
3	$2A_2$	2	1 1 * 1 1 * * * *	0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 1 1 0 0 0 0 0 0 1 0
3	A_2	1	1 1 * * * * * * *	0 0 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 1 0 0 0 0 0 0 1 1 0 1 0 0 0 0 0 0 1 0
2	$8A_1$	7	1 0 0 0 0 0 0 0 0	1 0 0 0 0 0 0 0 0
2	$7A_1$	7	0 0 0 0 0 0 * * 1	1 0 0 0 0 0 0 0 0
2	$6A_1$	6	* 0 0 1 0 0 * * 0	1 0 0 0 0 0 0 0 0
2	$5A_1$	5	1 * * 1 * 1 * 1 1	1 0 0 0 0 0 0 0 0
2	$4A_1'$	4	* 1 * 1 * 1 * * 1	1 0 0 0 0 0 0 0 0
2	$4A_1''$	4	* 0 1 0 * * * * 0	0 0 0 0 0 0 1 0 0 1 0 0 0 0 0 0 0 0
2	$3A_1$	3	* 1 * 1 * * * * 1	0 0 0 0 0 0 1 0 0 1 0 0 0 0 0 0 0 0
2	$2A_1$	2	* 1 * * * * * * 1	0 0 0 0 0 0 1 0 0 1 0 0 0 0 0 0 0 0
2	A_1	1	* * * * * * * * 1	0 0 0 0 0 0 1 0 0 1 0 0 0 0 0 0 0 0

9.2 Tables of positive rank gradings for E_6, E_7 and E_8

The previous lists contain the Kac coordinates of all positive rank gradings, usually with multiple occurrences. We now discard those in each $\mathrm{Kac}(w)$ which appear in some $\mathrm{Kac}(w')$ with $\mathrm{rank}(w') > \mathrm{rank}(w)$. The remaining elements of $\mathrm{Kac}(w)$ are then the Kac coordinates of automorphisms θ of order m with $\mathrm{rank}(\theta) = \mathrm{rank}(w)$. For each grading θ there still may be more than one w with $\mathrm{rank}(\theta) = \mathrm{rank}(w)$. It turns out that every θ of positive rank is contained in $\mathrm{Kac}(w)_{\mathrm{un}}$ for some m-admissible w which is a $\mathbb{Z}$-regular element in the Weyl group Levi of a Levi subgroup L_θ and θ is a principal inner automorphism of the Lie algebra of L_θ. This Levi subgroup corresponds to the subset J of Lemma 7.6 and is indicated in the right most column of the tables below. For example, in E_7 the Kac diagrams

$$8_a : \begin{smallmatrix} 1 & 0 & 0 & 1 & 0 & 1 & 1 \\ & & & 0 & & & \end{smallmatrix} \qquad \text{and} \qquad 8_b : \begin{smallmatrix} 0 & 1 & 0 & 0 & 1 & 0 & 1 \\ & & & 1 & & & \end{smallmatrix}$$

occur in $\mathrm{Kac}(w)$ for w of types $D_6(a_1)$ and D_5. Since $D_6(a_1)$ is not regular in any Levi subgroup of $W(E_7)$ and $w = D_5$ is regular in the D_5 Levi subgroup, we choose $w = D_5$, discard $w = D_6(a_1)$, and set $L_\theta = D_5$.

Since θ is principal on the Lie algebra of L_θ, there is a conjugate θ' of θ whose un-normalized Kac diagram has a 1 on each node of J (cf. Lemma 7.6). There may be more than one such J, corresponding to various conjugates θ', and we just pick one of them.

In the tables we try to write w in a form which exhibits its regularity in the Weyl group W_J. For example, in E_6 the gradings $4_a, 4_b$ have $w = D_4(a_1)$. [1] In case 4_a, which is stable, we give the alternate expression $w = E_6^3$ to make it clear that w is $\mathbb{Z}$-regular in $W(E_6)$. In case 4_b there is no $W_{\mathsf{aff}}(R)$-conjugate of θ with 1's on the E_6 subdiagram. However, $w = D_5^2$ is the square of a Coxeter element in W_{D_5}, hence is $\mathbb{Z}$-regular in W_{D_5}.

The rows in our tables are ordered by decreasing m. The positive rank inner gradings of a given order m are named $m_a, m_b, m_c, \ldots,$ where m_a is the unique principal grading of order m. The principal grading m_a has maximal rank and minimal dimension of $\mathfrak{g}_0$ among all gradings of order m. The remaining rows of order m are grouped according to w and L_θ, ordered in each group by increasing dimension of $\mathfrak{g}_0$.

The little Weyl groups $W(\mathfrak{c}, \theta)$ are also given, along with their degrees. These are either cyclic or given by their notation in [25]. We explain their computation in section 10.

[1] cf. Panyushev, Example 4.5.

Table 19: The gradings of positive rank in type E_6 (inner case)

No.	Kac diagram	w	$W(\mathfrak{c},\theta)$	degrees	θ'	L_θ
12_a	$\begin{smallmatrix}1&1&1&1&1\\&&1&&\\&&1&&\end{smallmatrix}$	E_6	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}1&1&1&1&1\\&&1&&\\&&1&&\end{smallmatrix}$	E_6
9_a	$\begin{smallmatrix}1&1&0&1&1\\&&1&&\\&&1&&\end{smallmatrix}$	$E_6(a_1)$	$\boldsymbol{\mu}_9$	9	$\begin{smallmatrix}1&1&1&1&1\\&&1&&\\&&-2&&\end{smallmatrix}$	E_6
8_a	$\begin{smallmatrix}1&0&1&0&1\\&&1&&\\&&1&&\end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix}1&1&1&1&1\\&&1&&\\&&-3&&\end{smallmatrix}$	E_6
8_b	$\begin{smallmatrix}1&1&0&1&1\\&&1&&\\&&0&&\end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix}1&1&1&1&0\\&&1&&\\&&-6&&\end{smallmatrix}$	D_5
6_a	$\begin{smallmatrix}1&0&1&0&1\\&&0&&\\&&1&&\end{smallmatrix}$	$E_6(a_2)$	G_5	$6, 12$	$\begin{smallmatrix}1&1&1&1&1\\&&1&&\\&&-5&&\end{smallmatrix}$	E_6
$6_b, {6_b}'$	$\begin{smallmatrix}1&1&0&0&1\\&&1&&\\&&0&&\end{smallmatrix}$ $\begin{smallmatrix}1&0&0&1&1\\&&1&&\\&&0&&\end{smallmatrix}$	D_4	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}0&1&1&1&1\\&&1&&\\&&-4&&\end{smallmatrix}$	D_4
6_c	$\begin{smallmatrix}0&0&1&0&0\\&&1&&\\&&1&&\end{smallmatrix}$	D_4	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}0&1&1&1&0\\&&1&&\\&&-3&&\end{smallmatrix}$	D_4
6_d	$\begin{smallmatrix}0&1&0&1&0\\&&1&&\\&&0&&\end{smallmatrix}$	A_5	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}1&1&1&1&1\\&&0&&\\&&-3&&\end{smallmatrix}$	A_5
5_a	$\begin{smallmatrix}1&0&1&0&1\\&&0&&\\&&0&&\end{smallmatrix}$	A_4	$\boldsymbol{\mu}_5$	5	$\begin{smallmatrix}1&1&1&1&1\\&&1&&\\&&-6&&\end{smallmatrix}$	A_5
5_b	$\begin{smallmatrix}0&1&0&1&0\\&&0&&\\&&1&&\end{smallmatrix}$	A_4	$\boldsymbol{\mu}_5$	5	$\begin{smallmatrix}1&1&1&1&1\\&&2&&\\&&-8&&\end{smallmatrix}$	A_5
5_c	$\begin{smallmatrix}1&0&0&0&1\\&&1&&\\&&1&&\end{smallmatrix}$	A_4	$\boldsymbol{\mu}_5$	5	$\begin{smallmatrix}1&1&1&1&1\\&&3&&\\&&-10&&\end{smallmatrix}$	A_5
4_a	$\begin{smallmatrix}0&0&1&0&0\\&&0&&\\&&1&&\end{smallmatrix}$	$D_4(a_1) = E_6^3$	G_8	$8, 12$	$\begin{smallmatrix}1&1&1&1&1\\&&1&&\\&&-7&&\end{smallmatrix}$	E_6
4_b	$\begin{smallmatrix}1&0&0&0&1\\&&1&&\\&&0&&\end{smallmatrix}$	$D_4(a_1) = D_5^2$	$G(4,1,2)$	$4, 8$	$\begin{smallmatrix}0&1&1&1&1\\&&1&&\\&&-6&&\end{smallmatrix}$	D_5
4_c	$\begin{smallmatrix}0&1&0&1&0\\&&0&&\\&&0&&\end{smallmatrix}$	A_3	$\boldsymbol{\mu}_4$	4	$\begin{smallmatrix}2&0&1&1&1\\&&1&&\\&&-6&&\end{smallmatrix}$	A_4
$4_d, {4_d}'$	$\begin{smallmatrix}1&1&0&0&1\\&&0&&\\&&0&&\end{smallmatrix}$ $\begin{smallmatrix}1&0&0&1&1\\&&0&&\\&&0&&\end{smallmatrix}$	A_3	$\boldsymbol{\mu}_4$	4	$\begin{smallmatrix}0&1&1&1&1\\&&0&&\\&&-4&&\end{smallmatrix}$	A_4
3_a	$\begin{smallmatrix}0&0&1&0&0\\&&0&&\\&&0&&\end{smallmatrix}$	$3A_2$	G_{25}	$6, 9, 12$	$\begin{smallmatrix}1&1&1&1&1\\&&1&&\\&&-8&&\end{smallmatrix}$	E_6
3_b	$\begin{smallmatrix}1&0&0&0&1\\&&0&&\\&&1&&\end{smallmatrix}$	$2A_2 = A_5^2$	$G(3,1,2)$	$3, 6$	$\begin{smallmatrix}1&1&1&1&1\\&&0&&\\&&-6&&\end{smallmatrix}$	A_5
3_c	$\begin{smallmatrix}0&0&0&0&0\\&&1&&\\&&1&&\end{smallmatrix}$	$A_2 = D_4^2$	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}0&1&1&1&0\\&&1&&\\&&-6&&\end{smallmatrix}$	D_4
$3_d, {3_d}'$	$\begin{smallmatrix}1&0&0&1&0\\&&0&&\\&&0&&\end{smallmatrix}$ $\begin{smallmatrix}0&1&0&0&1\\&&0&&\\&&0&&\end{smallmatrix}$	$A_2 = D_4^2$	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}0&1&1&1&1\\&&1&&\\&&-7&&\end{smallmatrix}$	D_4
2_a	$\begin{smallmatrix}0&0&0&0&0\\&&1&&\\&&0&&\end{smallmatrix}$	$4A_1 = E_6^6$	$W(F_4)$	$2, 6, 8, 12$	$\begin{smallmatrix}1&1&1&1&1\\&&1&&\\&&-9&&\end{smallmatrix}$	E_6
2_b	$\begin{smallmatrix}1&0&0&0&1\\&&0&&\\&&0&&\end{smallmatrix}$	$2A_1 = A_3^2$	$W(B_2)$	$2, 4$	$\begin{smallmatrix}0&1&1&1&1\\&&0&&\\&&-6&&\end{smallmatrix}$	A_3
1_a	$\begin{smallmatrix}0&0&0&0&0\\&&0&&\\&&1&&\end{smallmatrix}$	e	$W(E_6)$	$2, 5, 6, 8, 9, 12$	$\begin{smallmatrix}0&0&0&0&0\\&&0&&\\&&1&&\end{smallmatrix}$	$\varnothing$

