

# THE $l$ -ADIC GALOIS REPRESENTATION ASSOCIATED TO AN ELLIPTIC CURVE

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## 1. SOME BASIC DEFINITIONS

We will begin with some basic definitions in order to develop the notion of an elliptic curve. We will show that there is a natural addition law on the points of an elliptic curve and then we will discuss  $n$ -torsion points and use them to construct a representation associated to an elliptic curve.

**Definition 1.** *Let  $k$  be a field, then projective  $n$ -space over  $k$*

$$k\mathbb{P}^n = (k^{n+1} - \{0\}) / (v \sim \lambda v, \forall \lambda \in k^*)$$

**Lemma 1.**  *$E = \{(x, y, z) \mid y^2z = x^3 + axz^2 + bz^3, a, b \in k\}$  is well-defined as a subspace of  $k\mathbb{P}^2$*

(Note: Any homogeneous cubic in  $x, y$  and  $z$  can be reduced to this form by an invertible change of coordinates as long as the characteristic of  $k$  is not 2 or 3, see for example [2, pp. 46–48, 63–64].)

*Proof.* It suffices to show that  $(x, y, z) \in E$  implies  $(\lambda x, \lambda y, \lambda z) \in E, \forall \lambda \in k^*$  because  $E$  is defined as a subspace of  $k^3 - \{0\}$  and  $(\lambda x, \lambda y, \lambda z) \in E$  implies  $(\frac{1}{\lambda}\lambda x, \frac{1}{\lambda}\lambda y, \frac{1}{\lambda}\lambda z) = (x, y, z) \in E$  as well. But this statement is clear because  $y^2z = x^3 + axz^2 + bz^3 \implies \lambda^3 y^2 z = \lambda^3 (x^3 + axz^2 + bz^3) \implies (\lambda y)^2 \lambda z = (\lambda x)^3 + a \lambda x (\lambda z)^2 + b (\lambda z)^3$ .  $\square$

**Definition 2.** *The discriminant of  $E$  as above is  $D = -4a^3 - 27b^2$ .*

**Definition 3.** *An elliptic curve is the set  $E$  as above such that  $x^3 + ax + b = 0$  has distinct roots over  $\bar{k}$ , the algebraic closure of  $k$ .*

**Lemma 2.**  *$E$  is an elliptic curve  $\iff D \neq 0$*

*Proof.* Write  $x^3 + ax + b$  as  $(x - \alpha_1)(x - \alpha_2)(x - \alpha_3)$  and observe that  $a = \alpha_1\alpha_2 + \alpha_1\alpha_3 + \alpha_2\alpha_3$ ,  $b = -\alpha_1\alpha_2\alpha_3$ , and  $\alpha_1 + \alpha_2 + \alpha_3 = 0$ . Then it is simply a direct calculation to verify that  $D = (\alpha_1 - \alpha_2)^2(\alpha_1 - \alpha_3)^2(\alpha_2 - \alpha_3)^2$ . But then it follows directly that  $D$  is nonzero if and only if the roots are distinct.  $\square$

Now let  $(x, y, z)$  be a representative of a class in  $E$  and suppose  $z \neq 0$  then  $(\frac{x}{z}, \frac{y}{z}, 1)$  is the unique representative of  $[(x, y, z)]$ , the class of  $(x, y, z)$ , in the plane  $z = 1$ . So we can think of projective points on  $E$  with nonzero  $z$ -coordinate as corresponding bijectively to solutions  $(x, y)$  of  $y^2 = x^3 + ax + b$ . Now suppose  $z = 0$ , then  $[(x, y, z)] \in E$  implies that  $x^3 = 0$ , so there is exactly one such class, it is  $[(0, 1, 0)]$ . When looking at the curve in affine coordinates, we will call this class  $\mathcal{O}$ , the point at infinity.

**Definition 4.** Let  $+: E \times E \rightarrow E$  such that  $\mathcal{O}$  is the identity and  $A+B = A^*B^*\mathcal{O}$ , where  $X^*Y$  is the third point on the line  $\overline{XY}$ . We also define  $\overline{XX}$  to be the line tangent to the curve at  $X$ ,  $\mathcal{O}^*\mathcal{O} = \mathcal{O}$ , and say that a line intersects  $\mathcal{O} \iff$  it is vertical.

