

# Formal Groups

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A: ring.  $A \neq 0$ .

$$f \equiv 0 \pmod{\deg 1}$$

$$A[[X]] \supset (X) = \left\{ f = \sum_{i=0}^{\infty} a_i X^i ; a_0 = 0 \right\}$$

Lem. 1  $f, g \mapsto f \cdot g$  is associative. w/  $id := X$  as identity.

Lem. 2  $f \in (X)$ .  $\exists f^{-1} \in (X)$  s.t.  $f^{-1} \cdot f = f \cdot f^{-1} = id$   
 $\Leftrightarrow \sum a_i X^i \Leftrightarrow a_i \in A^*$

More generally.  $f \in A[[X]]$ .  $F \in A[[X_1, \dots, X_n]]$ .

$$\left. \begin{array}{l} \text{if } F \equiv 0 \pmod{\deg 1} \quad f \cdot F := f(F(X_1, \dots, X_n)) \\ \text{if } f \equiv 0 \pmod{\deg 1} \quad F \cdot f := F(f(X_1), \dots, f(X_n)) \end{array} \right\} \in A[[X_1, \dots, X_n]]$$

Def. 1 Formal group/A :=  $F(x, y) \in A[[x, y]]$ . w/  $F \equiv x + y \pmod{\deg 2}$   
 s.t. ii)  $F(x, F(y, z)) = F(F(x, y), z)$ , iii)  $F(x, y) = F(y, x)$ .

Ex.  $\hat{G}_a(x, y) := x + y$ .  $\hat{G}_m(x, y) := x + y + xy$ . Define  $a \in \mathbb{N}$ .  
 $[a]_F(x) := \dots = F(x, [a-1]_F(x))$

Lem. 3.  $F$ : formal gp/A.  $[1]_F(x) = F(x, 0) = x$ .  $\exists [-1]_F(x) \in A[[X]]$  s.t.  $F(x, [-1]_F(x)) = 0$ .

pf.  $f(x) := \sqrt{F(x, 0)}$ .  $f(x) \equiv x \pmod{\deg 2} \Rightarrow \exists f^{-1}$  by Lem. 2  
 $f \cdot f = f$  by ii).  $\Rightarrow f = id$  by composing  $f^{-1}$ .  
 $F(0, y) = F(y, 0) = y$ .  $\Rightarrow$  No term in  $F$  w/  $\deg \geq 2$  contains only  $x$  or  $y$ .  
 $\Rightarrow$  can solve  $F(x, y) = 0$  w.r.t.  $y$ . get  $[-1]_F(x)$ .

Def. ii.  $f, g \in (X) \subset A[[X]]$ .  $f \dagger_F g := F(f(x), g(x))$ .  
 $\Rightarrow (X)$ : abel. gp w/ 0;  $[-1]_F f$  = inverse of  $f$ .

ii.  $F, G$ : formal gp/A.  $f \in (X)$ : homomorphism  $F \rightarrow G$   
 $\Leftrightarrow f \cdot F = G \cdot f$  i.e.  $f(F(x, y)) = G(f(x), f(y))$

~~$\text{Hom}_A(F, G) := \{ \text{all hom. } f: F \rightarrow G \}$~~

~~$f: F \rightarrow G, g: G \rightarrow H \Rightarrow g \circ f: F \rightarrow H$~~

$\text{id} := x: F \rightarrow F, \quad 0: F \rightarrow F.$

$f: \text{isom} \Leftrightarrow \exists f^{-1}: G \rightarrow F, f \circ f^{-1} = f^{-1} \circ f = \text{id}.$

$\Leftrightarrow f = \sum a_i x^i, a_i \in A^*$   
 $\left\{ \begin{array}{l} f: \text{hom.} \end{array} \right.$

$\text{Hom}_A(F, G) := \{ \text{all hom. } f: F \rightarrow G \}$  : abel. gp by  $+$

$\text{End}_A(F) := \text{Hom}_A(F, F)$  : ring w/  $+$ ,  $\circ$ ,  $\text{id} = 0$

Lubin-Tate Groups.

$K$  : local field (CDVF.  $\mathfrak{p} \subset \mathcal{O} \subset K, \mathbb{k} = \mathcal{O}/\mathfrak{p} \cong \mathbb{F}_q$ )  
 max. ideal  $\mathfrak{p}$  of integers.  $q = \text{power of } \mathfrak{p}$ .

$L/K$  : complete unram. ext. i.e.  $\left\{ \begin{array}{l} \text{fin unram. } L/K \text{ or} \\ L = \bar{E}, E/K: \text{infin. unram.} \end{array} \right.$

~~arithmetic Frobenius~~ ... CDVF.  $(\forall E \subset K_{ur} \subset K_{sep})$

$\varphi$  : arithmetic Frobenius  $\mathfrak{p}_L \subset \mathcal{O}_L \subset L$  s.t.  $\varphi_L = \mathfrak{p}_L$ .