Table 20: The gradings of positive rank in type E_7

No.	Kac diagram	w	$W(\mathfrak{c},\theta)$	degrees	θ'	L_θ
18_a	$\begin{smallmatrix}1&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	E_7	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix}1&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	E_7
14_a	$\begin{smallmatrix}1&1&1&0&1&1&1\\&&&1&&&\end{smallmatrix}$	$E_7(a_1)=-A_6$	$\boldsymbol{\mu}_{14}$	14	$\begin{smallmatrix}-3&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	E_7
12_a	$\begin{smallmatrix}1&1&1&0&1&0&1\\&&&1&&&\end{smallmatrix}$	E_6	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}-5&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	E_7
12_b	$\begin{smallmatrix}1&1&0&1&0&1&1\\&&&1&&&\end{smallmatrix}$	$E_7(a_2)=-E_6$	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}-6&1&1&1&1&1&2\\&&&1&&&\end{smallmatrix}$	E_6
12_c	$\begin{smallmatrix}0&1&0&1&1&1&1\\&&&0&&&\end{smallmatrix}$	E_6	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}-4&1&1&1&1&1&0\\&&&1&&&\end{smallmatrix}$	E_6
10_a	$\begin{smallmatrix}1&0&1&0&1&0&1\\&&&1&&&\end{smallmatrix}$	D_6	$\boldsymbol{\mu}_{10}$	10	$\begin{smallmatrix}-7&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	D_6
10_b	$\begin{smallmatrix}1&1&0&1&0&1&1\\&&&0&&&\end{smallmatrix}$	D_6	$\boldsymbol{\mu}_{10}$	10	$\begin{smallmatrix}-9&2&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	D_6
10_c	$\begin{smallmatrix}0&1&0&1&0&1&0\\&&&1&&&\end{smallmatrix}$	D_6	$\boldsymbol{\mu}_{10}$	10	$\begin{smallmatrix}-5&0&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	D_6
9_a	$\begin{smallmatrix}0&1&0&1&0&1&1\\&&&0&&&\end{smallmatrix}$	$E_6(a_1)=E_7^2$	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix}-8&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	E_7
9_b	$\begin{smallmatrix}1&0&1&0&0&1&1\\&&&1&&&\end{smallmatrix}$	$E_6(a_1)$	$\boldsymbol{\mu}_9$	9	$\begin{smallmatrix}-7&1&1&1&1&1&0\\&&&1&&&\end{smallmatrix}$	E_6
8_a	$\begin{smallmatrix}1&0&0&1&0&1&1\\&&&0&&&\end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix}-9&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	D_5
8_b	$\begin{smallmatrix}0&1&0&0&1&0&1\\&&&1&&&\end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix}-11&2&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	D_5
8_c	$\begin{smallmatrix}0&1&0&1&0&1&0\\&&&0&&&\end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix}-12&0&1&1&1&1&6\\&&&1&&&\end{smallmatrix}$	D_5
8_d	$\begin{smallmatrix}1&0&1&0&1&0&1\\&&&0&&&\end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix}-10&1&1&1&1&1&2\\&&&1&&&\end{smallmatrix}$	D_5
8_e	$\begin{smallmatrix}1&1&0&0&0&1&1\\&&&1&&&\end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix}-8&0&1&1&1&1&2\\&&&1&&&\end{smallmatrix}$	D_5
8_f	$\begin{smallmatrix}1&0&0&1&0&0&1\\&&&1&&&\end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix}-12&1&1&1&1&1&4\\&&&1&&&\end{smallmatrix}$	D_5
8_g	$\begin{smallmatrix}0&0&1&0&0&1&1\\&&&1&&&\end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix}-6&0&1&1&1&1&0\\&&&1&&&\end{smallmatrix}$	D_5
8_h	$\begin{smallmatrix}0&1&0&0&1&1&1\\&&&0&&&\end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix}-8&1&1&1&1&1&0\\&&&1&&&\end{smallmatrix}$	D_5
7_a	$\begin{smallmatrix}0&1&0&1&0&0&1\\&&&0&&&\end{smallmatrix}$	$A_6=E_7(a_1)^2$	$\boldsymbol{\mu}_{14}$	14	$\begin{smallmatrix}-10&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	E_7
6_a	$\begin{smallmatrix}1&0&0&1&0&0&1\\&&&0&&&\end{smallmatrix}$	$E_7(a_4)=E_7^3=-3A_2$	G_{26}	$6,12,18$	$\begin{smallmatrix}-11&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	E_7
6_b	$\begin{smallmatrix}0&1&0&0&1&0&1\\&&&0&&&\end{smallmatrix}$	$E_6(a_2)=E_6^2$	G_5	$6,12$	$\begin{smallmatrix}-10&1&1&1&1&1&0\\&&&1&&&\end{smallmatrix}$	E_6
6_c	$\begin{smallmatrix}0&1&0&0&0&1&0\\&&&1&&&\end{smallmatrix}$	$D_6(a_2)$	$G(6,2,2)$	$6,6$	$\begin{smallmatrix}-9&0&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	D_6
6_d	$\begin{smallmatrix}1&0&0&0&0&1&1\\&&&1&&&\end{smallmatrix}$	D_4	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}-12&0&1&1&1&1&2\\&&&1&&&\end{smallmatrix}$	D_4
6_e	$\begin{smallmatrix}0&0&1&0&0&0&1\\&&&1&&&\end{smallmatrix}$	D_4	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}-10&0&1&1&1&1&2\\&&&1&&&\end{smallmatrix}$	D_4
6_f	$\begin{smallmatrix}0&0&1&0&0&1&1\\&&&0&&&\end{smallmatrix}$	D_4	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}-13&0&1&1&1&1&5\\&&&1&&&\end{smallmatrix}$	D_4
6_g	$\begin{smallmatrix}0&0&0&1&0&0&0\\&&&1&&&\end{smallmatrix}$	D_4	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}-9&0&1&1&1&0&3\\&&&1&&&\end{smallmatrix}$	D_4
6_h	$\begin{smallmatrix}0&0&0&0&1&1&1\\&&&0&&&\end{smallmatrix}$	D_4	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}-6&0&1&1&1&0&0\\&&&1&&&\end{smallmatrix}$	D_4
6_i	$\begin{smallmatrix}0&0&1&0&1&0&0\\&&&0&&&\end{smallmatrix}$	A_5'	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}-10&2&0&1&1&1&1\\&&&1&&&\end{smallmatrix}$	A_5'
6_j	$\begin{smallmatrix}0&0&0&1&0&1&0\\&&&0&&&\end{smallmatrix}$	A_5''	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}-9&1&1&1&1&1&1\\&&&0&&&\end{smallmatrix}$	A_5''
6_k	$\begin{smallmatrix}1&1&0&0&0&1&1\\&&&0&&&\end{smallmatrix}$	A_5'	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}-6&0&0&1&1&1&1\\&&&1&&&\end{smallmatrix}$	A_5'

Table 20 continued: The gradings of positive rank in type E_7

No.	Kac diagram	w	$W(\mathfrak{c},\theta)$	degrees	θ'	L_θ
5_a	$\begin{smallmatrix}0&0&0&1&0&0&1\\&&&0&&&\end{smallmatrix}$	$A_4 = D_6^2$	$\boldsymbol{\mu}_{10}$	10	$\begin{smallmatrix}-12&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	D_6
5_b	$\begin{smallmatrix}0&0&1&0&0&1&0\\&&&0&&&\end{smallmatrix}$	$A_4 = D_6^2$	$\boldsymbol{\mu}_{10}$	10	$\begin{smallmatrix}-14&2&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	D_6
5_c	$\begin{smallmatrix}0&1&0&0&0&1&1\\&&&0&&&\end{smallmatrix}$	$A_4 = D_6^2$	$\boldsymbol{\mu}_{10}$	10	$\begin{smallmatrix}-10&0&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	D_6
5_d	$\begin{smallmatrix}1&0&0&0&1&0&1\\&&&0&&&\end{smallmatrix}$	A_4	$\boldsymbol{\mu}_5$	5	$\begin{smallmatrix}-11&1&1&1&1&1&0\\&&&0&&&\end{smallmatrix}$	A_4
5_e	$\begin{smallmatrix}0&1&0&0&0&0&1\\&&&1&&&\end{smallmatrix}$	A_4	$\boldsymbol{\mu}_5$	5	$\begin{smallmatrix}-11&1&1&1&1&0&2\\&&&1&&&\end{smallmatrix}$	A_4
4_a	$\begin{smallmatrix}0&0&1&0&0&0&1\\&&&0&&&\end{smallmatrix}$	$D_4(a_1) = E_6^3$	G_8	$8, 12$	$\begin{smallmatrix}-13&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	E_6
4_b	$\begin{smallmatrix}0&0&0&1&0&0&0\\&&&0&&&\end{smallmatrix}$	$D_4(a_1) = E_6^3$	G_8	$8, 12$	$\begin{smallmatrix}-14&1&1&1&1&1&2\\&&&1&&&\end{smallmatrix}$	E_6
4_c	$\begin{smallmatrix}0&0&0&0&1&0&1\\&&&0&&&\end{smallmatrix}$	$D_4(a_1) = E_6^3$	G_8	$8, 12$	$\begin{smallmatrix}-12&1&1&1&1&1&0\\&&&1&&&\end{smallmatrix}$	E_6
4_d	$\begin{smallmatrix}0&1&0&0&0&1&0\\&&&0&&&\end{smallmatrix}$	$D_4(a_1)$	$G(4,1,2)$	$4, 8$	$\begin{smallmatrix}-12&0&1&1&1&1&2\\&&&1&&&\end{smallmatrix}$	D_5
4_e	$\begin{smallmatrix}1&0&0&0&0&0&1\\&&&1&&&\end{smallmatrix}$	$D_4(a_1)$	$G(4,1,2)$	$4, 8$	$\begin{smallmatrix}-12&1&1&1&1&0&2\\&&&1&&&\end{smallmatrix}$	D_5
4_f	$\begin{smallmatrix}0&0&0&0&0&1&0\\&&&1&&&\end{smallmatrix}$	A_3	$\boldsymbol{\mu}_4$	4	$\begin{smallmatrix}-9&1&1&1&0&0&2\\&&&1&&&\end{smallmatrix}$	A_4
4_g	$\begin{smallmatrix}1&0&0&0&0&1&1\\&&&0&&&\end{smallmatrix}$	A_3	$\boldsymbol{\mu}_4$	4	$\begin{smallmatrix}-7&1&1&1&0&0&0\\&&&1&&&\end{smallmatrix}$	A_4
3_a	$\begin{smallmatrix}0&0&0&0&1&0&0\\&&&0&&&\end{smallmatrix}$	$3A_2 = E_7^6$	G_{26}	$6, 12, 18$	$\begin{smallmatrix}-14&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	E_7
3_b	$\begin{smallmatrix}0&1&0&0&0&0&1\\&&&0&&&\end{smallmatrix}$	$2A_2$	$G(6,2,2)$	$6, 6$	$\begin{smallmatrix}-12&0&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	D_6
3_c	$\begin{smallmatrix}0&0&0&0&0&0&1\\&&&1&&&\end{smallmatrix}$	$A_2 = D_4^2$	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}-13&0&1&1&1&1&2\\&&&1&&&\end{smallmatrix}$	D_4
3_d	$\begin{smallmatrix}0&0&0&0&0&1&1\\&&&0&&&\end{smallmatrix}$	$A_2 = D_4^2$	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix}-9&0&1&1&1&0&0\\&&&1&&&\end{smallmatrix}$	D_4
2_a	$\begin{smallmatrix}0&0&0&0&0&0&0\\&&&1&&&\end{smallmatrix}$	$7A_1$	$W(E_7)$	$2, 6, 8, 10, 12, 14, 18$	$\begin{smallmatrix}-15&1&1&1&1&1&1\\&&&1&&&\end{smallmatrix}$	E_7
2_b	$\begin{smallmatrix}0&0&0&0&0&1&0\\&&&0&&&\end{smallmatrix}$	$4A_1''$	$W(F_4)$	$2, 6, 8, 12$	$\begin{smallmatrix}-14&1&1&1&1&1&0\\&&&1&&&\end{smallmatrix}$	E_6
2_c	$\begin{smallmatrix}1&0&0&0&0&0&1\\&&&0&&&\end{smallmatrix}$	$3A_1'$	$W(B_3)$	$2, 4, 6$	$\begin{smallmatrix}-10&0&1&1&1&1&1\\&&&0&&&\end{smallmatrix}$	A_5'
1_a	$\begin{smallmatrix}1&0&0&0&0&0&0\\&&&0&&&\end{smallmatrix}$	e	$W(E_7)$	$2, 6, 8, 10, 12, 14, 18$	$\begin{smallmatrix}1&0&0&0&0&0&0\\&&&0&&&\end{smallmatrix}$	E_7