Given the notion of intersection multiplicity, this definition makes sense for all cases, but we will make it more precise without using algebraic geometry shortly. First, if  $\overline{A\mathcal{O}}$  goes through no other points on the curve besides those two, we define  $A^*\mathcal{O} = A$ . Next, suppose  $A = (x_1, y_1), B = (x_2, y_2)$ , and  $\overline{AB}$  is not a vertical line, then we write its equation as  $y = mx + d$ . To get the third point, we make the substitution  $y^2 = (mx+d)^2 = x^3 + ax + b$ , so we have  $x^3 - m^2x^2 - (2md-a)x + b - d^2 = (x-x_1)(x-x_2)(x-x_3) \implies x_3 = m^2 - x_1 - x_2$ . So we can define  $A^*B$  to be the unique point on  $\overline{AB}$  with  $x_3$  as its  $x$ -coordinate.

Now we can compute explicit formulas for addition of two points: if  $A+B \neq \mathcal{O}$ ,

$$(1) \quad A+B = (m^2 - x_1 - x_2, m(m^2 - 2x_1 - x_2) + y_1)$$

where  $m = \frac{y_2 - y_1}{x_2 - x_1}$  if  $A \neq B$ , and  $m = \frac{dy}{dx} = \frac{3x^2 + a}{2y}$  if  $A = B$ . The fact that  $m$  is well defined in the second case means that if  $y = 0$  then we need  $A+A = \mathcal{O}$ , therefore we need to show that if  $y = 0$ , then  $3x^2 + a \neq 0$  since then  $\frac{dx}{dy} = 0$ , so  $A^*A = \mathcal{O}$  and  $A+A = \mathcal{O}$ . However, a polynomial and its derivative have a root in common if and only if it is a double root of the polynomial, but we specified that it have all distinct roots. Now we can look at the points on the elliptic curve as a group under this operation, where  $\mathcal{O}$  is the identity,  $A^{-1} = (x_1, -y_1)$ , and the associativity follows quite directly, albeit tediously, from the formulas (there is also a nice geometric argument for it on p. 21 of [3]). This group is abelian because the  $*$  operation is clearly commutative.

## 2. $n$ -TORSION POINTS ON $E$

The main goal of this section is to show that the group of  $n$ -torsion points over  $\overline{k}$  is isomorphic to  $\mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$ . We present the outline of an elementary proof suggested in [3], we then give the description of a more standard but less elementary proof which uses complex analysis, however this proof has the disadvantage that it only works for subfields of  $\mathbb{C}$ .

**Definition 5.** An  $n$ -torsion point of an elliptic curve is a point such that  $nA =$

$$\underbrace{A + \cdots + A}_{n \text{ terms}} = \mathcal{O}$$

From here on we assume that the characteristic of  $k$  is 0.

**Proposition 1.**  $\exists P_n \in \mathbb{Z}[x]$  of degree  $n^2 - 1$  such that  $(x, y) \in E$  is an  $n$ -torsion point  $\iff P_n(x) = 0$ . Additionally,  $P_n$  has a double root at  $x$  if  $y \neq 0$  and a simple root otherwise.

*Proof.* See [3, exercise 6.4, (a)–(f)]. Our  $P_n$  corresponds to  $\psi_n^2$  in the book. Note also that the statement of part (e) is incorrect and should be changed to the statement given above.  $\square$

**Corollary 1.**  $E[n]$ , the group of  $n$ -torsion points over  $\overline{k}$  is isomorphic to  $\mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$ .

*Proof.* First, there are exactly  $n^2$   $n$ -torsion points because if  $x$  is a root of  $P_n$ , then there are two points on the curve with  $x$  as their  $x$ -coordinate unless their  $y$ -coordinate is 0, in which case there is one. Hence the number of points with  $x$  as their  $x$ -coordinate is the same as the order of the zero of  $P_n$  at  $x$ . Therefore, by Proposition 1, there are  $n^2 - 1$  points  $(x, y)$  such that  $P_n(x) = 0$  and hence there are  $n^2 - 1$  nontrivial points in  $E[n]$ . Together with  $\mathcal{O}$  we get  $n^2$  points.