...  $\varphi: L \xrightarrow{\sim} L$  s.t.  $\varphi|_{\mathcal{O}_L \text{ mod } \mathfrak{p}}: \mathbb{k}_L \xrightarrow{\sim} \mathbb{k}_L, x \mapsto x^q$

$\alpha^\varphi := \varphi(\alpha) (\alpha \in L)$

$F = \sum a_i x^i \in L[x] \Rightarrow F^\varphi := \sum a_i^\varphi x^i \in L[x]$

$F$  : formal gp /  $\mathcal{O}_L \Rightarrow$  so is  $F^\varphi$ .

Fix  $n \geq 1$

Prop:  $\varpi \in \mathfrak{p}_L$  : uniformizer of  $L$ . Let  $f \in \mathcal{O}_L[x]$  s.t.

i)  $f(x) \equiv \varpi x \pmod{\text{deg } 2}$ , ii)  $f(x) \equiv x^{q^n} \pmod{\mathfrak{p}}$ , ...  $\textcircled{*}_n$

$\exists! F_f$  : formal gp /  $\mathcal{O}_L$  s.t.  $f \in \text{Hom}_{\mathcal{O}_L}(F_f, F_f^{\varphi^n})$ .

Lem:  $\varpi, \varpi'$  : unif. of  $L$ .  $f, f' \in \mathcal{O}_L[x]$  satisfying  $\textcircled{*}_n$  for  $\varpi, \varpi'$ .

Assume  $\alpha_1, \dots, \alpha_t \in \mathcal{O}_L$  satisfy  $\varpi' \alpha_i = \varpi \alpha_i^{\varphi^n}$  ( $1 \leq i \leq t$ ).

$\Rightarrow \exists! F \in \mathcal{O}_L[x_1, \dots, x_t]$  s.t. i)  $F \equiv \alpha_1 x_1 + \dots + \alpha_t x_t \pmod{\text{deg } 2}$   
 ii)  $f' \circ F = F^{\varphi^n} \circ f$ .

$$\mathcal{O}_L^f \cdot \mathcal{O}_L[x_1, \dots, x_t] = \varinjlim_m \mathcal{O}_L[x_1, \dots, x_t] / (\text{deg } m)$$

$$\mathcal{O}_L = \varinjlim_s \mathcal{O}_L / \mathfrak{f}_L^s$$

Claim: For  $\forall m \geq 1$ . ~~there exists~~  $\exists! F_m \in \mathcal{O}_L[x_1, \dots, x_t]$ .  $\text{deg } F_m \leq m$ .  
 s.t. i), ii) hold mod  $\text{deg } m+1$ .

$m=1$ : assumed. induction.

$$G_{m+1} := f' \circ F_m - F_m^{\varphi^n} \circ f \in \mathcal{O}_L[x_1, \dots, x_t]$$

$$\text{mod } \mathfrak{f}_L \equiv F_m^{\mathfrak{g}^n} - F_m^{\mathfrak{g}^n}(X_1^{\mathfrak{g}^n}, \dots, X_n^{\mathfrak{g}^n}) \equiv 0 \pmod{\mathfrak{f}_L}$$

$$\Rightarrow \mathfrak{d}' \mid G_{m+1}$$

What should  $H_{m+1} := F_{m+1} - F_m$  (homog of  $\text{deg } m+1$ ) satisfy?

WANT  $f' \circ F_{m+1} - F_{m+1}^{\varphi^n} \circ f = 0 \pmod{\text{deg } m+2}$

$$G_{m+1} + (f' \circ H_{m+1} - H_{m+1}^{\varphi^n} \circ f)$$

$$\text{mod } \mathfrak{f}_L \text{ deg } m+2 \quad \parallel \quad G_{m+1} + (\mathfrak{d}' H_{m+1} - \mathfrak{d}^{m+1} H_{m+1}^{\varphi^n})$$

make coeff. of each monomial of  $\text{deg } m+1 = 0$ .

$$\begin{aligned} \mathfrak{d}'\beta &: \text{coeff. in } G_{m+1} \\ \alpha &: \text{coeff. in } H_{m+1} \end{aligned} \Rightarrow \text{solve } \mathfrak{d}'\beta + \mathfrak{d}'\alpha - \mathfrak{d}^{m+1} \alpha^{\varphi^n} = 0$$

$$\alpha^{\varphi^n} = \left( \frac{\mathfrak{d}'}{\mathfrak{d}^{m+1}} \right) (\alpha + \beta)$$

$$\Rightarrow \alpha = -\beta - \sum_{i=1}^{\infty} \left( \frac{\mathfrak{d}^{m+1}}{\mathfrak{d}^i} \right)^{1+\varphi^n+\dots+\varphi^{n(i-1)}} \beta^{\varphi^{ni}}$$

pt of Prop. 1

Lemma for  $\mathfrak{d} = \mathfrak{d}'$ ,  $f = f'$ ,  $t = 2$ .