Table 21: The gradings of positive rank in type E_8

No.	Kac diagram	w	$W(\mathfrak{c},\theta)$	degrees	θ'	L_θ
30_a	$\begin{smallmatrix}1&1&1&1&1&1&1&1\\&&1\end{smallmatrix}$	E_8	$\boldsymbol{\mu}_{30}$	30	$\begin{smallmatrix}1&1&1&1&1&1&1&1\\&&1\end{smallmatrix}$	E_8
24_a	$\begin{smallmatrix}1&1&0&1&1&1&1&1\\&&1\end{smallmatrix}$	$E_8(a_1)$	$\boldsymbol{\mu}_{24}$	24	$\begin{smallmatrix}1&1&1&1&1&1&1&-5\\&&1\end{smallmatrix}$	E_8
20_a	$\begin{smallmatrix}1&1&0&1&0&1&1&1\\&&1\end{smallmatrix}$	$E_8(a_2)$	$\boldsymbol{\mu}_{20}$	20	$\begin{smallmatrix}1&1&1&1&1&1&1&-9\\&&1\end{smallmatrix}$	E_8
18_a	$\begin{smallmatrix}1&1&0&1&0&1&0&1\\&&1\end{smallmatrix}$	E_7	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix}1&1&1&1&1&1&1&-11\\&&1\end{smallmatrix}$	E_7
18_b	$\begin{smallmatrix}1&0&1&0&1&0&1&1\\&&1\end{smallmatrix}$	E_7	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix}1&1&1&1&1&1&2&-13\\&&1\end{smallmatrix}$	E_7
18_c	$\begin{smallmatrix}1&0&1&0&1&1&1&1\\&&0\end{smallmatrix}$	E_7	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix}1&1&1&1&1&1&4&-17\\&&1\end{smallmatrix}$	E_7
18_d	$\begin{smallmatrix}0&1&0&1&0&1&1&1\\&&1\end{smallmatrix}$	E_7	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix}1&1&1&1&1&1&3&-15\\&&1\end{smallmatrix}$	E_7
18_e	$\begin{smallmatrix}1&1&1&0&1&0&1&0\\&&0\end{smallmatrix}$	E_7	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix}1&1&1&1&1&1&0&-9\\&&1\end{smallmatrix}$	E_7
15_a	$\begin{smallmatrix}1&0&1&0&1&0&1&1\\&&0\end{smallmatrix}$	$E_8(a_5)$	$\boldsymbol{\mu}_{30}$	30	$\begin{smallmatrix}1&1&1&1&1&1&1&-14\\&&1\end{smallmatrix}$	E_8
14_a	$\begin{smallmatrix}1&0&1&0&0&1&1&1\\&&0\end{smallmatrix}$	$E_7(a_1)$	$\boldsymbol{\mu}_{14}$	14	$\begin{smallmatrix}1&1&1&1&1&1&1&-15\\&&1\end{smallmatrix}$	E_7
14_b	$\begin{smallmatrix}1&0&0&1&0&1&0&1\\&&1\end{smallmatrix}$	$E_7(a_1)$	$\boldsymbol{\mu}_{14}$	14	$\begin{smallmatrix}1&1&1&1&1&1&3&-19\\&&1\end{smallmatrix}$	E_7
14_c	$\begin{smallmatrix}0&1&0&0&1&0&1&1\\&&1\end{smallmatrix}$	$E_7(a_1)$	$\boldsymbol{\mu}_{14}$	14	$\begin{smallmatrix}1&1&1&1&1&1&2&-17\\&&1\end{smallmatrix}$	E_7
14_d	$\begin{smallmatrix}1&0&1&0&1&0&1&0\\&&0\end{smallmatrix}$	$E_7(a_1)$	$\boldsymbol{\mu}_{14}$	14	$\begin{smallmatrix}1&1&1&1&1&1&4&-21\\&&1\end{smallmatrix}$	E_7
12_a	$\begin{smallmatrix}1&0&1&0&0&1&0&1\\&&0\end{smallmatrix}$	$E_8(a_3)$	G_{10}	$12, 24$	$\begin{smallmatrix}1&1&1&1&1&1&1&-17\\&&1\end{smallmatrix}$	E_8
12_b	$\begin{smallmatrix}1&0&0&0&1&0&1&1\\&&1\end{smallmatrix}$	E_6	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}1&1&1&1&1&1&0&-15\\&&1\end{smallmatrix}$	E_6
12_c	$\begin{smallmatrix}0&1&0&0&1&0&0&1\\&&1\end{smallmatrix}$	E_6	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}1&1&1&1&1&1&3&-21\\&&1\end{smallmatrix}$	E_6
12_d	$\begin{smallmatrix}0&1&0&1&0&0&1&1\\&&0\end{smallmatrix}$	E_6	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}1&1&1&1&1&0&2&-16\\&&1\end{smallmatrix}$	E_6
12_e	$\begin{smallmatrix}0&0&1&0&0&1&1&1\\&&0\end{smallmatrix}$	E_6	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}1&1&1&1&1&0&1&-14\\&&1\end{smallmatrix}$	E_6
12_f	$\begin{smallmatrix}1&0&0&1&0&0&1&0\\&&1\end{smallmatrix}$	E_6	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}1&1&1&1&1&1&2&-19\\&&1\end{smallmatrix}$	E_6
12_g	$\begin{smallmatrix}1&1&0&0&1&0&1&0\\&&0\end{smallmatrix}$	E_6	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}1&1&1&1&1&0&6&-24\\&&1\end{smallmatrix}$	E_6
12_h	$\begin{smallmatrix}0&0&1&0&0&1&0&0\\&&1\end{smallmatrix}$	E_6	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}1&1&1&1&1&0&4&-20\\&&1\end{smallmatrix}$	E_6
12_i	$\begin{smallmatrix}1&0&0&0&1&1&1&1\\&&0\end{smallmatrix}$	E_6	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}1&1&1&1&1&0&0&-12\\&&1\end{smallmatrix}$	E_6
12_j	$\begin{smallmatrix}0&0&1&0&1&0&1&0\\&&0\end{smallmatrix}$	D_7	$\boldsymbol{\mu}_{12}$	12	$\begin{smallmatrix}0&1&1&1&1&1&1&-15\\&&1\end{smallmatrix}$	D_7
10_a	$\begin{smallmatrix}0&0&1&0&0&1&0&1\\&&0\end{smallmatrix}$	$E_8(a_6) = -2A_4$	G_{16}	$20, 30$	$\begin{smallmatrix}1&1&1&1&1&1&1&-19\\&&1\end{smallmatrix}$	E_8
10_b	$\begin{smallmatrix}1&0&0&0&1&0&0&1\\&&1\end{smallmatrix}$	D_6	$\boldsymbol{\mu}_{10}$	10	$\begin{smallmatrix}1&1&1&1&1&1&0&-17\\&&1\end{smallmatrix}$	D_6
10_c	$\begin{smallmatrix}1&0&0&1&0&0&1&1\\&&0\end{smallmatrix}$	D_6	$\boldsymbol{\mu}_{10}$	10	$\begin{smallmatrix}2&1&1&1&1&1&1&-21\\&&1\end{smallmatrix}$	D_6
10_d	$\begin{smallmatrix}1&0&1&0&0&0&1&0\\&&0\end{smallmatrix}$	D_6	$\boldsymbol{\mu}_{10}$	10	$\begin{smallmatrix}0&1&1&1&1&1&1&-17\\&&1\end{smallmatrix}$	D_6
10_e	$\begin{smallmatrix}0&1&0&0&1&0&1&0\\&&0\end{smallmatrix}$	D_6	$\boldsymbol{\mu}_{10}$	10	$\begin{smallmatrix}0&1&1&1&1&1&2&-19\\&&1\end{smallmatrix}$	D_6
10_f	$\begin{smallmatrix}1&1&0&0&1&0&0&0\\&&0\end{smallmatrix}$	D_6	$\boldsymbol{\mu}_{10}$	10	$\begin{smallmatrix}0&1&1&1&1&1&0&-15\\&&1\end{smallmatrix}$	D_6

Table 21 continued: The gradings of positive rank in type E_8

No.	Kac diagram	w	$W(\mathfrak{c},\theta)$	degrees	θ'	L_θ
9_a	$\begin{smallmatrix} 0&0&1&0&0&0&1&1 \\ &&0&&&&& \end{smallmatrix}$	$E_6(a_1) = E_7^2$	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix} 1&1&1&1&1&1&1&-20 \\ &&1&&&&& \end{smallmatrix}$	E_7
9_b	$\begin{smallmatrix} 0&1&0&0&1&0&0&1 \\ &&0&&&&& \end{smallmatrix}$	$E_6(a_1) = E_7^2$	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix} 1&1&1&1&1&1&2&-22 \\ &&1&&&&& \end{smallmatrix}$	E_7
9_c	$\begin{smallmatrix} 1&0&0&1&0&0&1&0 \\ &&0&&&&& \end{smallmatrix}$	$E_6(a_1) = E_7^2$	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix} 1&1&1&1&1&1&4&-26 \\ &&1&&&&& \end{smallmatrix}$	E_7
9_d	$\begin{smallmatrix} 0&0&1&0&0&1&0&0 \\ &&0&&&&& \end{smallmatrix}$	$E_6(a_1) = E_7^2$	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix} 1&1&1&1&1&1&3&-24 \\ &&1&&&&& \end{smallmatrix}$	E_7
9_e	$\begin{smallmatrix} 1&0&0&0&1&0&1&1 \\ &&0&&&&& \end{smallmatrix}$	$E_6(a_1) = E_7^2$	$\boldsymbol{\mu}_{18}$	18	$\begin{smallmatrix} 1&1&1&1&1&1&0&-18 \\ &&1&&&&& \end{smallmatrix}$	E_7
9_f	$\begin{smallmatrix} 1&0&0&0&0&1&0&1 \\ &&1&&&&& \end{smallmatrix}$	$E_6(a_1)$	$\boldsymbol{\mu}_9$	9	$\begin{smallmatrix} 1&1&1&1&1&0&0&-15 \\ &&1&&&&& \end{smallmatrix}$	E_6
8_a	$\begin{smallmatrix} 0&0&1&0&0&0&1&0 \\ &&0&&&&& \end{smallmatrix}$	$D_8(a_3)$	G_9	$8, 24$	$\begin{smallmatrix} 1&1&1&1&1&1&1&-21 \\ &&1&&&&& \end{smallmatrix}$	E_8
8_b	$\begin{smallmatrix} 1&0&0&1&0&0&0&1 \\ &&0&&&&& \end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix} 1&1&1&1&1&1&2&-23 \\ &&1&&&&& \end{smallmatrix}$	D_5
8_c	$\begin{smallmatrix} 0&1&0&0&0&1&0&1 \\ &&0&&&&& \end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix} 1&1&1&1&1&1&0&-19 \\ &&1&&&&& \end{smallmatrix}$	D_5
8_d	$\begin{smallmatrix} 0&1&0&0&1&0&0&0 \\ &&0&&&&& \end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix} 1&1&1&1&0&2&2&-22 \\ &&1&&&&& \end{smallmatrix}$	D_5
8_e	$\begin{smallmatrix} 0&0&0&0&1&0&0&1 \\ &&1&&&&& \end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix} 1&1&1&1&1&0&2&-20 \\ &&1&&&&& \end{smallmatrix}$	D_5
8_f	$\begin{smallmatrix} 0&0&0&1&0&0&1&1 \\ &&0&&&&& \end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix} 1&1&1&1&1&1&6&-31 \\ &&1&&&&& \end{smallmatrix}$	D_5
8_g	$\begin{smallmatrix} 1&0&0&0&0&1&0&0 \\ &&1&&&&& \end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix} 1&1&1&1&1&0&3&-22 \\ &&1&&&&& \end{smallmatrix}$	D_5
8_h	$\begin{smallmatrix} 1&0&0&0&1&0&1&0 \\ &&0&&&&& \end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix} 1&1&1&1&0&0&0&-12 \\ &&1&&&&& \end{smallmatrix}$	D_5
8_i	$\begin{smallmatrix} 1&0&0&0&0&0&1&1 \\ &&1&&&&& \end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix} 0&1&1&1&1&0&0&-14 \\ &&1&&&&& \end{smallmatrix}$	D_5
8_j	$\begin{smallmatrix} 1&1&0&0&0&0&1&0 \\ &&0&&&&& \end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix} 1&1&1&1&1&0&4&-24 \\ &&1&&&&& \end{smallmatrix}$	D_5
8_k	$\begin{smallmatrix} 1&0&0&0&0&1&1&1 \\ &&0&&&&& \end{smallmatrix}$	D_5	$\boldsymbol{\mu}_8$	8	$\begin{smallmatrix} 1&1&1&1&1&0&0&-16 \\ &&1&&&&& \end{smallmatrix}$	D_5
7_a	$\begin{smallmatrix} 0&0&0&1&0&0&1&0 \\ &&0&&&&& \end{smallmatrix}$	$A_6 = E_7(a_1)^2$	$\boldsymbol{\mu}_{14}$	14	$\begin{smallmatrix} 1&1&1&1&1&1&1&-22 \\ &&1&&&&& \end{smallmatrix}$	E_7
7_b	$\begin{smallmatrix} 0&0&1&0&0&0&0&1 \\ &&0&&&&& \end{smallmatrix}$	$A_6 = E_7(a_1)^2$	$\boldsymbol{\mu}_{14}$	14	$\begin{smallmatrix} 1&1&1&1&1&1&3&-26 \\ &&1&&&&& \end{smallmatrix}$	E_7
7_c	$\begin{smallmatrix} 0&1&0&0&0&1&0&0 \\ &&0&&&&& \end{smallmatrix}$	$A_6 = E_7(a_1)^2$	$\boldsymbol{\mu}_{14}$	14	$\begin{smallmatrix} 1&1&1&1&1&1&2&-24 \\ &&1&&&&& \end{smallmatrix}$	E_7
7_d	$\begin{smallmatrix} 1&0&0&0&1&0&0&1 \\ &&0&&&&& \end{smallmatrix}$	$A_6 = E_7(a_1)^2$	$\boldsymbol{\mu}_{14}$	14	$\begin{smallmatrix} 1&1&1&1&1&1&4&-28 \\ &&1&&&&& \end{smallmatrix}$	E_7
6_a	$\begin{smallmatrix} 0&0&0&1&0&0&0&1 \\ &&0&&&&& \end{smallmatrix}$	$E_8(a_8) = -4A_2$	G_{32}	$12, 18, 24, 30$	$\begin{smallmatrix} 1&1&1&1&1&1&1&-23 \\ &&1&&&&& \end{smallmatrix}$	E_8
6_b	$\begin{smallmatrix} 0&1&0&0&0&0&1&0 \\ &&0&&&&& \end{smallmatrix}$	$E_7(a_4) = E_7^3$	G_{26}	$6, 12, 18$	$\begin{smallmatrix} 1&1&1&1&1&1&0&-21 \\ &&1&&&&& \end{smallmatrix}$	E_7
6_c	$\begin{smallmatrix} 1&0&0&0&1&0&0&0 \\ &&0&&&&& \end{smallmatrix}$	$D_6(a_2)$	$G(6,1,2)$	$6, 12$	$\begin{smallmatrix} 0&1&1&1&1&1&1&-21 \\ &&1&&&&& \end{smallmatrix}$	D_7
6_d	$\begin{smallmatrix} 0&0&0&0&0&1&0&0 \\ &&1&&&&& \end{smallmatrix}$	$E_6(a_2)$	G_5	$6, 12$	$\begin{smallmatrix} 1&1&1&1&1&0&2&-22 \\ &&1&&&&& \end{smallmatrix}$	E_6
6_e	$\begin{smallmatrix} 1&0&0&0&0&1&0&1 \\ &&0&&&&& \end{smallmatrix}$	$E_6(a_2)$	G_5	$6, 12$	$\begin{smallmatrix} 1&1&1&1&1&0&0&-18 \\ &&1&&&&& \end{smallmatrix}$	E_6
6_f	$\begin{smallmatrix} 0&0&1&0&0&0&0&0 \\ &&0&&&&& \end{smallmatrix}$	A_5	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix} 1&1&1&1&1&1&2&-22 \\ &&0&&&&& \end{smallmatrix}$	A_5
6_g	$\begin{smallmatrix} 0&0&0&0&1&0&1&0 \\ &&0&&&&& \end{smallmatrix}$	A_5	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix} 1&1&1&1&1&1&0&-18 \\ &&0&&&&& \end{smallmatrix}$	A_5
6_h	$\begin{smallmatrix} 1&0&0&0&0&0&0&1 \\ &&1&&&&& \end{smallmatrix}$	D_4	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix} 1&1&1&1&0&0&2&-18 \\ &&1&&&&& \end{smallmatrix}$	D_4
6_i	$\begin{smallmatrix} 0&0&0&0&0&0&1&1 \\ &&1&&&&& \end{smallmatrix}$	D_4	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix} 1&1&1&1&2&1&0&-25 \\ &&1&&&&& \end{smallmatrix}$	D_4
6_j	$\begin{smallmatrix} 0&0&0&0&0&1&1&1 \\ &&0&&&&& \end{smallmatrix}$	D_4	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix} 0&1&1&1&0&0&0&-12 \\ &&1&&&&& \end{smallmatrix}$	D_4
6_k	$\begin{smallmatrix} 1&1&0&0&0&0&0&0 \\ &&0&&&&& \end{smallmatrix}$	D_4	$\boldsymbol{\mu}_6$	6	$\begin{smallmatrix} 0&1&1&1&0&0&3&-18 \\ &&1&&&&& \end{smallmatrix}$	D_4