Now to show that  $E[n]$  is actually  $\mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$ , let  $n = p_1^{r_1} p_2^{r_2} \dots p_m^{r_m}$  be the prime factorization of  $n$ . First, it is enough to show that no more than two  $\mathbb{Z}/p_i^{r_i}\mathbb{Z}$  terms can appear in the abelian decomposition of  $E[n]$  for any  $i$ . This is because if  $s$  such terms appear with exponents  $t_1, \dots, t_s$ , we must have  $\sum_j t_j = 2r_i$  but each  $t_j \leq r_i$  because otherwise there would be a point of order not dividing  $n$ . But if  $s \leq 2$ , we immediately have that  $s = 2$  and  $t_1 = t_2 = r_i$ . To finish the proof, choose generators  $a_1, \dots, a_s$  of each copy of  $\mathbb{Z}/p_i^{t_j}\mathbb{Z}$  and note that  $p_i^{t_j-1} a_j$  has order exactly  $p_i$ . Thus  $\{p_i^{t_j-1} a_j\}$  generate a subgroup of  $p_i$ -torsion points of order  $p_i^s$ . But the number of  $p_i$ -torsion points is  $p_i^2$  so  $s \leq 2$  as desired.  $\square$

We will now outline the complex-analytic proof of the goal stated earlier.

**Theorem 1.** *Every elliptic curve over  $\mathbb{C}$  is isomorphic as an abelian group to  $\mathbb{C}/L$  where  $L$  is some lattice in the complex plane.*

*Proof.* The proof that  $\mathbb{C}/L$  is isomorphic to an elliptic curve requires no more than basic complex analysis and a particularly elegant approach is given in [1, pp. 14–35]. The fact that every elliptic curve is of this form is more difficult and there are several common approaches. One approach using  $j$ -invariants is given in [1, pp. 119–121].  $\square$

**Corollary 2.** *Let  $k$  be a subfield of  $\mathbb{C}$  and  $E$  defined over  $k$ . Then  $E[n]$  is  $\mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$  over  $\bar{k}$ .*

*Proof.* It is clear from Theorem 1 that  $E[n]$  is isomorphic to  $\mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$  over  $\mathbb{C}$  since if  $\omega_1, \omega_2 \in \mathbb{C}$  generate  $L$  such that  $\mathbb{C}/L$  is isomorphic to  $E$ , then it is clear that  $\{c_1 \frac{\omega_1}{n} + c_2 \frac{\omega_2}{n}\}$  is exactly the set of  $n$ -torsion points. However, it follows from an argument identical to the one in Proposition 2 that if  $\sigma$  is an automorphism of  $\mathbb{C}$  then the map  $(x, y) \rightarrow (\sigma x, \sigma y)$  permutes the  $n$ -torsion points of  $E$  and since there are only finitely many  $n$ -torsion points, it follows that their coordinates generate an extension of finite degree over  $k$ . Therefore, all  $n^2$   $n$ -torsion points lie inside  $\bar{k}$ .  $\square$

### 3. THE GALOIS REPRESENTATION

**Definition 6.** *Given  $\sigma \in \text{Gal}(\bar{k}/k)$ , we define an action of  $\sigma$  on  $\bar{k}^2$  by  $\sigma(x, y) = (\sigma x, \sigma y)$ .*

**Proposition 2.**  *$f_\sigma: (x, y) \rightarrow \sigma(x, y)$  induces an automorphism of  $E$ , where we define  $f_\sigma(\mathcal{O}) = \mathcal{O}$ .*

