

## IDEALS, PART II

In these notes we discuss some theorems from the theory of ideals, several of which are not covered in detail in the texts.

Throughout, let  $d$  be a squarefree integer, let  $K = \mathbb{Q}(\sqrt{d})$ , and let  $R$  be the ring of algebraic integers of  $K$ .

Let  $I \subseteq R$  be an ideal. If we forget about multiplication and only remember how to add elements of  $R$ , then  $I$  and  $R$  can be considered as abelian groups. As an abelian group, the ring  $R$  is isomorphic to the free abelian group on two generators, or  $\mathbb{Z}^2$ . Generators for  $R$  can be given by 1 and  $(d_K + \sqrt{d_K})/2$ . Since  $I \subseteq R$ ,  $I$  is a subgroup of  $\mathbb{Z}^2$ . Up to isomorphism, the only subgroups of  $\mathbb{Z}^2$  are the trivial group, free groups on one generator, (which are isomorphic to  $\mathbb{Z}^1$ ) and free groups on two generators, which are isomorphic to  $\mathbb{Z}^2$ . Suppose that  $I = \mathbb{Z}^1$ . Then  $I$  would be generated by a single element  $\alpha$ , and every element in  $I$  would be of the form  $n\alpha$ , with  $n \in \mathbb{Z}$ . Since ideals are closed under multiplication by elements of  $R$ , however, we see that  $\sqrt{d}\alpha \in I$ . Thus  $I$  cannot be isomorphic to  $\mathbb{Z}^1$ . We see that  $I$  always contains  $0 \in R$ . Then either  $I = (0)$ , or  $I$  is abstractly isomorphic to  $\mathbb{Z}^2$ , and can thus be generated by two elements  $\alpha, \beta$ .

**Definition 1** *If  $I$  is generated as an abelian group by  $\alpha$  and  $\beta$ , we write  $I = [\alpha, \beta]$ . If  $I = [\alpha, \beta]$ , then all elements of  $I$  can uniquely be written in the form  $\alpha x + \beta y$ , with  $x, y \in \mathbb{Z}$ .*

If one can write  $I = (\alpha, \beta)$  as an ideal, it does not necessarily follow that  $I = [\alpha, \beta]$ . For example, if  $I = (2 + \sqrt{-1}, 2 - \sqrt{-1})$ , then  $I = \mathbb{Z}[\sqrt{-1}]$  is the trivial ideal. However, 1 cannot be written as an integer combination of  $2 + \sqrt{-1}$  and  $2 - \sqrt{-1}$  since the integral part of any such sum is even.

**Definition 2** *If  $I = [\alpha, \beta]$  we say that the pair  $(\alpha, \beta)$  is correctly ordered if*

$$\frac{\alpha\bar{\beta} - \bar{\alpha}\beta}{\sqrt{d}} > 0.$$

*If  $(\alpha, \beta)$  is not correctly ordered, then  $(\beta, \alpha)$  is.*

We can now describe the correspondence between ideal classes and binary quadratic forms. Let  $I = [\alpha, \beta]$  be an ideal, and suppose that  $(\alpha, \beta)$  is correctly ordered. Then the binary quadratic form associated to  $[\alpha, \beta]$  is

$$\frac{N(\alpha x + \beta y)}{N(I)} = \frac{\alpha\bar{\alpha}x^2 + (\alpha\bar{\beta} + \bar{\alpha}\beta)xy + \beta\bar{\beta}y^2}{N(I)}.$$

since  $I\bar{I} = (N(I))$  (see the last set of notes), we see that the coefficients of this modular form have integral coefficients. Denote this binary quadratic form by  $f([\alpha, \beta])$ .

The binary quadratic form  $f([\alpha, \beta])$  depends on our choice of basis for  $I$ . However, we are only really interested in the *class* of the quadratic form  $f$ .

**Lemma 0.1** *Let  $[f(I)] = [f([\alpha, \beta])]$  denote the class of the binary quadratic form  $f([\alpha, \beta])$ . Then  $[f(I)]$  only depends on  $I$ .*

If  $[\gamma, \delta]$  is another basis for  $I$ , then

$$\gamma = a\alpha + b\beta, \quad \delta = c\alpha + d\beta.$$

Moreover,  $\alpha$  and  $\beta$  can themselves be written as a linear combination of  $\gamma$  and  $\delta$ . It follows that

$$M := \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

is an invertible matrix, and thus  $M \in \text{GL}_2(\mathbb{Z})$ . If  $\gamma$  and  $\delta$  are also correctly ordered, then  $M$  actually has determinant 1, and  $M \in \text{SL}_2(\mathbb{Z})$ . Since

$$N(\gamma x + \delta y) = N((a\alpha + b\beta)x + (c\alpha + d\beta)y) = N((ax + by)\alpha + (cx + dy)\beta),$$

we see that  $f([\gamma, \delta]) = M \circ f([\alpha, \beta])$  and thus  $[f(I)]$  is well defined.

**Lemma 0.2** *If  $I \sim J$  in the Narrow class group, then  $[f(I)] = [f(J)]$ .*

It suffices to prove that if  $J = (\gamma)I$  with  $N(\gamma) > 0$ , then  $[f(J)] = [f(I)]$ . Let  $I = [\alpha, \beta]$ , with  $(\alpha, \beta)$  correctly ordered. We find that  $J = [\gamma\alpha, \gamma\beta]$ ,  $N(J) = N(\gamma)N(I)$ , and

$$f(J) = \frac{\gamma\alpha\overline{\gamma\alpha}x^2 + (\gamma\alpha\overline{\gamma\beta} + \overline{\gamma\alpha}\gamma\beta)xy + \gamma\beta\overline{\gamma\beta}y^2}{N(\gamma)N(I)} = f([\alpha, \beta]).$$

Thus  $[f(J)] = [f(I)]$ .

To finish establishing the correspondence between the Narrow class group and the set of classes of binary quadratic forms, it suffices to show that any binary quadratic form of discriminant  $d_K$  arises from at least one ideal class. We can prove this explicitly as follows.

**Lemma 0.3** *Let  $f = ax^2 + bxy + cy^2$  be a primitive binary quadratic form of discriminant  $d$  ( if  $d < 0$  assume that  $a > 0$ ). Let*

$$I = \begin{cases} \left[ a, \frac{b-\sqrt{d}}{2} \right] & a > 0, \\ \left[ a\sqrt{d}, \frac{b-\sqrt{d}}{2} \cdot \sqrt{d} \right] & a < 0. \end{cases}$$

*Then  $[f(I)] = [f]$ .*