Table 21 continued: The gradings of positive rank in type E_8

No.	Kac diagram	w	$W(\mathfrak{c},\theta)$	degrees	θ'	L_θ
5_a	0 0 0 1 0 0 0 0 0	$2A_4 = E_8^6$	G_{16}	$20, 30$	1 1 1 1 1 1 1 −24 1	E_8
5_b	0 1 0 0 0 0 0 1 0	A_4	$\boldsymbol{\mu}_{10}$	10	1 1 1 1 1 1 0 −22 1	D_6
5_c	0 0 0 0 0 0 1 0 1	A_4	$\boldsymbol{\mu}_{10}$	10	2 1 1 1 1 1 1 −26 1	D_6
5_d	0 0 0 0 1 0 0 1 0	A_4	$\boldsymbol{\mu}_{10}$	10	1 1 1 1 1 1 4 −30 1	D_6
5_e	1 0 0 0 0 1 0 0 0	A_4	$\boldsymbol{\mu}_{10}$	10	0 1 1 1 1 1 2 −24 1	D_6
5_f	1 0 0 0 0 0 1 1 0	A_4	$\boldsymbol{\mu}_{10}$	10	0 1 1 1 1 1 0 −20 1	D_6
4_a	0 0 0 0 1 0 0 0 0	$2D_4(a_1)$	G_{31}	$8, 12, 20, 24$	1 1 1 1 1 1 1 −25 1	E_8
4_b	0 0 0 0 0 0 0 1 1	$D_4(a_1) = E_6^3$	G_8	$8, 12$	1 1 1 1 1 1 2 −27 1	E_6
4_c	0 1 0 0 0 0 0 0 0	$D_4(a_1) = E_6^3$	G_8	$8, 12$	1 1 1 1 1 0 2 −24 1	E_6
4_d	0 0 0 0 0 1 0 1 0	$D_4(a_1) = E_6^3$	G_8	$8, 12$	1 1 1 1 1 0 0 −20 1	E_6
4_e	1 0 0 0 0 0 1 0 0	$D_4(a_1) = D_5^2$	$G(4,1,2)$	$4, 8$	1 1 1 1 0 0 0 −16 1	D_5
3_a	0 0 0 0 0 0 0 0 1	$4A_2 = E_8^{10}$	G_{32}	$12, 18, 24, 30$	1 1 1 1 1 1 1 −26 1	E_8
3_b	0 0 0 0 0 1 0 0 0	$3A_2 = E_7^6$	G_{26}	$6, 12, 18$	1 1 1 1 1 1 0 −24 1	E_7
3_c	1 0 0 0 0 0 0 1 0	$2A_2 = D_7^4$	$G(6,1,2)$	$6, 12$	0 1 1 1 1 1 1 −24 1	D_7
3_d	0 0 0 0 0 0 1 1 0	$A_2 = D_4^2$	$\boldsymbol{\mu}_6$	6	0 1 1 1 0 0 0 −15 1	D_4
2_a	1 0 0 0 0 0 0 0 0	$8A_1 = -1$	$W(E_8)$	$2, 8, 12, 14, 18, 20, 24, 30$	1 1 1 1 1 1 1 −27 1	E_8
2_b	0 0 0 0 0 0 1 0 0	$4A_1'' = E_6^6$	$W(F_4)$	$2, 6, 8, 12$	1 1 1 1 1 0 0 −22 1	E_6
1_a	0 0 0 0 0 0 0 1 0	1	$W(E_8)$	$2, 8, 12, 14, 18, 20, 24, 30$	0 0 0 0 0 0 0 1 0	E_8

10 Little Weyl groups for inner type E and Kostant sections

In this section we compute the little Weyl groups $W(\mathfrak{c},\theta)$ and their degrees when θ is inner of positive rank in type E. As a byproduct we show that every positive rank inner automorphism is principal in a Levi subgroup. This leads to a verification of Popov's conjecture on the existence of Kostant sections, and gives a characterization of the orders of positive-rank automorphisms.

10.1 The Levi subgroup L_θ

In tables 19-21 above we have indicated a Levi subgroup L_θ whose corresponding subset $J \subset \{1,\ldots,\ell\}$ satisfies the conditions of Lemma 7.6, giving an embedding

$$C_{W_J}(w) \hookrightarrow W(\mathfrak{c},\theta). \tag{14}$$

In each case, the embedding (14) turns out to be an isomorphism. It follows that the degrees of $W(\mathfrak{c},\theta)$ are those degrees of W_J which are divisible by m.

We verify that (14) is an isomorphism as follows. Let $U_J \subset W$ be the subgroup acting trivially on the span of the roots α_j for $j \in J$ and set $c_J(w) = |C_W(w)|/|U_J|$. Lemma 7.5 shows that $|W(\mathfrak{c},\theta)|$ divides $c_J(w)$. The subgroup U_J can be found in the tables of [6] (it is denoted there by W_2). In all but eight cases we find that

$$|C_{W_J}(w)| = c_J(w),$$

showing that $C_{W_J}(w) = W(\mathfrak{c},\theta)$.

We list the exceptional cases for which $|C_{W_J}(w)| < c_J(w)$. We write $|C_{W_J}(w)|$ as the product of degrees divisible by m.

G	no.	w	J	$\lvert C_{W_J}(w)\rvert$	$c_J(w)$
E_6	4_b	$D_4(a_1)$	D_5	$4 \cdot 8$	$8 \cdot 12$
E_7	9_b	$E_6(a_1)$	E_6	9	18
E_7	$5_d, 5_e$	A_4	A_4	5	10
E_7	$4_d, 4_e$	$D_4(a_1)$	D_5	$4 \cdot 8$	$8 \cdot 12$
E_8	9_f	$E_6(a_1)$	E_6	9	18
E_8	4_e	$D_4(a_1)$	D_5	$4 \cdot 8$	$8 \cdot 12$

To show that $W(\mathfrak{c},\theta) = C_{W_J}(w)$ in all of these cases as well, it suffices to show that G_0 has an invariant polynomial of degree $d = 4, 9, 5, 4, 9, 4$ for the respective rows. If k has characteristic zero this can be done using the computer algebra system LiE to find the dimension of the G_0-invariants in $\mathrm{Sym}^d(\mathfrak{g}_1^*)$. In fact we did this for all of the positive rank cases in exceptional groups, as a confirmation of our tables. If k has positive characteristic p (not dividing m) the desired invariant is provided by the following result.

Lemma 10.1 *Let $\rho : H \to \mathrm{GL}(V)$ be a representation of an algebraic group H over the ring $\mathbb{Z}[\zeta]$, where $\zeta \in \overline{\mathbb{Q}}$ is a primitive m^{th}-root of unity. Assume that $H(\overline{\mathbb{Q}})$ has a nonzero invariant vector in $V(\overline{\mathbb{Q}})$ with multiplicity one. Then $H(k)$ has a nonzero invariant in $V(k)$ for any algebraically-closed field k of characteristic p not dividing m.*

Proof: Let $W(k)$ be the ring of Witt vectors of k, let K be the quotient field of $W(k)$ and let L be an algebraic closure of K. Our assumption implies that $H(L)$ has a nonzero invariant $f \in V(L)$ with multiplicity one. The line $L \cdot f$ is preserved by $\mathrm{Gal}(L/K)$, so Hilbert's theorem 90 implies that $L \cdot f \cap V(K)$ is nonzero. We may therefore assume that $f \in V(K)$. Clearing denominators, we may further assume that $f \in V(W(k))$ and is nonzero modulo the maximal ideal M of $W(k)$. The reduction of f modulo M gives a nonzero invariant of $H(k)$ in $V(k)$. ∎

As illustrated in the following examples, we can often compute the desired invariant by hand.

10.1.1 Example: E_6 no. 4_b

We label the affine diagram of E_6 and write the Kac diagram of θ respectively as as shown:

$$\begin{array}{ccccc} 1 & 2 & 3 & 4 & 5 \\ & & 6 & & \\ & & 0 & & \end{array} \qquad \begin{array}{ccccc} 0 & 1 & 0 & 0 & 1 \\ & & 0 & & \\ & & 1 & & \end{array}.$$

We view $\mathfrak{g}_1$ as a representation of $\mathrm{SL}_2 \times \mathrm{SL}_4 \times T_2$, where T_2 is the two dimensional torus whose cocharacter group has basis $\{\check{\omega}_2, \check{\omega}_5\}$, where $\check{\omega}_i$ are the fundamental co-weights of E_6. Each node i labelled 1 in the Kac diagram gives a summand V_i of $\mathfrak{g}_1^*$ whose highest weight is the fundamental weight on each node adjacent to i and with central character α_i restricted to T_2. Thus, we have

$$\begin{array}{rccccc} \mathfrak{g}_1^* \simeq & (\mathbf{2} \boxtimes \mathbf{6}) & \oplus & (\mathbf{1} \boxtimes \check{\mathbf{4}}) & \oplus & (\mathbf{1} \boxtimes \mathbf{4}) \\ \check{\omega}_2 = & 1 & & -2 & & 0 \\ \check{\omega}_5 = & 0 & & -1 & & 1 \end{array}$$

Here $\mathbf{2}$ and $\mathbf{4}$ are the standard representations of SL_2 and SL_4, $\check{\mathbf{4}}$ is the dual of $\mathbf{4}$ and $\mathbf{6} = \Lambda^2\mathbf{4}$. It follows that the symmetric algebra of $\mathfrak{g}_1^*$ can have G_0-invariants only in tri-degrees $(2k, k, k)$. To find the expected invariant of degree four, we must find an $\mathrm{SL}_2 \times \mathrm{SL}_4$-invariant in the summand for $k = 1$:

$$\mathrm{Sym}^2(\mathbf{2} \boxtimes \mathbf{6}) \otimes (\mathbf{1} \boxtimes \check{\mathbf{4}}) \otimes (\mathbf{1} \boxtimes \mathbf{4}) = \mathrm{Sym}^2(\mathbf{2} \boxtimes \mathbf{6}) \otimes (\mathbf{1} \boxtimes \mathrm{End}(\mathbf{4})).$$

Since $m = 4$ we have $p \neq 2$, so $\mathrm{End}(\mathbf{4}) = \mathbf{1} \oplus \mathfrak{sl}_4$. Since $\mathbf{2}$ and $\mathbf{6}$ are self-dual, affording alternating and symmetric forms, respectively, our invariant must be given by an $\mathrm{SL}_2 \times \mathrm{SL}_4$-equivariant mapping $\mathrm{Sym}^2(\mathbf{2} \boxtimes \mathbf{6}) \to \mathbf{1} \otimes \mathfrak{sl}_4$. Indeed, wedging in both factors gives a map

$$\mathrm{Sym}^2(\mathbf{2} \boxtimes \mathbf{6}) \longrightarrow \Lambda^2\mathbf{2} \boxtimes \Lambda^2\mathbf{6} = \mathbf{1} \boxtimes \mathfrak{so}_6 \simeq \mathbf{1} \boxtimes \mathfrak{sl}_4,$$

exhibiting the desired invariant of degree four.

10.1.2 Example: E_7 no. 5_d

The Kac diagram is

$$\begin{array}{ccccccc} 0 & 1 & 0 & 0 & 0 & 0 & 1 \\ & & & 1 & & & \end{array}$$

with $G_0^{sc} = \mathrm{SL}_2 \times \mathrm{SL}_5$ and

$$\mathfrak{g}_1^* = (\mathbf{2} \boxtimes \mathbf{5}) \oplus (\mathbf{1} \boxtimes \check{\mathbf{5}}) \oplus (\mathbf{1} \boxtimes \Lambda^2\mathbf{5}).$$

The center of G_0 has invariants in tri-degrees $(2k, k, 2k)$, leading us to seek an SL_5-equivariant mapping

$$\mathbf{5} \otimes \mathrm{Sym}^2(\Lambda^2\check{\mathbf{5}}) \longrightarrow \mathrm{Sym}^2(\mathbf{2} \boxtimes \mathbf{5})^{\mathrm{SL}_2}.$$

Let U and V be k-vector spaces of dimensions 2 and arbitrary $n < \infty$, respectively. Let $P_2(\mathrm{Hom}(V, U))$ be the space of degree two-polynomials on $\mathrm{Hom}(V, U)$, with the natural $SL(V) \times \mathrm{SL}(U)$-action. Then we have a nonzero (hence injective) mapping

$$\varphi : \Lambda^2(V) \longrightarrow P_2(\mathrm{Hom}(V, U))^{\mathrm{SL}(U)}, \quad \omega \mapsto \varphi_\omega,$$

given by $\varphi_\omega(f) = f_*(\omega)$, where $f_* : \Lambda^2(V) \to \Lambda^2(U) \simeq k$ is the map induced by f. One checks that $\dim P_2(\mathrm{Hom}(V,U))^{\mathrm{SL}(U)} = \binom{n}{2}$, so that φ is an isomorphism of $\mathrm{SL}(V)$-modules

$$\Lambda^2(V) \simeq P_2(\mathrm{Hom}(V,U))^{\mathrm{SL}(U)}. \tag{15}$$

Returning to our task, we now must find an SL_5-equivariant mapping

$$\mathbf{5} \otimes \mathrm{Sym}^2(\Lambda^2\check{\mathbf{5}}) \longrightarrow \Lambda^2\mathbf{5}.$$

The contraction mapping

$$\mathbf{5} \otimes \Lambda^2\check{\mathbf{5}} \longrightarrow \check{\mathbf{5}}, \quad v \otimes \omega \mapsto c_v(\omega),$$

where $c_v(\lambda \wedge \mu) = \langle \lambda, v\rangle \mu - \langle \mu, v\rangle \lambda$, extends to a mapping

$$\mathbf{5} \otimes \mathrm{Sym}^2(\Lambda^2\check{\mathbf{5}}) \longrightarrow \Lambda^3\check{\mathbf{5}}, \qquad v \otimes (\omega \cdot \eta) \mapsto c_v(\omega) \wedge \eta + c_v(\eta) \wedge \omega.$$

Since $\Lambda^3\check{\mathbf{5}} \simeq \Lambda^2\mathbf{5}$ as SL_5-modules, we have the desired invariant.