*Proof.* First, we will show that  $f_\sigma$  sends  $E$  to  $E$ , so say  $y^2 = x^3 + ax + b$ ,  $a, b \in k$ , then  $(\sigma(y))^2 = \sigma(y^2) = \sigma(x^3 + ax + b) = \sigma(x^3) + \sigma(a)\sigma(x) + \sigma(b) = (\sigma(x))^3 + a\sigma(x) + b$  because  $\sigma$  fixes  $k$ , so  $(\sigma x, \sigma y) \in E$ . By a similar argument,  $f_\sigma$  is a homomorphism simply because the addition map is given by rational functions with coefficients in  $k$  and is therefore preserved by  $f_\sigma$ . Finally, it is actually an automorphism since  $\sigma$  is invertible.  $\square$

(Note that here we mean automorphisms of  $E$  as an abelian group.  $\text{Aut}(E)$  often refers to group automorphisms given by an algebraic map, which  $f_\sigma$  isn't in general.)

This actually gives a homomorphism from  $\text{Gal}(\bar{k}/k) \rightarrow \text{Aut}(E)$  since it is trivial to see that  $(\sigma\tau)(x, y) = \sigma(\tau(x, y))$ .

Since  $f_\sigma$  is an automorphism of  $E$  it sends  $E[n]$  to  $E[n]$ . In order to take advantage of this to develop a representation of  $\text{Gal}(\bar{k}/k)$ , we will construct a convenient module, called the Tate module.

**Definition 7.** Let  $G_1, G_2, \dots$  be any finite groups and  $\varphi_i: G_{i+1} \rightarrow G_i$  any homomorphisms. The inverse limit,  $G = \varprojlim G_i$  is the set of all sequences  $g = \{g_i\}$  such that  $g_i \in G_i$  and  $\varphi_i(g_{i+1}) = g_i$ .

**Definition 8.**  $\mathbb{Z}_l$ , the  $l$ -adic integers, are  $\varprojlim \mathbb{Z}/l^i\mathbb{Z}$  with the  $\varphi_i$  just taking the residue modulo  $l^i$ .

**Definition 9.** The Tate module,  $T_l$ , is  $\varprojlim E[l^i]$  with the  $\varphi_i$  being the multiplication by  $l$  maps.

**Lemma 3.**  $T_l$  is a free  $\mathbb{Z}_l$ -module of rank 2.

*Proof.* First,  $T_l$  is a  $\mathbb{Z}_l$ -module since if  $\mathcal{P} = (\mathcal{P}_1, \mathcal{P}_2, \dots) \in T_l$  and  $m = (m_1, m_2, \dots) \in \mathbb{Z}_l$ , we can define  $m\mathcal{P} = (m_1\mathcal{P}_1, m_2\mathcal{P}_2, \dots)$ . This is well-defined because the  $m_i$  are defined mod  $l^i$  and the  $\mathcal{P}_i$  are  $l^i$ -torsion points. Furthermore, it is in  $T_l$  because  $lm_i\mathcal{P}_i = m_i\mathcal{P}_{i-1} = m_{i-1}\mathcal{P}_{i-1}$  because  $m_i \equiv m_{i-1} \pmod{l^{i-1}}$ .  $T_l$  is clearly a free module because if  $\mathcal{P} \neq 0$  is in the Tate module, and  $m \neq 0$  in  $\mathbb{Z}_l$  then  $m\mathcal{P} \neq 0$  directly from the definition. To show it is of rank 2, start by choosing any point  $\mathcal{P} \in T_l$  such that  $\mathcal{P}_i \neq 0$ , then  $\forall i$ , for each  $i$ , choose a  $\mathcal{P}'_i$  outside of  $\{j\mathcal{P}_i\}$  such that  $l\mathcal{P}'_i = \mathcal{P}'_{i-1}$ . Then it is clear that  $\mathcal{P}$  and  $\mathcal{P}'$  are linearly independent and span  $T_l$ .  $\square$