$$F \equiv x + y \pmod{\text{deg } 2}$$

$$\Rightarrow \exists! F_f \in \mathcal{O}_L[x, y] \begin{cases} F_f \equiv x + y \pmod{\text{deg } 2} \\ f' \circ F_f = F_f^{\varphi^n} \circ f \end{cases}$$

$$\Rightarrow F_f(x, y) = F_f(y, x) \text{ by uniqueness.}$$

$$F_f(x, F_f(y, z)) = F_f(F_f(x, y), z) \text{ by lemma for}$$

$$\begin{aligned} \mathfrak{d} &= \mathfrak{d}', f = f' \\ t &= 3 \\ F &= x + y + z \end{aligned}$$

Def.  $\vartheta, \vartheta' \in L : \text{unif.}$

$$A_{\vartheta, \vartheta'}^L := \{ \theta \in \mathcal{O}_L \mid \vartheta \theta = \vartheta' \theta^{\varphi^n} \}$$

- additive gp
- $\times : A_{\vartheta, \vartheta'}^L \times A_{\vartheta', \vartheta''}^L \rightarrow A_{\vartheta, \vartheta''}^L$
- $A_{\vartheta, \vartheta}^L = \mathcal{O}_L \cap \mathcal{O}_n \supset \mathcal{O}$  ( $\mathcal{O}_n$ : ring of int. for  $K_n/K$ : unram. ext of deg  $n$ )
- $f, f' \in \mathcal{O}_n$  for  $\vartheta, \vartheta'$  ~~...~~

$$\theta \in A_{\vartheta, \vartheta'}^L \Rightarrow \exists! [\theta]_{f, f'} \in \mathcal{O}_L[X] \text{ s.t. } \bullet$$

$$i) [\theta]_{f, f'}(x) \equiv \theta x \pmod{\text{deg } 2} \quad ii) f' \circ [\theta]_{f, f'} = [\theta]_{f, f'}^{\varphi^n} \circ f$$

Prop.  $[\theta]_{f, f'} \in \text{Hom}_{\mathcal{O}_L}(F_f, F_{f'}) \quad (\forall \theta \in A_{\vartheta, \vartheta'}^L)$

$$[\cdot]_{f, f'} : A_{\vartheta, \vartheta'}^L \rightarrow \text{Hom}_{\mathcal{O}_L}(F_f, F_{f'}) : \text{inj.}$$

$$[\theta]_{f, f'} + [\theta']_{f, f'} = [\theta + \theta']_{f, f'}, \quad [\theta']_{f, f'} \circ [\theta]_{f, f'} = [\theta \theta']_{f, f'}$$

$$\left( [\cdot]_f := [\cdot]_{f, f} : \mathcal{O} \hookrightarrow \text{End}_{\mathcal{O}_L}(F_f) : \text{ring hom} \right)$$

$$\rightarrow (F_f, [\cdot]_f) : \text{Formal } \mathcal{O}\text{-module} / (\mathcal{O}_L)$$

pf: Show  $[\theta] \circ F_f = F_{f'} \circ [\theta]$  by uniqueness.

$$f' \circ ([\theta] \circ F_f) = [\theta]^{\varphi^n} \circ f \circ F_f = [\theta]^{\varphi^n} \circ F_f^{\varphi^n} \circ f = ([\theta] \circ F_f)^{\varphi^n} \circ f$$

$$f' \circ (F_{f'} \circ [\theta]) = F_{f'}^{\varphi^n} \circ f' \circ [\theta] = F_{f'}^{\varphi^n} \circ [\theta]^{\varphi^n} \circ f = (F_{f'} \circ [\theta])^{\varphi^n} \circ f$$

$$\bullet \vartheta = \vartheta', \bullet t=2, \bullet \bullet \equiv \theta x + \theta y \pmod{\text{deg } 2}$$

similar.

$$\vartheta \in A_{\vartheta, \vartheta}^L \Rightarrow [\vartheta]_{f, f^{\varphi^n}} \equiv ? \quad f^{\varphi^n} \circ [\vartheta]_{f, f^{\varphi^n}} = [\vartheta]_{f, f^{\varphi^n}}^{\varphi^n} \circ f$$

$$\Rightarrow f = [\vartheta]_{f, f^{\varphi^n}} \text{ by uniqueness.}$$

$$\left\{ \begin{array}{l} f = [\vartheta]_{f, f^{\varphi^n}} : F_f \rightarrow F_{f^{\varphi^n}} = F_f^{\varphi^n} \\ f(x) \equiv x^{\varphi^n} \pmod{\mathfrak{p}} \end{array} \right.$$

$$\text{Take any } \vartheta_0 \in \mathcal{O}. \left\{ \begin{array}{l} \vartheta = u \cdot \vartheta_0 \\ u \in \mathcal{O}_L^\times \end{array} \right. \Rightarrow [\vartheta]_{f, f^{\varphi^n}} = [u]_{f, f^{\varphi^n}} \circ [\vartheta_0]_{f, f}$$

$$\Rightarrow [\vartheta_0] \equiv (\text{unit}) \cdot X^{\varphi^n} \pmod{\mathfrak{p}} \quad \boxed{\text{height } n}$$