10.1.3 Example: E_7 no. 4_d

The Kac diagram is

$$\begin{matrix} 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ & & & 1 & & & \end{matrix}$$

and $G_0^{sc} = \mathrm{SL}_6$ with $\mathfrak{g}_1 = \mathbf{6} \oplus \check{\mathbf{6}} \oplus \Lambda^3\mathbf{6}$. The action of the center leads us to seek an SL_6-invariant in

$$\mathbf{6} \otimes \check{\mathbf{6}} \otimes \mathrm{Sym}^2(\Lambda^3\mathbf{6}).$$

If V is a k-vector space of even dimension $2m$, we have a nonzero $\mathrm{SL}(V)$-equivariant mapping

$$\varphi : \mathrm{End}(V) \longrightarrow P_2(\Lambda^m V), \qquad A \mapsto \varphi_A,$$

given by $\varphi_A(\omega) = \omega \wedge A_*\omega$. Since the $\mathrm{SL}(V)$-module $\Lambda^m V$ is self-dual this may be viewed as a nonzero mapping $\mathrm{End}(V) \to \mathrm{Sym}^2(\Lambda^m V)$. Taking $m = 3$ gives the desired invariant.

10.1.4 Example: E_7 no. 4_e

The Kac diagram is

$$\begin{matrix} 0 & 1 & 0 & 0 & 0 & 1 & 0 \\ & & & 0 & & & \end{matrix}$$

with $G_0^{sc} = H_1 \times \mathrm{Spin}_8 \times H_2$, where $H_1 \simeq H_2 \simeq \mathrm{SL}_2$, and $\mathfrak{g}_1^* = (\mathbf{2} \boxtimes \mathbf{8} \boxtimes \mathbf{1}) \oplus (\mathbf{1} \boxtimes \mathbf{8}' \boxtimes \mathbf{2})$, where $\mathbf{8}$ and $\mathbf{8}'$ are non-isomorphic eight dimensional irreducible representations of Spin_8. The action of the center leads us to seek an invariant in

$$\mathrm{Sym}^2(\mathbf{2} \boxtimes \mathbf{8} \boxtimes \mathbf{1}) \otimes \mathrm{Sym}^2(\mathbf{1} \boxtimes \mathbf{8}' \boxtimes \mathbf{2}).$$

Since every representation in sight is self-dual, we require a Spin_8-equivariant mapping from the H_1-coinvariants to the H_2-invariants:

$$\mathrm{Sym}^2(\mathbf{2} \boxtimes \mathbf{8} \boxtimes 1)_{H_1} \longrightarrow \mathrm{Sym}^2(\mathbf{1} \boxtimes \mathbf{8}' \boxtimes \mathbf{2})^{H_2}.$$

Since $m = 4$, the characteristic of k is not two, so for a k-vector space V of arbitrary finite dimension n the symmetric square

$$\mathrm{Sym}^2(V \otimes \mathbf{2}) = \mathrm{Sym}^2(\mathbf{2}^{\oplus n}) = n \cdot \mathrm{Sym}^2(\mathbf{2}) \oplus \binom{n}{2}(\mathbf{2} \otimes \mathbf{2})$$

is completely reducible as an SL_2-module. Hence the canonical map

$$\mathrm{Sym}^2(V \otimes \mathbf{2})^{\mathrm{SL}_2} \longrightarrow \mathrm{Sym}^2(V \otimes \mathbf{2})_{\mathrm{SL}_2}, \tag{16}$$

from the invariants to the coinvariants, is an isomorphism of $\mathrm{SL}(V)$-modules. From (15), both modules are isomorphic to $\Lambda^2 V$.

Returning to our task, we now require a Spin_8-equivariant mapping

$$\Lambda^2\mathbf{8} \to \Lambda^2\mathbf{8}'.$$

But both of these exterior squares are isomorphic to the adjoint representation of Spin_8, whence the desired invariant.

10.1.5 Example: E_8 no. 4_e

The Kac diagram is

$$\begin{matrix} 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 \\ & & 0 & & & & & \end{matrix}$$

with $G_0^{sc} = \mathrm{Spin}(12) \times \mathrm{SL}_2$ and

$$\mathfrak{g}_1^* = (\mathbf{32} \boxtimes \mathbf{1}) \oplus (\mathbf{12} \boxtimes \mathbf{2}),$$

where $\mathbf{32}$ is one of the half-spin representations of Spin_{12}, which is symplectic since $6 \equiv 2 \mod 4$. The action of the center of G_0 leads us to seek an invariant in

$$\mathrm{Sym}^2(\mathbf{32} \boxtimes \mathbf{1}) \otimes \mathrm{Sym}^2(\mathbf{12} \boxtimes \mathbf{2}).$$

We must therefore find a $\mathrm{Spin}_{12} \times \mathrm{SL}_2$-equivariant mapping

$$\mathrm{Sym}^2(\mathbf{12} \boxtimes \mathbf{2}) \longrightarrow \mathrm{Sym}^2(\mathbf{32} \boxtimes \mathbf{1}).$$

From (15) and (16) this is equivalent to a Spin_{12}-equivariant mapping

$$\Lambda^2\mathbf{12} \longrightarrow \mathrm{Sym}^2(\mathbf{32}).$$

But $\Lambda^2\mathbf{12} \simeq \mathfrak{so}_{12}$ and $\mathrm{Sym}^2(\mathbf{32}) \simeq \mathfrak{sp}_{32}$. The desired mapping $\mathfrak{so}_{12} \to \mathfrak{sp}_{32}$ is simply the representation of $\mathfrak{so}_{12}$ on the symplectic half-spin representation $\mathbf{32}$.

10.2 A remark on saturation

Let
$$W^*(\mathfrak{c},\theta) := N_{G^\theta}(\mathfrak{c})/C_{G^\theta}(\mathfrak{c}).$$

Clearly $W(\mathfrak{c},\theta) \subset W^*(\mathfrak{c},\theta) \subset W_1^\theta$ (see (11)). We say that θ is **saturated** if $W(\mathfrak{c},\theta) = W^*(\mathfrak{c},\theta)$. (For the adjoint group G this is equivalent to the definition given in section 5 of [29].) Clearly θ is saturated if $G^\vartheta = G_0$. As remarked in section 7.3 this holds whenever the group $\Omega_\vartheta(x)$ is trivial. In particular, saturation holds in types $G_2, {}^3D_4, F_4, E_8, {}^2E_6$, where Ω_ϑ itself is trivial. It is known ([29], [18]) that all gradings on classical Lie algebras are saturated except for certain outer automorphisms of order divisible by 4 in type D_n. It remains to consider only those inner automorphisms of E_6 and E_7 where the Kac diagram is invariant under the symmetries of the affine Dynkin diagram and we have $W(\theta,\mathfrak{c}) \neq W_1^\theta$. The latter implies that $|C_{W_J}(w)| < c_J(w)$. The only cases not thus eliminated are 4_d and 4_e in type E_7. But in these two cases we have $c_J(w)/|W(\theta,\mathfrak{c})| = 3$, while $[G^\theta : G_0] = 2$, so saturation holds in these cases as well. We conclude that all gradings on exceptional Lie algebras are saturated.

10.3 Kostant sections and the Levi subgroup L_θ

A **Kostant section** [2] for the grading $\mathfrak{g} = \oplus_{i\in\mathbb{Z}/m}\, \mathfrak{g}_i$ is an affine subspace $\mathfrak{v} \subset \mathfrak{g}_1$ such that the embedding $\mathfrak{v} \hookrightarrow \mathfrak{g}_1$ induces an isomorphism of affine varieties $\mathfrak{v} \xrightarrow{\sim} \mathfrak{g}_1/\!/G_0$, or equivalently, if the restriction map $k[\mathfrak{g}_1]^{G_0} \longrightarrow k[\mathfrak{v}]$ is bijective.

Recall that we have fixed a pinning $(X, R, \check{X}, \check{R}, \{E_i\})$ in G, which determines the co-character $\check{\rho} \in X_*(T)$ and principal nilpotent element $E = \sum E_i$, such that $\check{\rho}(t)\cdot E = tE$. From [21, Thm.3.5] and [18, Prop.5.2] we have the following existence result for Kostant sections.

Theorem 10.2 *Assume the characteristic of k is not a bad prime for G, that m nonzero in k. Then the grading $\mathfrak{g} = \oplus_{i\in\mathbb{Z}/m}\, \mathfrak{g}_i$ associated to the principal automorphism $\theta_m = \check{\rho}(\zeta)\vartheta$ has a Kostant section $E + \mathfrak{u}$, where $\mathfrak{u}$ is any vector space complement to $[\mathfrak{g}_0, E]$ in $\mathfrak{g}_1$ such that $\mathfrak{u}$ is stable under $\check{\rho}(k^\times)$.*

We have seen that for each positive-rank torsion inner automorphism in type $E_{6,7,8}$ there exists a subset $J \subseteq \{1, 2, \ldots, \ell\}$ such that $W(\mathfrak{c},\theta) = C_{W_J}(w)$. This can also be checked for the classical groups and types F_4, G_2. Thus, we have a case-by-case proof of the following theorem.

Theorem 10.3 *Let θ be an inner automorphism of $\mathfrak{g}$ whose order m is nonzero in k and let $\mathfrak{c}$ be a Cartan subspace of $\mathfrak{g}_1$. Then there exists a θ-stable Levi subgroup $L = L_\theta$ whose derived Lie algebra $\mathfrak{l}$ contains $\mathfrak{c}$ in its derived subalgebra, such that the following hold:*

1. $\theta|_{\mathfrak{l}} = \mathrm{Ad}(\check{\rho}_L(\zeta))$.

[2] In the literature, this is also called a "Kostant-Weierstrass" or "KW" section because in the case of the non-pinned outer triality automorphism of $\mathfrak{so}_8$ such a section is equivalent to the Weierstrass-normal form of a nonsingular homogeneous cubic polynomial in three variables.

2. *The inclusion of little Weyl groups $W_L(\mathfrak{c}, \theta) \hookrightarrow W(\mathfrak{c}, \theta)$ is a bijection. In particular, the degrees of $W(\mathfrak{c}, \theta)$ are the precisely the degrees of W_L which are divisible by m.*

3. *The restriction map $k[\mathfrak{g}_1]^{G_0} \longrightarrow k[\mathfrak{l}_1]^{L_0}$ is a bijection.*

In view of Thm. 10.2, we conclude:

Corollary 10.4 *Every positive-rank torsion inner automorphism in type $E_{6,7,8}$ has a Kostant section contained in the Levi subalgebra $\mathfrak{l}$ of the previous theorem.*

We also observe:

Corollary 10.5 *A positive integer m is the order of a torsion inner automorphism of positive rank precisely if m is the order of a $\mathbb{Z}$-regular element in the Weyl group of a Levi subgroup of G.*

11 Outer gradings of positive rank in type E_6

We realize the outer pinned automorphism of E_6 as the restriction of an affine pinned automorphism of E_7, as in section 6.

11.1 Root systems of type E_7 and ${}^2E_6$

Let $(Y, R, \check{Y}, \check{R})$ be a root datum of adjoint type E_7 and fix a base $\Delta = \{\alpha_1, \ldots, \alpha_7\} \subset R$ with lowest root α_0, according to the numbering

$$\begin{smallmatrix} 0 & 1 & 2 & 3 & 5 & 6 & 7 \\ & & & 4 & & & \end{smallmatrix}. \tag{17}$$

The set $\Pi := \{\alpha_0\} \cup \Delta$ has stabilizer $W_\Pi = \{1, \vartheta\}$ of order two, where $\vartheta = r_1 r_2 r_3$ is a product of reflections about mutually orthogonal roots $\gamma_1, \gamma_2, \gamma_3$ in which the coefficients of simple roots $\{\alpha_1, \ldots, \alpha_7\}$ are given by

$$\gamma_1 = \begin{smallmatrix} 0 & 1 & 2 & 2 & 2 & 1 \\ & & 1 & & & \end{smallmatrix}, \qquad \gamma_2 = \begin{smallmatrix} 1 & 1 & 2 & 2 & 1 & 1 \\ & & 1 & & & \end{smallmatrix}, \qquad \gamma_3 = \begin{smallmatrix} 1 & 2 & 2 & 1 & 1 & 1 \\ & & 1 & & & \end{smallmatrix}.$$

The sum

$$\check{\gamma}_1 + \check{\gamma}_2 + \check{\gamma}_3 = 2\check{\mu},$$

where $\check{\mu} = \check{\omega}_7$ is the nontrivial minuscule co-weight.