Now we claim that given  $\sigma \in \text{Gal}(\bar{k}/k)$ ,  $f_\sigma$  defined above induces an invertible  $\mathbb{Z}_l$ -linear map from  $T_l$  to itself, by sending  $(\mathcal{P}_1, \mathcal{P}_2, \dots)$  to  $\rho(\sigma)(\mathcal{P}) = (f_\sigma(\mathcal{P}_1), f_\sigma(\mathcal{P}_2), \dots)$ . First, we want to show that  $\rho(\sigma)(\mathcal{P}) \in T_l$ . But since  $f_\sigma$  is an automorphism, it takes  $E[n]$  to  $E[n]$ , so we only need to show that  $l(f_\sigma(\mathcal{P}_{i+1})) = f_\sigma(\mathcal{P}_i)$ , which is clear because  $l$  commutes with  $f_\sigma$  since  $f_\sigma$  is a homomorphism. Similarly,  $\rho(\sigma)$  is  $\mathbb{Z}_l$ -linear because  $f_\sigma$  is a homomorphism and addition and scalar multiplication can be evaluated term by term. The above discussion gives us the following:

**Proposition 3.**  $\rho$  is a homomorphism from  $\text{Gal}(\bar{k}/k)$  to  $GL(T_l)$ .

If we choose a basis  $\{e_1, e_2\}$ , we get an isomorphism between  $GL(T_l)$  and  $GL_2(\mathbb{Z}_l)$ , the set of invertible  $2 \times 2$  matrices with coefficients in  $\mathbb{Z}_l$ . Since  $\mathbb{Z}_l \subset \mathbb{Q}_l$ , we can think of the  $2 \times 2$  matrices as invertible linear maps from  $\mathbb{Q}_l^2$  to itself. This gives us:

**Theorem 2.** Given a choice of basis of  $T_l$ ,  $\rho$  is a 2-dimensional representation of  $\text{Gal}(\bar{k}/k)$  over  $\mathbb{Q}_l$ .

#### 4. COOL FACTS

The remarkable thing about this representation is that one can recover much of the information about the elliptic curve from it alone. First we show that

**Proposition 4.** *The field extension of  $k$  generated by the coordinates of  $E[l^i]$  can be determined solely from the Galois representation of  $E$ .*

*Proof.* First, let  $k'$  be an extension of  $k$ , and suppose that  $E[l^i]$  has coefficients in  $k'$ , then take  $\sigma \in \text{Gal}(\overline{k}/k)$  such that  $\sigma$  fixes  $k'$ . So  $\sigma$  acts as the identity on  $E[l^i]$  which means that the first  $i$  terms of the coefficients of the matrix for  $\rho(\sigma)$  are 1 on the diagonal and 0 otherwise. But this is actually if and only if. To determine what field is generated by coefficients of  $E[l^i]$ , this is simply the fixed field of  $\{\sigma$  such that the first  $i$  terms of the coefficients of the matrix for  $\rho(\sigma)$  are the same as the identity matrix  $\}$ .  $\square$

We will state two further examples, justification of which is far beyond the scope of this paper, which demonstrate the power of this representation. First, given an elliptic curve over  $\mathbb{Q}$  we can look at the set of solutions to the same equation over  $\mathbb{Z}/p\mathbb{Z}$  for any  $p$ . This will define an elliptic curve for all but finitely many  $p$ , since the discriminant vanishes mod  $p$  exactly when  $p$  divides the discriminant of  $E$  over  $\mathbb{Q}$ . Therefore in all the other cases, the discriminant mod  $p$  will be nonzero which by Lemma 2 means that we get an elliptic curve over  $\mathbb{Z}/p\mathbb{Z}$ . It is a theorem that one can actually recover the number of points of  $E$  over  $\mathbb{Z}/p\mathbb{Z}$  for any  $p$  simply by examining the  $l$ -adic Galois representation of  $E$  over  $\mathbb{Q}$  for any  $l$ .

Finally, one can define an  $l$ -adic Galois representation attached to a modular form of weight 2 in an analogous but substantially more technical manner. It has long been known that given an elliptic curve  $E$  and a modular form of weight 2,  $E$  is parametrized by a modular form if and only if they have the same  $l$ -adic Galois representation for some  $l$ . Therefore, when Andrew Wiles proved that every semistable elliptic curve can be parametrized by a modular form of weight 2, what he did was to show that given any semistable elliptic curve he could produce a modular form of weight 2 whose 3-adic Galois representation was the same as that of the elliptic curve.

#### REFERENCES

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