Regard the vector space $V = \mathbb{R} \otimes \check{Y}$ as an affine space with 0 as basepoint. Each linear functional $\lambda : V \to \mathbb{R}$ is then regarded as an affine function on V vanishing at 0, we have the affine root system

$$\Phi = \{\alpha + n : \ \alpha \in R, \ n \in \mathbb{Z}\}$$

with basis $\{\phi_0, \phi_1, \ldots, \phi_7\}$ where $\phi_0 = 1 + \alpha_0, \phi_1 = \alpha_1, \ldots, \phi_7 = \alpha_7$ satisfy the relation

$$\phi_0 + 2\phi_1 + 3\phi_2 + 4\phi_3 + 2\phi_4 + 3\phi_5 + 2\phi_6 + \phi_7 = 1. \tag{18}$$

A point $x \in V_{\mathbb{Q}}$ of order m has Kac diagram

$$\begin{matrix} s_0 & s_1 & s_2 & s_3 & s_5 & s_6 & s_7 \\ & & & s_4 & & & \end{matrix}, \tag{19}$$

where $s_i/m = \phi_i(x)$.

The affine transformation $\widetilde{\vartheta} : V \to V$ given by

$$\widetilde{\vartheta}(x) = \check{\mu} + \vartheta \cdot x$$

permutes the simple affine roots $\{\phi_0, \dots, \phi_7\}$ according to the nontrivial symmetry of the affine diagram of E_7. The fixed-point space of $\widetilde{\vartheta}$ in V is given by

$$\mathcal{A}^\vartheta := V^\vartheta + \tfrac{1}{2}\check{\mu},$$

which is an affine space under the vector space $V^\vartheta = \mathbb{R} \otimes \check{Y}_\vartheta$, with basepoint $\frac{1}{2}\check{\mu}$. The rational points in $\mathcal{A}^\vartheta$ are precisely those points $x \in V_{\mathbb{Q}}$ whose Kac diagram has the symmetric form

$$\begin{matrix} s_0 & s_1 & s_2 & s_3 & s_2 & s_1 & s_0 \\ & & & s_4 & & & \end{matrix}, \tag{20}$$

in which case equation (18) implies that

$$s_0 + 2s_1 + 3s_2 + 2s_3 + s_4 = m/2, \tag{21}$$

where m is the order of x.

The automorphism ϑ permutes the roots $\alpha_1, \dots, \alpha_6$ which generate a root subsystem R' of type E_6. The co-weight lattice $\check{X} = \mathrm{Hom}(\mathbb{Z}R', \mathbb{Z})$ has dual basis $\{\check{\omega}_1, \dots, \check{\omega}_6\}$ and we have

$$\check{X}^\vartheta = \check{Y}^\vartheta.$$

Hence $\mathcal{A}^\vartheta$ is also an affine space under $\mathbb{R} \otimes \check{X}^\vartheta$ and we may construct the affine root system $\Psi(R', \vartheta)$ as in section 2.1, using the point $x_0 = \frac{1}{2}\check{\mu}$. We have $\ell_\vartheta = 4$ and $\Psi(R', \vartheta)$ has basis $\psi_0, \dots, \psi_4$, where $\psi_i = \alpha_i|_{\mathcal{A}^\vartheta}$ for $1 \leq i \leq 4$ and

$$\psi_0 + 2\psi_1 + 3\psi_2 + 2\psi_3 + \psi_4 = 1/2. \tag{22}$$

A rational point $x \in \mathcal{A}^\vartheta_{\mathbb{Q}}$ with E_7 Kac-diagram (20) has ${}^2E_6$ Kac-diagram

$$s_0 \; s_1 \; s_2 \Leftarrow s_3 \; s_4.$$

This is clear for $s_1, \dots, s_4$ since ψ_i is the restriction of ϕ_i, and follows for s_0 by comparing the relations (18) and (22).

11.2 Lie algebras of type E_7 and ${}^2E_6$

Let k be an algebraically closed field of characteristic $\neq 2, 3$ and let $\mathfrak{g}$ be a simple Lie algebra over k of type E_7 with automorphism group $G = \mathrm{Aut}(\mathfrak{g})$. We fix a maximal torus $T \subset G$ with Lie algebra $\mathfrak{t}$ and we choose an affine pinning $\widetilde{\Pi} = \{E_0, \ldots, E_7\}$ for T in $\mathfrak{g}$, numbered as in (17). As above we let $\vartheta = r_1 r_2 r_3 \in W_\Pi$ be the unique involution acting on Π via the permutation $(07)(16)(25)$. Recall from section 6 that ϑ has a lift $n \in N$ of order two defined via the homomorphism $\varphi : \mathrm{SL}_2 \to G$ as in equation (10).

Let $S = (T^\vartheta)^\circ$ be the identity component of the group of fixed-points of ϑ in T. The co-weight group of S is $\check{X}^\vartheta$ and we have

$$T^\vartheta = S \times \langle \check{\mu}(-1) \rangle,$$

where $\check{\mu} = \check{\omega}_7$ is the nontrivial minuscule co-weight. The automorphism $\varepsilon := \mathrm{Ad}(\check{\mu}(-1))$ has order two; its fixed-point subalgebra $\mathfrak{g}^\varepsilon$ decomposes as

$$\mathfrak{g}^\varepsilon = \mathfrak{h} \oplus \mathfrak{z}$$

where $\mathfrak{z} = d\check{\mu}(k)$ and $\mathfrak{h} = [\mathfrak{g}^\varepsilon, \mathfrak{g}^\varepsilon]$, the derived subalgebra of $\mathfrak{g}^\varepsilon$, has type E_6 and is generated by the root spaces $\mathfrak{g}_\alpha$ for $\alpha \in \pm\{\alpha_1, \ldots, \alpha_6\}$. Note that ε and n both lie in the subgroup $\varphi(\mathrm{SL}_2)$ and are conjugate therein.

The centralizer $C_G(\varepsilon)$ is the normalizer in G of $\mathfrak{h}$, surjecting onto $\mathrm{Aut}(\mathfrak{h})$, and is also the normalizer of in G of $\mathfrak{z}$. The centralizer $C_G(\mathfrak{z})$ of $\mathfrak{z}$ is the identity component of $C_G(\varepsilon)$, and the image of $C_G(\mathfrak{z})$ in $\mathrm{Aut}(\mathfrak{h})$ is the group

$$H := \mathrm{Aut}(\mathfrak{h})^\circ$$

of inner automorphisms of $\mathfrak{h}$. It follows that we have an exact sequence

$$1 \longrightarrow \mu(k^\times) \longrightarrow C_G(\mathfrak{z}) \longrightarrow H \longrightarrow 1. \tag{23}$$

Proposition 11.1 *Let $\theta \in \mathrm{Aut}(\mathfrak{g})$ be a torsion automorphism whose order m is nonzero in k. Then the centralizer G^θ has at most two components, and the following are equivalent.*

1. *The normalized Kac diagram of θ has the symmetric form* $\begin{smallmatrix} a & b & c & d & c & b & a \\ & & & e & & & \end{smallmatrix}$.
2. *The G-conjugacy class of θ meets Sn.*
3. *The centralizer G^θ has two components and n lies in the non-identity component.*

Proof: For the number of connected components and the equivalence $1 \Leftrightarrow 3$, see [22, Prop. 2.1]. We prove $1 \Leftrightarrow 2$. After conjugating by G, we may assume $\theta = \mathrm{Ad}(t)$ for some $t \in T$. We have $t = \check{\lambda}(\zeta)$, for some co-weight $\check{\lambda} \in \check{X}$ and we set $x = \frac{1}{m}\check{\lambda}$. From the previous section the Kac coordinates of θ are symmetric precisely if

$$x = \check{\mu} + \vartheta \cdot x.$$

This is equivalent to having $\check{\lambda} - \frac{m}{2}\check{\mu} \in \check{X}^\vartheta$. Evaluating at ζ this is in turn equivalent to having $t\varepsilon \in S$, or $t \in S\varepsilon$. Since n and ε are conjugate in $\varphi(\mathrm{SL}_2)$ which centralizes S (see Lemma 6.3) we can replace ε by n. ■

Proposition 11.2 *Let $s \in S$ and suppose sn has order m invertible in k and let $\theta = \mathrm{Ad}(sn)$ have symmetric normalized Kac diagram* $\begin{smallmatrix} a & b & c & d & c & b & a \\ & & & e & & & \end{smallmatrix}$. *Then*

1. *θ normalizes $\mathfrak{h}$ and $\theta|_{\mathfrak{h}}$ is an outer automorphism of $\mathfrak{h}$ with Kac diagram*

$$a\, b\, c \Leftarrow d\, e.$$

2. *Every torsion outer automorphism of $\mathfrak{h}$ is conjugate to $\theta|_{\mathfrak{h}}$, where $\theta = \mathrm{Ad}(sn)$ for some $s \in S$.*

3. *We have* $\mathrm{rank}(\theta|_{\mathfrak{h}}) \le \mathrm{rank}(\theta)$.

Proof: Since $\mathrm{Ad}(n) = \vartheta$ normalizes $\mathfrak{h}$, acting there via a pinned automorphism, and $s \in S \subset H$, we have that $\theta|_{\mathfrak{h}}$ is an outer automorphism of $\mathfrak{h}$. The relation between the Kac diagrams of θ and $\theta|_{\mathfrak{h}}$ follows from the discussion in section 11.1.

Assertion 2 is now clear, since every Kac diagram $s_0\, s_1\, s_2 \Leftarrow s_3\, s_4$ corresponds to $\mathrm{Ad}(sn)|_{\mathfrak{h}}$ for some $s \in S$. We can also prove assertion 2 directly, as follows: Since $T \cap H$ is a ϑ-stable maximal torus of H, every torsion outer automorphism of $\mathfrak{h}$ is H-conjugate to one of the form $\mathrm{Ad}(s)\vartheta$ for some $s \in (T \cap H)^{\vartheta}$ [22, Lemma 3.2]. We must therefore show that $(T \cap H)^{\vartheta} = S$. Since the Lie algebra of S is $\mathfrak{t}^{\vartheta}$ which is contained in $(\mathfrak{t} \cap \mathfrak{h})^{\vartheta}$, it suffices to show that $\mathfrak{t}^{\vartheta} \subset \mathfrak{h}$. But $\mathfrak{t}^{\vartheta}$ has dimension four and is spanned by $d\check{\alpha}_i(1) + d\check{\alpha}_{7-i}(1)$ for $1 \le i \le 4$, and these vectors lie in $\mathfrak{h}$.

Finally, a Cartan subspace for $\theta|_{\mathfrak{h}}$ is contained in a Cartan subspace for θ, so assertion 3 is obvious. ■

Prop. 11.2 implies that the Kac diagram of any outer positive rank automorphism of $\mathfrak{h}$ must have the form $a\, b\, c \Leftarrow d\, e$, where $\begin{smallmatrix} a & b & c & d & c & b & a \\ & & & e & & & \end{smallmatrix}$ is a positive rank diagram for E_7 appearing in section 9.2.

For example, there are two outer automorphisms of $\mathfrak{h}$ having order $m = 2$, namely the restrictions to $\mathfrak{h}$ of $\vartheta = \mathrm{Ad}(n)$ and $\vartheta_0 = \mathrm{Ad}(n_0)$ where n_0 is a lift of $-1 \in W(E_7)$. These are the involutions in E_7 numbered 2_c and 2_a respectively Table 20 of section 9.2. The Kac diagrams in E_7 and ${}^2E_6$ are shown:

$$\vartheta: \begin{smallmatrix} 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ & & & 0 & & & \end{smallmatrix} \qquad \vartheta_0: \begin{smallmatrix} 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ & & & 1 & & & \end{smallmatrix}$$

$$\vartheta|_{\mathfrak{h}}: \quad 1\,0\,0 \Leftarrow 0\,0 \qquad \vartheta_0|_{\mathfrak{h}}: \quad 0\,0\,0 \Leftarrow 0\,1.$$

Both ϑ and ϑ_0 act by -1 on $\mathfrak{z}$. It follows that their ranks in E_6 are one less than their ranks in E_7, namely

$$\mathrm{rank}(\vartheta|_{\mathfrak{h}}) = 2, \qquad \mathrm{rank}(\vartheta_0|_{\mathfrak{h}}) = 6.$$

11.3 Positive rank gradings on E_6 (outer case)

From Props. 11.1 and 11.2 we know that the Kac diagrams for positive rank gradings in outer type E_6 are obtained from symmetric positive-rank diagrams for E_7. We now adapt our methods for the inner case to complete the classification of positive rank outer gradings of E_6.

We regard $W(E_6)$ as the subgroup of $W(E_7)$ generated by the reflections for the roots $\alpha_1, \dots, \alpha_6$. Equivalently, $W(E_6)$ is the centralizer of $\mathfrak{z}$ in $W(E_7)$. The coset $-W(E_6) = \{w\vartheta_0 : \ w \in W(E_6)\}$ consists of the elements in $W(E_7)$ acting by -1 on $\mathfrak{z}$ and contains both ϑ and ϑ_0.

Lemma 11.3 *Let $n_w \in N_G(\mathfrak{t})$ be a lift of an element $w \in -W(E_6)$. Then $\mathrm{Ad}(n_w)$ normalizes $\mathfrak{h}$ and acts on $\mathfrak{h}$ as an outer automorphism.*

Proof: Since w permutes the root spaces in $\mathfrak{h}$ it follows that n_w normalizes $\mathfrak{h}$. Let $n \in N_G(\mathfrak{t})$ be the lift of ϑ constructed above. Both n and n_w act by -1 on $\mathfrak{z}$, so $n \cdot n_w$ lies in the connected subgroup $C_G(\mathfrak{z})$ and the image of $n \cdot n_w$ in $\mathrm{Aut}(\mathfrak{h})$ lies in the subgroup $\mathrm{Aut}(\mathfrak{h})^\circ$ of inner automorphisms. Since $\mathrm{Ad}(n) = \vartheta$ is outer on $\mathfrak{h}$, it follows that $\mathrm{Ad}(n_w)$ is outer on $\mathfrak{h}$ as well. ■

Let $w \in W(E_7)$ be any element whose order m is invertible in k and such that w has an eigenvalue ζ of order m on $\mathfrak{t}$. Recall that $\mathrm{Kac}(w)$ is the set of normalized Kac diagrams of torsion automorphisms $\theta \in \mathrm{Aut}(\mathfrak{g})$ of order m such that θ normalizes $\mathfrak{t}$ and acts on $\mathfrak{t}$ via w.

Let $\tau \in \mathrm{Aut}(\mathfrak{h})$ be a torsion outer automorphism with Kac coordinates $a\, b\, c \Leftarrow d\, e$. We write

$$\tau \rightsquigarrow w$$

to mean that the symmetric Kac diagram $\begin{smallmatrix} a & b & c & d & c & b & a \\ & & & e & & & \end{smallmatrix}$ appears in $\mathrm{Kac}(w)$. Let $\mathrm{Kac}(w)_{\mathrm{sym}}$ denote the set of symmetric diagrams in $\mathrm{Kac}(w)$.

Proposition 11.4 *Let $\tau \in \mathrm{Aut}(\mathfrak{h})$ be a torsion outer automorphism whose order $m > 2$ is invertible in k. Assume that $\mathrm{rank}(\tau) > 0$. Then there exists $w \in -W(E_6)$ such $\tau \rightsquigarrow w$. Moreover, we have*

$$\mathrm{rank}(\tau) = \max\{\mathrm{rank}(w) : \ w \in -W(E_6), \ \tau \rightsquigarrow w\}.$$

Proof: Let $\mathfrak{c} \subset \mathfrak{h}(\tau, \zeta)$ be a Cartan subspace. Then $\mathfrak{c}$ is contained in a τ-stable Cartan subalgebra $\mathfrak{t}'$ of $\mathfrak{h}$ so that $\mathfrak{c} = \mathfrak{t}'(\tau, \zeta)$. Conjugating by H, we may assume that $\mathfrak{t}' \subset \mathfrak{t}$ and therefore $\mathfrak{t} = \mathfrak{t}' \oplus \mathfrak{z}$.

We have $\tau = \theta|_{\mathfrak{h}}$ for some $\theta \in \mathrm{Aut}(\mathfrak{g})$ normalizing $\mathfrak{h}$. Then θ also normalizes the centralizer $\mathfrak{z}$ of $\mathfrak{h}$. Since $\theta|_{\mathfrak{h}}$ is outer but $\theta^2|_{\mathfrak{h}}$ is inner, it follows that θ acts by -1 on $\mathfrak{z}$.

Since θ normalizes $\mathfrak{t}$, it projects to an element $w \in W(E_7)$. The subgroup of $W(E_7)$ normalizing $\mathfrak{z}$ is $\{\pm 1\} \times W(E_6)$ and $W(E_6)$ is the subgroup centralizing $\mathfrak{z}$. It follows that $w \in -W(E_6)$.

Since the normalized Kac diagram of θ belongs to $\mathrm{Kac}(w)$ and $\tau = \theta|_{\mathfrak{h}}$, we have $\tau \rightsquigarrow w$. We also have

$$\mathrm{rank}(w) = \mathfrak{t}(w, \zeta) = \mathfrak{t}'(w, \zeta).$$

Suppose now that $w \in -W(E_6)$ is any element for which $\tau \rightsquigarrow w$. Let $a\, b\, c \Leftarrow d\, e$ be the normalized Kac coordinates for τ. Since $\tau \rightsquigarrow w$ there is a lift $n_w \in N_G(\mathfrak{t})$ such that $\mathrm{Ad}(n_w)$ has normalized Kac diagram $\begin{smallmatrix} a & b & c & d & c & b & a \\ & & & e & & & \end{smallmatrix}$.

By Lemma (11.3), we have that $\mathrm{Ad}(n_w)$ is an outer automorphism of $\mathfrak{h}$. Hence there is $s \in S$ such that $\mathrm{Ad}(n_w)|_{\mathfrak{h}}$ is H-conjugate to $\mathrm{Ad}(sn)|_{\mathfrak{h}}$. From the exact sequence (23) there are $g \in C_G(\mathfrak{z})$ and $z \in Z$ such that

$$gn_wzg^{-1} = sn.$$

But n_w is Z-conjugate to n_wz, since $w = -1$ on $\mathfrak{z}$. Therefore n_w and sn are conjugate under $C_G(\mathfrak{z})$, so $\mathrm{Ad}(sn)$ also has normalized Kac diagram $\begin{smallmatrix} a & b & c & d & c & b & a \\ & & & e & & & \end{smallmatrix}$.

By Prop. 11.2, $\mathrm{Ad}(sn)|_{\mathfrak{h}}$ has Kac diagram $a\ b\ c \Leftarrow d\ e$, and therefore $\mathrm{Ad}(sn)|_{\mathfrak{h}}$ is H-conjugate to τ. But

$$\mathrm{Ad}(sn)|_{\mathfrak{h}} = \mathrm{Ad}(gn_wzg^{-1})|_{\mathfrak{h}} = \mathrm{Ad}(gn_wg^{-1})|_{\mathfrak{h}}$$

is conjugate to $\mathrm{Ad}(n_w)|_{\mathfrak{h}}$, via the element $h = \mathrm{Ad}(g)|_{\mathfrak{h}} \in H$. Thus, τ and $\mathrm{Ad}(n_w)|_{\mathfrak{h}}$ are H-conjugate. Since $\mathfrak{t}(w,\zeta) \subset \mathfrak{h}$, an H-conjugate of $\mathfrak{t}(w,\zeta)$ is contained in a Cartan subspace of τ, so $\mathrm{rank}(w) \leq \mathrm{rank}(\tau)$. This completes the proof. ∎

The Kac diagrams of positive rank for ${}^2E_6$ are obtained from symmetric positive rank diagrams for E_7, of which there are 20 (see Table 20).

Three of these ($14_a, 8_d, 8_e$) have rank zero for ${}^2E_6$ as will be explained. Two more have order $m = 2$ and are easily handled by known results. The ranks for the remaining 15 are found as follows. Using Prop. 11.4, it is enough to extract the symmetric diagrams from the preliminary table for E_7 in section 9.1. The results are shown below, where r is the rank of τ in ${}^2E_6$.

Table 22: $\mathrm{Kac}(w)_{\mathrm{sym}}$ for certain w in $-W(E_6)$

m	$w \in -W(E_6)$	$w \in W(E_7)$	r	$\mathrm{Kac}(w)_{\mathrm{un}}$	$\mathrm{Kac}(w)_{\mathrm{sym}}$		
18	$-E_6(a_1)$	E_7	1	$\begin{smallmatrix} 1&1&1&1&1&1&1\\ &&&1&&& \end{smallmatrix}$	$\begin{smallmatrix} 1&1&1&1&1&1&1\\ &&&1&&& \end{smallmatrix}$		
12	$-E_6$	$E_7(a_2)$	1	$\begin{smallmatrix} 1&1&0&1&0&1&1\\ &&&1&&& \end{smallmatrix}$	$\begin{smallmatrix} 1&1&0&1&0&1&1\\ &&&1&&& \end{smallmatrix}$		
10	$-(A_4+A_1)$	D_6	1	$\begin{smallmatrix} *&*&1&1&1&1&1\\ &&&1&&& \end{smallmatrix}$	$\begin{smallmatrix} 0&1&0&1&0&1&0\\ &&&1&&& \end{smallmatrix}$	$\begin{smallmatrix} 1&0&1&0&1&0&1\\ &&&1&&& \end{smallmatrix}$	$\begin{smallmatrix} 1&1&0&1&0&1&1\\ &&&0&&& \end{smallmatrix}$
8	$-D_5$	D_5+A_1	1	$\begin{smallmatrix} 1&*&1&1&1&1&*\\ &&&1&&& \end{smallmatrix}$	$\begin{smallmatrix} 0&1&0&1&0&1&0\\ &&&0&&& \end{smallmatrix}$	$\begin{smallmatrix} 1&0&0&1&0&0&1\\ &&&1&&& \end{smallmatrix}$	
6	$-(3A_2)$	$E_7(a_4)$	3	$\begin{smallmatrix} 1&0&0&1&0&0&1\\ &&&0&&& \end{smallmatrix}$	$\begin{smallmatrix} 1&0&0&1&0&0&1\\ &&&0&&& \end{smallmatrix}$		
6	$-(2A_2)$	$A_1+D_6(a_2)$	2	$\begin{smallmatrix} 1&*&1&0&1&0&1\\ &&&1&&& \end{smallmatrix}$	$\begin{smallmatrix} 0&1&0&0&0&1&0\\ &&&1&&& \end{smallmatrix}$	$\begin{smallmatrix} 1&0&0&1&0&0&1\\ &&&0&&& \end{smallmatrix}$	
6	$-A_2$	$3A_1+D_4$	1	$\begin{smallmatrix} 1&*&1&1&1&*&1\\ &&&1&&& \end{smallmatrix}$	$\begin{smallmatrix} 0&0&0&1&0&0&0\\ &&&1&&& \end{smallmatrix}$		
6	$-(A_1+A_5'')$	A_5'	1	$\begin{smallmatrix} *&1&1&1&1&1&*\\ &&&*&&& \end{smallmatrix}$	$\begin{smallmatrix} 0&0&1&0&1&0&0\\ &&&0&&& \end{smallmatrix}$ $\begin{smallmatrix} 1&0&0&1&0&0&1\\ &&&0&&& \end{smallmatrix}$	$\begin{smallmatrix} 1&1&0&0&0&1&1\\ &&&0&&& \end{smallmatrix}$	$\begin{smallmatrix} 0&1&0&0&0&1&0\\ &&&1&&& \end{smallmatrix}$
4	$-D_4(a_1)$	A_1+2A_3	2	$\begin{smallmatrix} 1&1&1&*&1&1&1\\ &&&1&&& \end{smallmatrix}$	$\begin{smallmatrix} 0&0&0&1&0&0&0\\ &&&0&&& \end{smallmatrix}$		
4	$-A_3+2A_1$	$(A_1+A_3)''$	1	$\begin{smallmatrix} 1&1&1&*&1&1&1\\ &&&1&&& \end{smallmatrix}$	$\begin{smallmatrix} 0&0&0&1&0&0&0\\ &&&0&&& \end{smallmatrix}$	$\begin{smallmatrix} 0&1&0&0&0&1&0\\ &&&0&&& \end{smallmatrix}$	$\begin{smallmatrix} 1&0&0&0&0&0&1\\ &&&1&&& \end{smallmatrix}$

Case 14_a has rank zero since there are no elements of order 7 or 14 in $W(E_6)$. Cases 8_d and 8_e have rank zero since D_5 is the only element of order 8 in $W(E_6)$ and the Kac diagrams for $8_{d,e}$ do not appear in the row for $w = -D_5$ in Table 22 above.

11.4 Little Weyl groups for ${}^2E_6$

The little Weyl groups $W_H(\mathfrak{c},\tau)$ and their degrees are determined as follows.

Cases $18_a,\ 12_b,\ 6_a,\ 4_b,\ 2_a$**:** These cases are stable, hence by Cor. 7.4 we have $W_H(\mathfrak{c},\tau) = W(\mathfrak{t}')^\theta$, where $\mathfrak{t}'$ is the unique Cartan subalgebra of $\mathfrak{h}$ containing $\mathfrak{c}$. Then $W(\mathfrak{t}')^\theta$ and its degrees are determined from [27].

Lemma 11.5 *If* $\dim\mathfrak{c} = 1$ *then* $W_H(\mathfrak{c},\tau) \simeq \boldsymbol{\mu}_d$ *for some integer* d *divisible by* $m/2$.

Proof: Since $\dim\mathfrak{c} = 1$ we have $W_H(\mathfrak{c},\tau) \simeq \boldsymbol{\mu}_d$ for some integer d. We may assume $\tau = \mathrm{Ad}(n_w)|_{\mathfrak{h}}$, where $n_w \in N_G(\mathfrak{t})$ has image $w \in -W(E_6)$. Then $n_w^2 \in H_0$ has eigenvalue ζ^2 on $\mathfrak{c}$, where $\zeta \in k^\times$ has order m equal to the order of τ. It follows that so $m/2$ divides d. ■

Cases $10_a, 10_b, 10_c$**:** In these cases we have $m = 10$ and $\dim\mathfrak{c} = 1$ so $\boldsymbol{\mu}_5 \leq W_H(\mathfrak{c},\tau)$, by Lemma 11.5. And $W_H(\mathfrak{c},\tau) \leq W_H(\mathfrak{c},\tau^2)$. Now w^2 has type A_4 in E_6, and all lifts of this type have little Weyl group $\boldsymbol{\mu}_5$, from Table 19. [3] So And $W_H(\mathfrak{c},\tau) \leq W_H(\mathfrak{c},\tau^2) \simeq \boldsymbol{\mu}_5$.

Cases $8_c,\ 8_f$**:** In these cases we have $m = 8$ and $\dim\mathfrak{c} = 1$ so $\boldsymbol{\mu}_4 \leq W_H(\mathfrak{c},\tau) \leq \boldsymbol{\mu}_8$, by Lemma 11.5.

In case 8_f the diagram for θ' in Table 20 shows that τ is principle in $\mathrm{Aut}(\mathfrak{h})$. Hence $W_H(\mathfrak{c},\tau) = N_{W_H}(\mathfrak{c})/Z_{W_H}(\mathfrak{c})$, by Prop. 7.2. The element w has type $-D_5$ and $\mathfrak{c}$ may be chosen to be the $-\zeta$-eigenspace for $y = -w$ in $\mathfrak{t}$. Since $\langle y\rangle$ acts faithfully on $\mathbb{C}$, there is a copy of $\boldsymbol{\mu}_8$ in $W_H(\mathfrak{c},\tau)$.

In case 8_c we rule out $\boldsymbol{\mu}_4$ using invariant theory, as in section 10. A degree-four invariant in $\mathfrak{h}_1$ would correspond to an element of

$$\mathrm{Hom}_M\left(\mathrm{Sym}^2(\mathbf{2}\boxtimes\mathbf{2})^L, \mathrm{Sym}^2(\mathbf{3}\boxtimes\mathbf{2})^R\right), \tag{24}$$

arising from the action of $L \times M \times R = \mathrm{SL}_2 \times \mathrm{SL}_2 \times \mathrm{SL}_2$ on

$$\mathfrak{h}_1 \quad\simeq\quad \mathbf{2}\boxtimes\mathbf{2}\boxtimes\mathbf{1} \quad\oplus\quad \mathbf{1}\boxtimes\mathbf{3}\boxtimes\mathbf{2}.$$

But $\mathrm{Sym}^2(\mathbf{2}\boxtimes\mathbf{2})^L$ is the trivial representation of M and $\mathrm{Sym}^2(\mathbf{3}\boxtimes\mathbf{2})^R$ is the adjoint representation of M, which is irreducible since $p > 2$. Hence the vector space (24) is zero.

Case 6_c**:** Here the centralizer of $w = -2A_2$ in $W(E_6)$ has order 108 and contains a subgroup $W(A_2)$ acting trivially on the root subsystem spanned by the $2A_2$. It follows that $|W_H(\tau,\mathfrak{c})| \leq 18$. Results in the next section show that $W_H(\tau,\mathfrak{c})$ contains the centralizer of a $[33]$-cycle in the symmetric group S_6, which has order 18.

Case 6_g**:** Here $\dim\mathfrak{c} = 1$ and w^2 has type A_2, of which all lifts in H have little Weyl group μ_6. Hence $\mu_3 \leq W_H(\mathfrak{c},\tau) \leq \mu_6$. One checks that an H_0-invariant in degree 3 in $\mathfrak{h}_1$ is a quadratic form on $S^2\check{\mathbf{4}}$, which must be trivial. Hence $W_H(\mathfrak{c},\tau) \simeq \mu_6$.

Cases 6_i**,** 6_k**:** These cases have $m = 6$ and $\dim\mathfrak{c} = 1$ so $\mu_3 \leq W_H(\mathfrak{c},\tau)$, by Lemma 11.5. We show this is equality by finding an H_0-invariant of degree 3 on $\mathfrak{h}_1$.

[3] In fact, using Kac diagrams one can check that classes $10_{a,b,c}$ in Table 20 square to classes $5_{a,b,c}$, respectively, in Table 19.

In case 6_i, $\mathfrak{h}_1$ is the respresentation $\mathbf{3} \boxtimes \check{\mathbf{3}} = \mathrm{End}(\mathbf{3})$ of $\mathrm{SL}_3 \times \mathrm{SL}_3$, and the determinant is a cubic invariant.

In case 6_k, $\mathfrak{h}_1$ is the respresentation $\mathbf{1} \oplus \mathbf{8}$ of Spin_7, where $\mathbf{8}$ is the Spin representation, which affords an invariant quadratic form q. The map $(x, v) \mapsto x \cdot q(v)$ is a cubic invariant.

Cases $4_e, 4_d$: These cases have $m = 4$ and $\dim \mathfrak{c} = 1$ so $\mu_2 \leq W_H(\mathfrak{c}, \tau)$, by Lemma 11.5. We show that in both cases there is a quartic invariant but no quadratic invariant.

In case 4_e, $\mathfrak{h}_1$ is the representation $\Lambda^3(\mathbf{6}) = \mathbf{6} \oplus \mathbf{14}$ of $\mathrm{Sp}_6 \times T_1$, where $t \in T_1$ acts by t, t^{-1} on the respective summands. Since $p > 2$ both summands are irreducible so there is no invariant in bidegree $(1,1)$. In characteristic zero one computes that $\mathrm{Sym}^2(\mathbf{6})$ appears in $\mathrm{Sym}^2(\mathbf{14})$, giving a nonzero H_0 quartic invariant, which persists in positive characteristic by Lemma 10.1.

In case 4_d, $\mathfrak{h}_1$ is the representation $\mathbf{2} \boxtimes \mathbf{8}$ of $\mathrm{SL}_2 \times \mathrm{Spin}_7$. Since this representation is irreducible and symplectic there is no quadratic invariant. To find a quartic invariant we may assume the characteristic of k is zero. Write

$$\mathfrak{h}_1 = \mathbf{8}_+ \oplus \mathbf{8}_-,$$

according to the characters $t \mapsto t^{\pm 1}$ of the maximal torus of SL_2. One checks that

$$\dim \left[\mathrm{Sym}^{4-i}(\mathbf{8}_+) \otimes \mathrm{Sym}^i(\mathbf{8}_-)\right]^{\mathrm{Spin}_7} = \begin{cases} 1 & \text{for } i \neq 2 \\ 2 & \text{for } i = 2. \end{cases}$$

Since this summand affords the character t^{4-2i} of the maximal torus of SL_2, it follows that there is a one-dimensional space of quartic invariants in $\mathfrak{h}_1$ for $\mathrm{SL}_2 \times \mathrm{Spin}_7$.

11.5 Standard subalgebras and Kostant sections

Fix a torsion automorphism $\theta = \mathrm{Ad}(s)\vartheta$ of $\mathfrak{h} = \mathfrak{e}_6$, with $s \in S = (T^\vartheta)^\circ$, and let $\tau \in \mathrm{Aut}(\mathfrak{h})$ be another torsion automorphism of the form $\tau = \mathrm{Ad}(t)$ (inner case) or $\tau = \mathrm{Ad}(t)\vartheta$ (outer case), for some $t \in S$. We call the fixed-point subalgebra $\mathfrak{h}^\tau$ a **standard subalgebra**. The standard subalgebras $\mathfrak{h}^\tau$ for inner automorphisms $\tau = \mathrm{Ad}(t)$ are in bijection with proper subdiagrams of the affine diagram of type E_6; these subalgebras all contain $\mathfrak{t}$ as a Cartan subalgebra. The standard subalgebras $\mathfrak{h}^\tau$ for outer automorphisms $\tau = \mathrm{Ad}(t)\vartheta$ are in bijection with proper subdiagrams of the affine diagram of type ${}^2E_6$; these subalgebras all contain $\mathfrak{t}^\vartheta$ as a Cartan subalgebra.

The automorphisms θ and τ commute, so θ acts on the standard subalgebra $\mathfrak{k} := \mathfrak{g}^\tau$. If τ is inner and ϑ acts nontrivially on the subdiagram for $\mathfrak{k}$ then $\theta|_{\mathfrak{k}}$ is outer, because θ permutes a basis of the root-system of $\mathfrak{t}$ in $\mathfrak{k}$. And if τ is outer then $\theta|_{\mathfrak{k}}$ must be inner, because θ acts trivially on the Cartan subalgebra $\mathfrak{t}^\vartheta$ of $\mathfrak{k}$.

Suppose now that $\mathrm{rank}(\theta|_{\mathfrak{k}}) = \mathrm{rank}(\theta)$, so that there is a Cartan subspace $\mathfrak{c}$ for θ such that $\mathfrak{c} \subset \mathfrak{k}$. Let $K = \mathrm{Aut}(\mathfrak{k})^\circ$ and let $\widetilde{K}$ be the connected subgroup of H corresponding to $\mathfrak{k}$. These groups are normalized by θ and the natural map $\widetilde{K} \to K$ restricts to a surjection

$$\widetilde{K}_0 := (\widetilde{K}^\theta)^\circ \longrightarrow (K^\theta)^\circ =: K_0$$

which induces an isomorphism

$$N_{\widetilde{K}_0}(\mathfrak{c})/Z_{\widetilde{K}_0}(\mathfrak{c}) \simeq N_{K_0}(\mathfrak{c})/Z_{K_0}(\mathfrak{c}).$$

It follows that we have an embedding of little Weyl groups

$$W_K(\mathfrak{c}, \theta|_{\mathfrak{k}}) \hookrightarrow W_H(\mathfrak{c}, \theta).$$

With the exception of number 2_c, the next-to-right-most column of Table 23 below gives the Kac diagram of an H-conjugate θ' of θ such that the subdiagram of $1's$ determines a standard subalgebra $\mathfrak{k}$ (given in the last column) such that

$$\mathrm{rank}(\theta|_{\mathfrak{k}}) = \mathrm{rank}(\theta) \qquad \text{and} \qquad W_K(\mathfrak{c}, \theta|_{\mathfrak{k}}) = W_H(\mathfrak{c}, \theta),$$

and such that $\theta|_{\mathfrak{k}}$ satisfies the conditions of Lemma 7.4. From [18, Prop. 5.2] it follows that θ admits a Kostant section contained in $\mathfrak{k}$.

In the table below we indicate $\mathfrak{k} = \mathfrak{h}^\tau$ as the subdiagram of $1's$ in a Kac diagram of type E_6 or ${}^2E_6$ according to whether τ is inner or outer. Recall that $\theta|_{\mathfrak{k}}$ is then outer or inner, respectively. The superscript ${}^2X$ means that $\theta|_{\mathfrak{k}}$ is outer. The notation ${}^2(2A_2)$ indicates that $\mathfrak{k} \simeq \mathfrak{sl}_3 \oplus \mathfrak{sl}_3$ and θ swaps the two factors.

In the exceptional case 2_c, previous work on involutions [15, Prop. 23] (for $k = \mathbb{C}$) and [17, 6.3] (for $p \neq 2$) shows that there is a θ-stable subalgebra $\mathfrak{k} \simeq \mathfrak{sl}_3$ containing $\mathfrak{c}$ as a Cartan subalgebra, and $W_H(\mathfrak{c}, \theta)$ is just the ordinary Weyl group of $\mathfrak{c}$ in $\mathfrak{k}$. In this case θ is the unique (up to conjugacy) pinned involution of $\mathfrak{sl}_3$, which is known to have a Kostant section.

Table 23: The gradings of positive rank in type E_6 (outer case)

No.	$\theta\|_{\mathfrak{h}}$	$w \in -W(E_6)$	$w \in W(E_7)$	$W_H(\mathfrak{c}, \theta\|_{\mathfrak{h}})$	degrees	$\theta'\|_{\mathfrak{h}}$	$\mathfrak{k}$
18_a	$111 \Leftarrow 11$	$-E_6(a_1)$	E_7	$\boldsymbol{\mu}_9$	9	$111 \Leftarrow 11$	${}^2E_6$
12_b	$110 \Leftarrow 11$	$-E_6$	$E_7(a_2)$	$\boldsymbol{\mu}_{12}$	12	$-211 \Leftarrow 11$	${}^2E_6$
10_b	$110 \Leftarrow 10$	$-(A_4 + A_1)$	D_6	$\boldsymbol{\mu}_5$	5	$-311 \Leftarrow 11$	${}^2E_6$
10_a	$101 \Leftarrow 01$	$-(A_4 + A_1)$	D_6	$\boldsymbol{\mu}_5$	5	$-111 \Leftarrow 1\ -1$	${}^2A_5$
10_c	$010 \Leftarrow 11$	$-(A_4 + A_1)$	D_6	$\boldsymbol{\mu}_5$	5	$9\ -5\ 1 \Leftarrow 11$	${}^2D_5$
8_f	$100 \Leftarrow 11$	$-D_5$	$D_5 + A_1$	$\boldsymbol{\mu}_8$	8	$-411 \Leftarrow 11$	${}^2E_6$
8_c	$010 \Leftarrow 10$	$-D_5$	$D_5 + A_1$	$\boldsymbol{\mu}_8$	8	$111 \Leftarrow 1\ -4$	C_4
6_a	$100 \Leftarrow 10$	$-(3A_2)$	$E_7(a_4)$	G_{25}	$6, 9, 12$	$-511 \Leftarrow 11$	${}^2E_6$
6_c	$010 \Leftarrow 01$	$-(2A_2)$	$D_6(a_2) + A_1$	$G(3, 1, 2)$	$3, 6$	$211 \Leftarrow 1 - 6$	${}^2A_5$
6_g	$000 \Leftarrow 11$	$-A_2$	$D_4 + 3A_1$	$\boldsymbol{\mu}_6$	6	$-3\ 0\ 1 \Leftarrow 11$	B_3
6_i	$001 \Leftarrow 00$	$-(A_5 + A_1)$	A_5'	$\boldsymbol{\mu}_3$	3	$011 \Leftarrow 0\ -2$	${}^2(2A_2)$
6_k	$110 \Leftarrow 00$	$-(A_5 + A_1)$	A_5'	$\boldsymbol{\mu}_3$	3	$011 \Leftarrow 2\ -6$	${}^2(2A_2)$
4_b	$000 \Leftarrow 10$	$-D_4(a_1)$	$2A_3 + A_1$	G_8	$8, 12$	$-611 \Leftarrow 11$	${}^2E_6$
4_d	$010 \Leftarrow 00$	$-(A_3 + 2A_1)$	$(A_3 + A_1)''$	$\boldsymbol{\mu}_4$	4	$111 \Leftarrow -20$	A_3
4_e	$100 \Leftarrow 01$	$-(A_3 + 2A_1)$	$(A_3 + A_1)''$	$\boldsymbol{\mu}_4$	4	$-1\ -11 \Leftarrow 10$	B_2
2_a	$000 \Leftarrow 01$	-1	$7A_1$	$W(E_6)$	$2, 5, 6, 8, 9, 12$	$-711 \Leftarrow 11$	${}^2E_6$
2_c	$100 \Leftarrow 00$	$-(4A_1)$	$(3A_1)'$	$W(A_2)$	$2, 3$	$- - -$	${}^2A_2$

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